Approved	410-91
Approved	Date

MINUTES OF THE <u>SENATE</u> COMMITTEE ON <u>P</u>	PUBLIC HEALTH AND WELFARE
The meeting was called to order by SENATOR ROY M.	
10:00 a.m. pxm. on <u>April 3</u>	
All members were present except:	

Committee staff present:

Emalene Correll, Legislative Research Bill Wolff, Legislative Research Norman Furse, Revisor's Office Jo Ann Bunten, Committee Secretary Conferees appearing before the committee:

Joseph F. Kroll, Adult and Child Care, Department of Health and Environment Sara Brandt, President of Kansas Speech-Language-Hearing Association Chip Wheelen, Kansas Medical Society John Peterson, Speech-Language-Hearing Association Cathy Rooney, Department of Health and Environment

Chairman Ehrlich called the meeting to order at 10:00 a.m. and introduced two pages from Augusta, who assisted at the committee meeting, and his daughter and son-in-law from Hoisington.

HB 2485 - Abuse or neglect as grounds for prohibiting persons from maintaining certain homes for children.

Joseph Kroll, Department of Health and Environment submitted written testimony and appeared in support of <u>HB 2485</u>. He stated the bill was requested by SRS and amends the child care licensing statute to streamline the process that must be followed in confirming cases of child abuse. It amends Section (f) of K.S.A. 65-516 by removing the requirement that the alleged perpetrator be given notice of the proposed agency finding and an opportunity to reply formally concerning the proposed finding. Removing this requirement gives the alleged perpetrator an opportunity to move directly to the administrative appeals process. This process, however, is slowed down, and creates an unnecessary delay in the fair hearings process. Eliminating this one step would preserve the due process rights of the alleged perpetrator and at the same time better protect children by more timely action. (Attachment 1) Staff Furse brought attention to the Attorney General's opinion regarding language adopted and based on that opinion. Mr. Kroll stated he had talked with SRS and they have been in consultation with the Attorney General's office. The AG's office has endorsed this language.

HB 2104 - Licensure of speech language pathologists and audiologists.

Sara Brandt, Kansas Speech-Language Hearing Association, submitted written testimony and appeared in support of <a href="HB 2104">HB 2104</a>. The bill, as amended by the House Committee, would create new law to license and regulate the practice of speech-language pathology and audiology. Ms. Brandt stated the main effect of licensure in Kansas would be to put all Speech-Language-Hearing professionals under the same credentialing umbrella. Licensure would set one standard for all professionals regardless of employment setting and require continuing education to make sure practicing professionals are up to date. Ms. Brandt also stated licensure would give consumers a way to identify qualified providers and recourse to deal with unethical practitioners. (Attachment 2) Grandfathering clause, credentialing, and teachers of the hearing impaired were discussed.

#### CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON PUBLIC HEALTH AND WELFARE, room 526-S, Statehouse, at 10:00 a.m./FXX on April 3 , 1991

Chip Wheelen, KMS, submitted written testimony and stated his organization agreed with the KDHE Credentialing Committee and recommended  $\frac{HB\ 2104}{DE}$  be passed with one suggestion: On page 3, line 3, one member of the commission that regulates this profession include a person licensed to practice medicine or surgery and not just a "licensed physician". (Attachment 3)

John Peterson appeared in support of <u>HB 2104</u> and concurred with Ms. Brandt's testimony. Staff Furse called attention to page 4, section 4, line 11, of the bill and suggested the language, "for a fee", be eliminated. Mr. Peterson agreed.

Cathy Rooney, Department of Health and Environment, submitted written testimony and stated the technical committee and the Secretary of Health and Environment found nine criteria were met and a need for credentialing exists. She stated the Secretary also felt harm resulted when unqualified practitioners provided inappropriate services. The issue primarily revolves around the 190 bachelor's level practitioners, who entered the public school systems when minimal educational qualifications were set by the Kansas Department of Education at a bachelor's level, are competent to practice in their respective fields. Both the technical committee and the Secretary concluded that credentialing speech-language pathologists and audiologists would not address the issue of other licensed health care personnel providing services beyond training or using paraprofessionals inappropriately under the licensee's supervision. There was one policy concern regarding the amended bill that KDHE asks the legislature to consider and recommends, in addition to the teaching certificate in speech-language pathology or audiology, an applicant must have been actively engaged in the practice of speech-language pathology or audiology for at least three years of the last five years immediately preceding September 1, 1992. Other suggested changes included setting a time restriction for temporary licensure and reducing the number of times for renewal of a temporary licensure and reducing the number of times for renewal of a temporary licensure fee" to the fees that must be set by the Secretary in rules and regulations. (Attachment 4) Credentialing and licensing requirements were discussed by the committee.

The Chairman asked for wishes of the committee on <u>HB 2485</u>. Senator Walker made the motion to recommend HB 2485 favorably for passage. Seconded by Senator Anderson. No discussion followed. The motion carried. Senator Anderson will carry the bill.

The Chairman asked for wishes of the committee on HB 2168. Senator Hayden made the motion to delete amendatory language on page 10 and 11 of the balloon of the bill. (Attachment 5) Senator Walker made a substitute motion to agree to the motion of Senator Hayden's and add balloon language on page 4. Senator Anderson seconded the motion. No discussion followed. The motion carried. Senator Walker made the motion to adopt the balloon language on page 9 and add the wording "granted under", preceding the word, "article", on line 39 of the bill. The motion was seconded by Senator Hayden. No discussion followed. The motion carried. Senator Walker made the motion to adopt the repealer, K.S.A. 65-2853. Seconded by Senator Hayden. No discussion followed. The motion carried. The wishes of the committee were asked on HB 2168 as amended. Senator Walker made the motion to recommend HB 2168 as amended favorably for passage. Seconded by Senator Hayden. No discussion followed. The motion carried. The bill will be carried by Senator Walker.

The Chairman asked for wishes of the committee on <u>HB 2019</u> - Pilot projects by local health departments to provide outpatient none-emergency primary care services. <u>Senator Walker made the motion to recommend HB 2019 favorably for passage.</u> The motion was seconded by Senator Hayden. Discussion followed regarding the fiscal impact, which is subject to appropriations. <u>The motion carried.</u> Senator Walker will carry the bill.

#### CONTINUATION SHEET

MINUTES OF THE	SENATE	COMMITTEE OF	VPUBLIC	HEALTH	AND	WELFARE	
room 526-S Statehous	o et 10•0	<u>00</u> a.m∦≱.m. on _	Anril 3				1991

The Chairman asked for wishes of the committee regarding SB 403 - Creating Kansas Commission on the future of health care. After explaining his amendments to SB 403, Senator Walker made the motion to delete language on page 2, line 15, after the word, "representatives," and all of lines 16 through 22, and also add language which would allow the governor to appoint a director who is trained and experienced in the field of medical or health care ethics, and to recommend the bill as amended favorably for passage. The motion was seconded by Senator Salisbury. Staff Furse called attention to page 2, line 7, "5" should be changed to "4". Senator Walker agreed to that change as a part of his motion. No discussion followed. The motion to amend the bill carried. On the motion to report the bill, Senator Salisbury called attention to the funding for the bill with concern regarding the fiscal impact. Discussion followed regarding the health insurance crisis, and the fact this bill would be a start to involve the public. Following discussion, the motion to report the bill as amended favorably for passage carried. Senator Salisbury requested to be recorded as voting "No". Senator Walker will carry the bill.

The Chairman called upon Elizabeth Taylor, who stated on behalf of the Kansas Association of Local Health Departments, her association would only support the bill if the amendment to address the maintenance of effort policy is adopted. The Chairman asked for wishes of the committee on HB 2018. Senator Walker made the motion to adopt the balloon amendments on page 2 and the deletion of subsection (b) on page 5 proposed by the Department of Health and Environment. No discussion followed. The motion carried. Senator Anderson made the motion to recommend HB 2018 as amended favorably for passage. The motion was seconded by Senator Hayden. No discussion followed. The motion carried. (Attachment: 6)

The meeting was adjourned at 11:01 a.m.

# SENATE PUBLIC HEALTH AND WELFARE COMMITTEE DATE 4-3-9/

(PLEASE PRINT) NAME AND ADDRESS	ORGANIZATION
Margaret FARIEU	KINH
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Shery Coleman	Self
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Jh Jutin	Ks Sperl + Hay Osa
Dara Pale Grands	KSHA
Latricia Rose	KSHA
Barry RMolinerix	KSHA
Wendell STROM	AARP- ECTF
Roger DKIRKWOOD	AARP- CCTF-TopeKa
Caroly ////witm'	Sear Enrich's Takery
Harla + Jon Patton	Son Ehrliche famil
Richard Morrissey	KDHE
JACK GRAShuis	ZDS OVP KS
Chip Wheelen	Ks Med. Soc.
Maron PIENM	KAOM
ELIZABETHE. TAYLOR	ASSO OF LOCAL HEARTH

# SENATE PUBLIC HEALTH AND WELFARE COMMITTEE DATE 4-3-9/

(PLEASE PRINT) NAME AND ADDRESS		ORGANIZATION
Marilyn Bradt	Lawrence	KINH
Marty Kennethy o	Topeka	Div. of Budget,
Larry Buning	Topeka	Bold Stealing Art
Londalla	Tipela	K DON-
Leslie Dick	Topeka	Washburn Unweis
Jara K. Mc Corm	ick Jopeka	Washbury Universi
KETTHR LANDIS	TOPEZA	ENRISTIAN SCIENCE COMMETTE ON PUBLICATION FOR KAUSA
JOHN HOLMGREN	Topeka	Catholic Health Class
Tom Bell	Topeka	KHA
Anny Johnson	Manhattan	Rep. Intern
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#### Stanley C. Grant, Ph.D., Acting Secretary

### State of Kansas

Joan Finney, Governor

Department of Health and Environment Division of Health

Landon State Office Bldg., Topeka, KS 66612-1290

FAX (913) 296-6231

### Testimony Presented to the Senate Public Health and Welfare Committee

on

#### House Bill 2485

K.S.A. 65-516 is an act which prohibits persons with certain convictions, adjudications, or validated child abuse or neglect from living, working or volunteering in a home for children requiring regulation by the Kansas Department of Health and Environment.

Subsection (f) requires that certain administrative procedures be followed prior to SRS validating abuse or neglect. HB 2485 amends subsection (f) by removing the requirement that the alleged perpetrator be given notice of the proposed agency finding and an opportunity to reply formally concerning the proposed finding. Removing this requirement gives the alleged perpetrator an opportunity to move directly to the administrative appeals process.

The requirement to give notice and an informal review of the proposed finding has created an unnecessary delay in the fair hearings process impeding the timely resolution of complaint investigations. It is anticipated that eliminating this one step will preserve the due process rights of the alleged perpetrator and at the same time better protect children by more timely action. It is not unusual for the current process to take months and sometimes years to achieve resolution.

More timely resolution of complaint investigations by SRS will favorably impact KDHE in the areas of program effectiveness and efficiency. collaboration will be enhanced and duplication of effort will be reduced.

#### Department's Position

Support the passage of HB 2485 as written.

Testimony

Presented by:

Joseph F. Kroll, Director

Bureau of Adult and Child Care

Kansas Department of Health and Environment

April 3, 1991

Senate P H&W Attachment #1



Testimony to Senate Public Health & Welfare Committee

April 3, 1991

Sara Dale Brandt, Ph.D., CCC-SLP President, Kansas Speech-Language-Hearing Association

Re: HB 2104 - Licensure of Speech-Language Pathologists and Audiologists

You have a written copy of my remarks to the House Public Health and Welfare Committee. Let me make two points today, then I would be happy to answer any questions.

First, this Legislative session is proceeding rapidly and I am sure there is concern about whether there will be adequate time to consider a licensure bill. Let me assure you that our application for licensure has been studied extensively. We filed our intention to seek licensure as soon as the new technical committee review process was developed. Our application was accepted for review in 1989-90. It was the only licensure application to be reviewed that year so the committee had extra time to spend on the process.

The application, as I'm sure you know, addresses over 100 specific questions about the need for and the effect of licensure. written responses and supporting documentation is hundreds of pages long. If you have not yet seen our application, we will be sure to make one available to the Public Health & Welfare Committee. seven member Technical Committee had several months to review the application, then heard over three hours of verbal testimony from the Kansas Speech-Language-Hearing Association. In January, the committee held a preliminary fact-finding meeting, during which there was additional explanation and dialogue with KSHA leaders. The committee found that our application had met all nine statutory criteria including the evidence of real harm from the unregulated practice of the profession. It is my understanding that it is very unusual for any application to meet all nine criteria after the first hearing. In March, a public hearing was held. Testimony was heard from allied professionals and from consumers. All testimony spoke to the need for licensure. There was no testimony opposing licensure. In their final meeting, the Technical Committee agreed that the application had indeed met all nine criteria and they recommended that the appropriate level of credentialing was Thirty-nine other states license Speech-Language licensure. Pathologists/Audiologists and seven more are actively pursuing

#### 2/Testimony

licensure. Not one state has rescinded licensure during sunset review in the 26 years since Florida passed the first law.

Second, the main effect of licensure in Kansas will be to put all Speech-Language-Hearing professionals under the same credentialing umbrella. As it is now, we have a confusing disparity between professionals who are certified by a national organization and those who hold certification from the State Department of Education allowing them to work in the schools. While these two certificates should be comparable, they in fact aren't and do not allow professionals to work in areas where they are not certified, even though the qualifications are similar.

Licensure will set one standard for all professionals regardless of employment setting. This should actually improve availability of personnel and flexibility of employment.

Licensure will require continuing education to make sure practicing professionals are up to date.

Licensure will give consumers a way to identify qualified providers and recourse to deal with unethical practitioners.

Kansas WATS 800-332-0156 FAX 913-235-5114

April 3, 1991

TO:

Senate Public Health and Welfare Committee

FROM:

Kansas Medical Society

SUBJECT:

House Bill 2104; Licensure of Audiologists

Thank you for the opportunity to testify on HB 2104. subject was discussed rather extensively by the KMS Legislative Committee, which concluded that the potential harm to the public that is posed by noncredentialed persons practicing audiology or speech-language pathology is sufficient to warrant licensure of this category of health care professional. In other words, we concur with the KDHE Credentialing Committee.

We pointed out a number of concerns to the House Committee and requested amendments which were incorporated in the bill. Because our concerns have been addressed, we respectfully recommend that you report HB 2104 favorable for passage.

CW/cb



Stanley C. Grant, Ph.D., Acting Secretary

### State of Kansas

Joan Finney, Governor

## Department of Health and Environment Division of Health

Landon State Office Bldg., Topeka, KS 66612-1290

FAX (913) 296-6231

#### TESTIMONY PRESENTED TO

THE SENATE PUBLIC HEALTH AND WELFARE COMMITTEE

by

THE KANSAS DEPARTMENT OF HEALTH AND ENVIRONMENT

## House Bill 2104 as Amended

The Credentialing Review Program, established by request of the legislature, requires health occupations seeking state credentialing (licensure/registration) of members of their occupations to submit a credentialing application to the Kansas Department of Health and Environment (KDHE) for review. The Kansas Speech, Language, and Hearing Association submitted a credentialing application and the application has gone through the review process. This association desires speech-language pathologists and audiologists to be licensed by the state. House Bill 2104 provides such licensing.

A seven-member technical committee consisting of three currently credentialed health care personnel and four consumers conducted three public meetings and one public hearing to review the application. The technical committee forwarded its report to the Secretary of Health and Environment. A final report by the Secretary was issued to the legislature on July 19, 1990 (both reports are attached).

Statutes require that the technical committee and the Secretary must find that the information in the application and the information gathered during the meetings and the hearing document that the nine statutory criteria (KSA 65-5006) are met and that a need for credentialing exists before a recommendation for credentialing can be made. KSA 65-5003(d) further states that the applicant has the burden of proof of providing evidence upon which findings can be made. This proof must be clear and more than hypothetical examples or testimonials.

The technical committee and the Secretary found that the nine criteria are met and that a need for credentialing exists. Since the criteria were found met and a need shown for credentialing, the technical committee and the Secretary then applied criteria established in KSA 65-5007 to determine the appropriate level or levels of credentialing to protect the public from the causes of the harm documented by the applicant.

Senate P H&W Attachment #4 4-3-91 The statutes define credentialing to include "other statutory regulation," registration, and licensure. "Other statutory regulation" can include criminal prohibitions, injunctive remedies, etc. Registration creates title protection of an occupation and allows the public to identify practitioners (through the practitioner's use of the title) who possess the educational requirements of the occupation. Others may practice the occupation but may not advertise themselves as members of the occupation. Licensure limits only those persons with licenses to practice the occupation as well as protects the title of the occupation.

KSA 65-5001 also instructs the technical committee and the Secretary that the recommendation for the level of credentialing should be the least regulatory means of assuring public protection. The least regulatory means of credentialing is "other statutory regulation" besides licensure or registration, then registration, with licensure being the most regulatory means available.

The technical committee recommended licensure which would limit the scope of practice of the occupations to only qualified personnel. The Secretary recommended that the legislature consider a lesser form of credentialing. The Secretary recommended that a law be enacted which sets the current Kansas Department of Education's standards of education which is a master's degree or higher in the respective field for speech-language pathologists and audiologists who work in the public school systems. In addition, the Secretary recommended that all bachelor's level practitioners employed in the public school systems be required to demonstrate initial competency of the occupations by passing a standardized competency examination. Such an examination on a national level is provided by Educational Testing Services.

The reason for the Secretary's recommendation was to address the issue of potential harm caused by bachelor's level speech-language pathologists and audiologists. Research has shown that bachelor's level speech-language pathologists and audiologists do not have all the necessary skills of the profession. There are 190 bachelor's level practitioners in Kansas providing services in the public school systems. Evidence provided by the applicant showed that harm was caused by unqualified practitioners (primarily bachelor's level speech-language pathologists or audiologists) or licensed health care personnel practicing beyond training or employing unqualified persons to provide services. In the majority of the cases of documented harm, the harm was not life threatening. Often the inappropriate actions of the practitioners delayed appropriate treatment and resulted in emotional distress and/or financial repercussions.

Both the technical committee and the Secretary concluded that credentialing speech-language pathologists and audiologists would not address the issue of other licensed health care personnel providing services beyond training or using paraprofessionals inappropriately under the licensee's supervision.

As you are aware, the credentialing review process is only advisory to the legislature. The statutes state that the Secretary is not bound by the technical committee's recommendations nor the legislation by the Secretary's recommendations.

Should the legislature pass House Bill 2104 as amended, KDHE would become the agency responsible for implementing the licensure law for speech-language pathologists and audiologists.

There is one policy concern regarding the amended bill that KDHE asks the legislature to consider. In Section 6(d)(3) on page six, the "grandfather" clause only requires teachers desiring to be licensed to hold a teaching certificate in the occupation. Since the basis of potential harm involved the issue of competency of bachelor's level practitioners (which includes some 190 practitioners) practicing in the public school systems, KDHE recommends that this provision of the bill be strengthened with employment conditions. The specific recommendation is:

In addition to the teaching certificate in speech-language pathology or audiology, an applicant must have been actively engaged in the practice of speech-language pathology or audiology for at least three years of the last five years immediately preceding September 1, 1992.

In a "grandfather" clause, it is customary to substitute formal education or supervised experience requirements with employment conditions.

There are two administrative issues regarding the amended bill that KDHE asks the legislature to consider:

Setting a time restriction for temporary licensure and reducing the number of times for renewal of a temporary license. The way Section 6(f) on page six is currently worded a temporary license could be granted to cover a two-year period or more and can be renewed two more times if the applicant has not passed the test. This time period is excessive (e.g., initial licenses are only good for two years). KDHE suggests that this section read as follows:

The Secretary, upon application, payment of the temporary licensure fee, and submission of evidence of successful completion of the education and supervised clinical practicum experiences, may issue a temporary license. The temporary license shall expire nine months from the date of issuance. The temporary license may be renewed for one period not to exceed nine months by appeal to the Secretary if the applicant has failed the examination or failed to complete the postgraduate professional experience.

Adding to Section 12 on page eight the category of "temporary licensure fee" to the fees that must be set by the Secretary in rules and regulations.

Your consideration of the issues addressed above is appreciated.

Testimony

Presented by: Cathy Rooney, Director

Health Occupations Credentialing Bureau of Adult and Child Care April 3, 1991

#### KANSAS DEPARTMENT OF HEALTH AND ENVIRONMENT

# EXECUTIVE SUMMARY OF THE FINAL REPORT SPEECH-LANGUAGE PATHOLOGISTS AND AUDIOLOGISTS' CREDENTIALING APPLICATION

July 19, 1990

#### The Secretary of Health and Environment Recommends to the Legislature:

I have found that all of the criteria established by KSA 65-5006 were met and that a need for credentialing of speech-language pathologists and audiologists exists.

The potential for harm to the public documented in Criterion I revealed that harm resulted when unqualified practitioners (speech-language pathologists and audiologists trained at the bachelor's level and other health care professionals practicing beyond training or employing unqualified personnel) provided inappropriate services. Harm primarily consisted of patients experiencing unnecessary delays in developing speech-language skills, emotional distress, financial repercussions, and, in three cases, unnecessary surgery. It was also shown that the potential for harm exists. Research demonstrates that the bachelor's level speech-language pathologists and audiologists do not have all the necessary skills of the occupations. Therefore, the issue primarily revolves around assuring that the 190 bachelor's level practitioners who entered the public school systems when the minimal educational qualifications were set by the Kansas Department of Education at a bachelor's level in the respective fields who are still employed are competent to practice. Credentialing of speechlanguage pathologists and audiologists would not address the issue of other health care personnel providing services beyond training or employing unqualified personnel.

In accordance with KSA 65-5007, I recommend that the legislature consider the least regulatory means to assure the public's protection in regard to the harm documented in Criterion I. The least regulatory means would be to enact a bill that would set into law the current Department of Education's standards of education (master's degree or higher in respective field) for speech-language pathologists and audiologists who work in the public school systems and require all bachelor's level practitioners employed in the public school systems to demonstrate competency through successfully passing a standardized competency examination. This measure should adequately address the issues raised in Criterion I and afford the public protection from unqualified practitioners.

Final Report to the Legislature from the Secretary on the Application for Credentialing Speech-Language Pathologists and Audiologists

#### July 19, 1990

The Kansas Speech, Language, and Hearing Association (KSHA), referred to as the applicant, submitted to the Kansas Department of Health and Environment a credentialing application to be reviewed through the credentialing review program. The applicant seeks to make it against the law for anyone to perform services as a speech-language pathologist or as an audiologist unless he/she is licensed as a speech-language pathologist or licensed as an audiologist. In addition, the titles to be protected for a licensed speech-language pathologist include: speech pathologist, speech therapist, voice therapist, etc. The titles to be protected for a licensed audiologist would include: audiologist, audiometrist, hearing therapist, etc.

The application has been reviewed according to the Kansas Credentialing Act (KSA 65-5001, et seq) by a technical committee and the Secretary of Health and Environment. The purposes of the review are to: (1) provide the legislature with a thorough analysis of the application and information gathered at the technical committee meetings; (2) make recommendations on whether the statutory criteria are met and whether there is a need for credentialing; and (3) recommend, if necessary, an appropriate level of credentialing to protect the public from the documented harm. The legislature is not bound by these recommendations.

In accordance with state laws, a seven-member technical committee conducted four fact-finding meetings, which included one public hearing, to investigate the issues. Attached is a copy of the final report of the technical committee.

The statutes require that all of the criteria in KSA 65-5006 be found met and a need for credentialing exists prior to the technical committee and the Secretary recommending that an applicant group be credentialed. The technical committee found that all of the criteria have been met. I concur with the technical committee's findings and conclusions about the criteria. In summary, the technical committee found:

- The applicant has met Criterion I by demonstrating that "the unregulated practice of speech-language pathology and audiology can harm the public and the potential for such harm is recognizable and not remote."

Evidence showed that harm resulted when unqualified practitioners (speech-language pathologists and audiologists trained at the bachelor's level and other health care professionals practicing beyond training or employing unqualified personnel) provided inappropriate services. In the 11 cases, harm primarily consisted of patients experiencing unnecessary delays in developing speech-language skills, emotional distress, financial repercussions, and

in three cases, unnecessary surgery. Although harm was usually not life threatening, the potential for harm appears to exist. For example, research demonstrated that bachelor's level speech-language pathologists and audiologists do not have all of the necessary skills of the occupations. In Kansas, there are 190 persons who only have a bachelor's degree in respective fields employed as speech-language pathologists or audiologists in the Kansas public school systems.

The applicant has met Criterion II by demonstrating that "the practice of speech-language pathology and audiology requires an identifiable body of knowledge or proficiencies that is acquired through a formal period of advanced study and training." The public would benefit from initial and ongoing training of practitioners.

Evidence showed that there appears to be an identifiable body of knowledge with the American Speech-Language-Hearing Association (ASHA) accredited master's or doctorate level programs. A master's degree is considered the minimum academic training for the occupations. However, the applicant's bill proposal allows a person with an equivalent master's degree to be licensed. The technical committee concluded that full completion of a program is necessary for initial training to benefit the public. In addition, the committee technical concluded that since bachelor's level practitioners were the primary source of potential harm, as documented in Criterion I, there should be some mechanism in the "grandfather" section of the licensure law to require bachelor's level employed speech-language pathologists and audiologists to demonstrate initial competency (e.g., passing the national examination) not just showing proof of employment in the field. Without this provision, the argument for credentialing is moot. Therefore, the criteria is met only if some mechanism is incorporated obtain competency from bachelor's level persons being "grandfathered" into the process, a time frame "grandfathering" period, and that the standards for education be a master's degree or higher rather than allowing an equivalent master's degree.

The applicant has met Criterion III by demonstrating that "the clinical work of the occupations is not necessarily subject to the supervision by another health care person or in an inpatient facility."

Evidence showed that 53 percent of speech-language pathologists and audiologists work in a school environment; 15 percent, in hospitals; eight percent, in rehabilitation units; four percent, in private physician offices; and seven percent, in their own offices. Practitioners appear to operate under their own supervision, even in inpatient facilities.

- The applicant has met Criterion IV by illustrating that the public is not effectively protected from the potential for harm from bachelor's level practitioners in the school systems, unqualified practitioners in private practice, and from other health care personnel providing services beyond

their training. However, it was never proven through Criterion I that private practitioners are a threat to the public. In addition, credentialing of speech-language pathologists and audiologists would not address the issue of other health care personnel providing services beyond training.

During the review, evidence did show that private certification and other means besides state credentialing are in place and do provide the public some protection. For example, to receive Medicare or Medicaid reimbursement for services, the practitioner must be privately certified by the American Speech-Language-Hearing Association. Other protection is offered by the requirement that one must be licensed by the Kansas Board of Hearing Aid Examiners to fit and dispense hearing aids. Nationally, 53 percent of the practitioners work in schools and, in the Kansas public school systems, to practice one must be certified as a teacher and have a master's degree in the respective field. However, the problem arises since previous educational standards for employment in the school systems only required a bachelor's degree in the respective field. Some 190 bachelor's level practitioners are employed in the Kansas public school systems.

- The applicant has met Criterion V by showing that "the effect of credentialing on the cost of health care to the public is minimal."

> From the information provided, the two occupations already receive third-party reimbursement for services provided and a part of the cost of state regulation would be borne by the occupations through the licensure fee.

The applicant has met Criterion VI by illustrating that credentialing of the occupations probably would have a minimal effect on availability of speech-language pathologists and audiologists practicing in Kansas.

Kansas ranks better than the national ratio of privately certified speech-language pathologists and audiologists per 100,000 population. The ratio nationally is 20.9/100,000 population where Kansas is at 26.5 speech-language pathologists and audiologists per 100,000 population. The applicant's proposal included a "grandfather" clause to allow some individuals already employed to be licensed. In Kansas there are some 1,009 privately certified speech-language pathologists and 65 audiologists.

The applicant has met Criterion VII by drafting "scope of practices for the occupations that are identifiable."

The scope of practice for speech-language pathologists includes: (1) preventing, identifying, evaluating, consulting, habilitating, rehabilitating, instructing, and researching; (2) determining the need for personal augmentative communication systems, recommending such systems and providing training in utilization of such systems; and (3) planning, directing, conducting, or supervising such

services. The scope of practice for audiologists includes: (1) preventing, identifying, evaluating, consulting, habilitating or rehabilitating (other than hearing aid or other assistive listening device dispensing), instructing, and researching; (2) participating in hearing conservation; (3) providing auditory training and speech reading; (4) conducting tests of vestibular functions; (5) evaluating tinnitus; and (6) planning, directing, conducting, or supervising services.

The applicant has met Criterion VIII by demonstrating that the "effects of credentialing speech-language pathologists and audiologists on the scope of practice of other health care personnel appears to be minimal."

Information provided showed that the applicant's proposal would not affect other licensed practitioners (physicians, physician assistants, nurses, hearing aid dealers, occupational therapists, and respiratory therapists) who perform the same or similar functions as speech-language pathologists and audiologists but at different levels of skills and training. Paraprofessionals would still be able to perform functions under the supervision of speech-language pathologists and audiologists or licensed health care professionals.

The applicant has met Criterion IX by demonstrating that there are "nationally recognized standards of education that exist for the practice of the occupations."

There are nationally recognized standards of education and these standards are identifiable in the American Speech-Language-Hearing Association (ASHA) accredited master's or doctorate degree programs in these fields. In Kansas, four universities offer ASHA-accredited speech-language pathology programs and two universities offer ASHA-accredited audiology programs.

The technical committee found that all of the criteria established in KSA 65-5006 have been met and that there is sufficient need shown for the credentialing of speech-language pathologists and audiologists. Since all of the criteria are found met and a need for credentialing exists, the next step in the process is to apply criteria established in KSA 65-5007 to determine the appropriate level or levels of credentialing to protect the public.

#### LEVEL OF CREDENTIALING

Credentialing should be aimed at helping alleviate the problems documented in Criterion I which are associated with unqualified practitioners, primarily bachelor's level speech-language pathologists and audiologists providing inappropriate services. There are three credentialing options (statutory regulation, registration, and licensure) specified in KSA 65-5007. This statute further instructs that the recommendation for the level of credentialing should be the least regulatory means of assuring protection. The least regulatory means of credentialing as defined in KSA 65-5007 is "other regulatory means," then registration, with licensure being the most regulatory means available.

The technical committee found no evidence that other regulatory actions have been pursued or are being considered by other states in the form of criminal or civil laws or injunctive remedies to address the issue of harm. The technical committee went on to conclude that the scopes of practice of speech-language pathologists and audiologists should be restricted to qualified personnel. Therefore, the technical committee recommended licensure as the appropriate level of credentialing to protect the public from unqualified practitioners. Although licensure is one way to protect the public, I conclude that there is a less regulatory measure that would assure public protection.

The basis for finding Criterion I met was the documentation provided about four bachelor's trained speech-language pathologists and three bachelor's trained audiologists who provided inappropriate services causing patients and families to experience emotional distress, financial repercussions, and/or delays in some patients developing speech-language skills. Any type of credentialing of speechlanguage pathologists and audiologists would not necessarily have an impact on the documented cases where licensed health care professionals practiced beyond training or employed an unqualified person in the area of speech and language therapy. Therefore, the issue primarily revolves around assuring that the 190 bachelor's level practitioners who entered the public school systems when the minimal educational qualifications were set by the Kansas Department of Education at a bachelor's degree level in the respective fields who are still employed are competent to practice. (The current standard is a master's degree or higher in the respective fields and it is the same standard that a licensure law would require; therefore, the current standard protects the public from the potential harm documented in Criterion I.)

In keeping with the requirement that the least regulatory means for protecting the public should be recommended, I propose that the legislature consider legislation to set into law the current Department of Education's standard of education (master's degree or higher in respective field) for speech-language pathologists and audiologists who work in the public school systems and require all bachelor's level practitioners currently working in the school systems to prove competency by passing a standardized competency examination. This measure would adequately address the issues raised in Criterion I and afford the public protection from unqualified practitioners.

#### Secretary's Conclusions and Recommendations

- I have found that all of the criteria established by KSA 65-5006 are met and a need for credentialing exists.
- In accordance with criteria established by KSA 65-5007, I recommend that the legislature pursue "other regulatory means" to assure public protection by setting into law the current Department of Education's standard of education (master's degree or higher in respective field) for speech-language pathologists and audiologists who work in the public school systems and require all bachelor's level practitioners of the public school in the school systems to prove competency by passing a standardized competency examination.

3 KSA 65-5005 delineates that the Secretary is to identify the appropriate agency for the credentialing process. Should a competency test be administered, the Department of Education is my recommendation for the appropriate agency to administer such a requirement.

Stanley C. Grant,

Secretary of Health and Environment

19 July 1990

FINAL FINDINGS AND CONCLUSIONS OF THE TECHNICAL COMMITTEE ON THE REVIEW OF THE APPLICATION TO LICENSE SPEECH-LANGUAGE PATHOLOGISTS AND AUDIOLOGISTS

Technical Committee Meeting March 9, 1990

Submitted to the Secretary March 28, 1990

The Kansas Speech, Language, and Hearing Association (KSHA), cited in this report as the applicant, submitted a credentialing application to the Kansas Department of Health and Environment. The application was revised and resubmitted to conform with the current review process and to be consistent with the criteria established by the 1986 legislature.

The applicant desires the State of Kansas to require persons providing services as a speech-language pathologist or audiologist to be licensed in order to practice. Therefore, there could be two separate licenses issued - one for speech-language pathologists and one for audiologists. A person could be licensed in both areas if he/she meets all of the licensure conditions.

This report summarizes the final findings, conclusions, and recommendations of the technical committee regarding the credentialing application. The statutes require that all of the criteria must be found met and that a need for credentialing must be determined before a recommendation for credentialing can be made. In summary, the technical committee found all of the criteria have been met and that a need for credentialing exists. Information provided shows that unqualified practitioners (speech-language pathologists and audiologists trained at the bachelor's level and other health care professionals practicing beyond training or employing unqualified personnel) caused harm when inappropriate Documented harm primarily consisted of patients services were provided. experiencing unnecessary delays in developing speech-language skills, emotional distress, financial repercussions, and, in three cases, unnecessary surgery. The technical committee concluded that the scope of practice of the occupations Thus, the technical committee should be restricted to qualified personnel. recommended that the state enact a law to license speech-language pathologists and audiologists.

#### Summary of Application

The applicant desires to make it against the law for anyone to perform as a speech-language pathologist or as an audiologist unless he/she is licensed as a speech-language pathologist or licensed as an audiologist (Application, Appendix B, page 10). The proposal suggests that a person can be licensed in both areas if he/she meets the respective qualifications. According to the revised bill proposal, only licensed speech-language pathologists shall use the titles "speech pathologist," "speech therapist," "speech correctionist," "speech clinician," "language pathologist," "voice therapist," "voice pathologist," "logopedist," "communicologist," "aphasiologist," or "phoniatrist" (Application, Appendix B, page four, and Official Record, Exhibit 6b). The terms to be

protected for licensed audiologists are: "audiologist," "audiometrist," "hearing therapist," "hearing clinician," or "hearing aid audiologist" (Application, Appendix B, page six).

According to the applicant, "speech-language pathologists evaluate and treat consumers with communication disorders due to delay or failure to develop speech and language and loss of speech and language skills due to injury, stroke, and physical and medical problems" (Official Record, Exhibit 3, page one). audiologist evaluates the functioning level of the auditory system, determines the type and degree of hearing deficit and auditory processing abilities, and/or manages the nonmedical aspects of hearing loss. In addition, audiologists provide rehabilitation other than hearing aid or other assistive listening device dispensing (Official Record, Exhibit 3, page one, and Exhibit 6B). In summary, to be eligible for a license as a speech-language pathologist or audiologist, one must: (1) have at least a master's degree or equivalent in speech-language pathology or audiology, (2) complete a supervised practicum experience in the particular field of study, (3) complete a postgraduate professional experience in the particular field of study, and (4) pass an examination (Official Record, Exhibit 6b). (See Criterion II, pages six through eight, for more information on educational standards.)

#### CRITERIA TO DETERMINE THE NEED FOR CREDENTIALING

The statutes require the technical committee to determine if the statutory criteria have been met by analyzing the application and information gathered at the meetings and the public hearing. This portion of the report summarizes the information provided and the findings and conclusions on whether each of the nine criteria are met.

#### CRITERION I

The unregulated practice of the occupation can harm or endanger the health, safety, or welfare of the public and the potential for such harm is recognizable and not remote.

#### Information Provided

According to the applicant, speech-language pathologists and audiologists provide services to all ages. However, the elderly and children populations are most in need of speech-language pathologist and audiologist services. For example, one-third of the 65 and older population have significant hearing losses. The highest prevalence of any handicap condition of preschoolers is speech-language disorders (Application, Appendix C, page six).

The applicant contends that harm to the client is due to unqualified persons providing services and qualified persons who have acted incompetently or unethically (Application, page 2, and Official Record, Exhibit 3). According to the applicant, a licensing law would rectify these situations by setting the qualifications for practitioners of the two professions and by identifying to the public and to other health care providers appropriate practitioners. In addition, a licensure law would set ethical standards of qualified practitioners and enforce such standards (Application, pages 12 and 42).

The applicant provided examples of two types of unqualified persons providing speech-language pathology services in Kansas which have resulted primarily in emotional and/or financial harm to a client or a client's family: (1) bachelor's level pathologists and (2) other health care professionals practicing beyond their training or employing unqualified personnel.

The applicant provided several incidents in Kansas where a bachelor's level practitioner provided inappropriate services causing harm.

A Kansas bachelor's level speech clinician provided inappropriate services in the school for an eighth-grade boy for eight years which made his stuttering worse to the point communication was almost impossible, resulting in emotional harm (Official Record, Exhibit 3).

A Kansas bachelor's level speech-language pathologist provided inappropriate eating advice to a head-injured patient which could have led to choking to death but resulted in emotional distress for the patient's family and financial harm due to cost of unnecessary tests (Official Record, Exhibit 3).

A Kansas private, bachelor's level speech clinician provided inappropriate speech services for six months to an eight-year-old hearing impaired child whose supposed problem was not opening his mouth wide enough. This resulted in inappropriate educational instruction and emotional harm (Official Record, Exhibit 11).

A Kansas bachelor's level school speech-language pathologist provided inappropriate speech services to cleft palate children when physical management was needed. This resulted in financial harm through inappropriate use of public dollars in the school system (Official Record, Exhibit 3).

A Kansas bachelor's level school audiologist gave inappropriate services to an eight-year-old hearing impaired child, resulting in inappropriate educational instruction and emotional harm (Official Record, Exhibit 11).

A bachelor's level person performed audiology evaluations in a physician's office which resulted in three young children being misdiagnosed. This caused a delay in appropriate rehabilitation and added expenses and anxiety for the parents (Application, Appendix E, Letter 6).

A bachelor's level Kansas school audiologist misdiagnosed a child as having a brain tumor, resulting in emotional distress and financial harm of unnecessary tests (Official Record, Exhibit 11).

The applicant supplied several cases where unqualified persons (those health care professionals practicing beyond training or employing unqualified personnel to perform services) caused a delay in appropriate treatment and emotional and/or financial harm and, in one case, a delay in speech and language skills development.

A Canadian physician delayed treatment for a child for a year because he was unaware that the child could be tested at an early age. A delay in development of speech and language skills resulted.

A Canadian physician explained to an elderly patient that he may need surgery. The elderly patient became suicidal over the issue (emotional harm). An audiologist concluded that he only needed a hearing aid (Application, Appendix D).

A Kansas physician employed a nurse (or his wife) to do hearing tests. In three cases, surgery was performed on ears with pure sensorineural hearing loss. The misdiagnoses were based on inaccurate testing leading to emotional and financial harm (Application, Appendix E).

A family physician misdiagnosed a hearing condition and a patient bought three different hearing aids over four years. After proper evaluation and surgery, the patient does not require a hearing aid and has normal hearing (Application, Appendix E).

The applicant contends that licensure is also necessary due to harm resulting from incompetent or unethical actions of qualified personnel. The most common complaints against licensed or certified speech-language pathologists and audiologists received by 14 state licensure agencies, two insurance companies, and 17 state associations who responded to a survey included: practicing without a license, practicing beyond scope permitted by law, incorrect or inadequate treatment, injury from equipment/premises, and moral fitness (Application Appendices C and H, page 36). Several examples of incompetent and unethical actions of qualified speech-language pathologists were provided in the application and testimony (Application, Appendix C, and Official Record, Exhibit 3). Unethical behavior included cases where the practitioner sexually exploited a young patient. An example of incompetency leading to harm was cited when a certified speech-language pathologist and audiologist failed to diagnose a hearing loss for one year in a child who was not talking. This resulted in delayed treatment and emotional distress (Official Record, Exhibit 11).

The applicant noted that ASHA-sponsored liability insurance carrier documented 20 claims filed in court against practitioners during March 1973 through May 1979 (seven claims) and February 1981 to July 1982 (13 claims). The claims varied from negligence to failure to refer (Application, page 24). Another court case in Minnesota resulted in a woman being convicted of Medicaid bill fraud for treatment provided to mentally retarded patients (Official Record, Exhibit 3). Another California woman was convicted of using false credentials to practice as a PhD speech-language pathologist (Official Record, Exhibit 3).

The applicant noted that a California Supreme Court ruled for the parents of a deaf child against an audiologist who failed to diagnose heredity deafness. On this information, the parents had a second child with the same disorder (Official Record, Exhibit 3).

Two examples of unqualified persons in Kansas using the title "audiologist" were documented in the application (Application, Appendix C; Appendix E, Letter 3b;

and Appendix G, pages four and five). The term "hearing aide audiologist" can still be used in Kansas inappropriately by nonaudiologists (Application, Appendix G, page one).

#### Potential for Harm

Several examples of <u>possible</u> physical, emotional, and/or financial harm to clients resulting from a practitioner's erroneous diagnosis or treatment or inappropriate use of devices are provided in the application (Application, pages 9-17, and Appendix C). In a majority of the examples, the possible harm was not life threatening. Often the inappropriate actions of the practitioner delayed correct treatment/rehabilitation, which might cause delays in developing speech and language skills, emotional distress, and financial repercussions. An example of what happens to the cost of services if delayed was provided as follows:

It is estimated that if special education begins at birth, the cost of such services per child is to the age of 18 would amount to \$62,776. If one waits until the child is school age to begin services, the cost would be \$78,848 etc. (Application, Appendix C, page 21, and Official Record, Exhibit 3).

A master's degree is considered the appropriate academic training for speechlanguage pathologists and audiologists. A study by ASHA on competency of bachelor's and master's degree speech-language pathologists found that bachelorlevel speech-language pathologists rated themselves incompetent in 28 of 32 necessary skills of the profession (Application, Appendix C, page 24). applicant stated, "There are 190 persons who only have a bachelor's degree employed as speech-language pathologists or audiologists in the Kansas public school systems" (Official Record, Exhibit 11). It is estimated that a majority of the 190 persons are speech-language pathologists, according to the applicant. National estimates noted that up to 30 percent of the individuals providing speech-language services do not meet minimum professional qualifications (Official Record, Exhibit 11, Letter 3b). One example of a nurse providing speech-language pathology services beyond training was cited by the applicant (Application, Appendix G). The applicant provided several examples of other Kansas health care personnel (e.g., nurses, chiropractors, and occupational hearing conservationists) providing audiology services beyond training (Application, Appendices E and G).

#### Findings

From the information provided, there appears to be evidence that harm occurs due to the unregulated practice of the occupations and that the potential for harm is recognizable and not remote. In the majority of the actual documented cases of harm, the harm was not life threatening. Often the inappropriate actions of the practitioner delayed appropriate treatment and resulted in emotional distress and/or financial repercussions. Documentation showed that harm to patients was often due to an unqualified person providing services. Two types of unqualified practitioners were documented: (1) bachelor's degree speechlanguage pathologists or audiologists, and (2) other health care personnel practicing beyond training or employing unqualified persons to provide services.

Research demonstrated that bachelor's level practitioners do not have all the necessary skills of the profession. There are some 190 bachelor's level practitioners in Kansas providing services in the public school system.

#### Conclusions

The criterion is met.

#### CRITERION II

The practice of the occupation requires an identifiable body of knowledge or proficiency in procedures, or both, acquired through a formal period of advanced study or training, and the public needs and will benefit by assurances of initial and continuing professional ability.

#### Information Provided

The tasks of a speech-language pathologist include: evaluate language and speech disorders; plan and implement treatment for problems identified; provide counseling to client, family, and/or care giver; administer treatment, supervision of paraprofessional, etc.; and coordinate research (Application, page six). The tasks of an audiologist include: evaluate standard tests and site of lesion test; treatment in the areas of lip-reading training, auditory training, and hearing conservation; counseling of client, family, or care giver; administer treatment, supervision of paraprofessionals, etc.; and conduct research (Application, page eight).

According to the American Speech-Language-Hearing Association (ASHA), to acquire the body of knowledge to perform tasks, one must have: (1) graduated from a master's or doctoral degree speech-language pathology or audiology program accredited by the Educational Standards Board of ASHA, (2) completed 375 clock hours of supervised clinical observation and practicum in the particular field of study provided by an approved educational institution, (3) completed 36 weeks of a clinical fellowship (full-time professional experience) in the particular field of study, and (4) passed the national examination in the particular field of study (Application, Appendix J, pages one through four).

The body of knowledge acquired from an ASHA-accredited speech-language pathology program includes 75 semester credit hours in biological/physical sciences, mathematics, behavior and/or social sciences, and the nature, prevention, evaluation, and treatment of speech, language, hearing, and related disorders. Thirty semester credit hours out of the 75 must be in speech-language pathology. Fort Hays State University, Kansas State University, University of Kansas, and Wichita State University offer ASHA-accredited speech-language pathology programs (Application, pages 27 and 28). The body of knowledge acquired from an ASHA-accredited audiology program includes 75 semester credit hours in biological/physical sciences, mathematics, behavioral and/or social sciences, and the nature, prevention, evaluation, and treatment of speech, language, and hearing disorders. Thirty semester credit hours out of the 75 must be in audiology. The University of Kansas and Wichita State University offer ASHA-accredited audiology programs (Application, pages 27-28).

Examples of new developments in the assessment and treatment of communication disorders and knowledge of language development were given in the application to illustrate the need for speech-language pathologists to keep up with current trends (Application, page 29). Examples of new developments in the devices used by practitioners were given in the application to illustrate the need for audiologists to keep up with current trends (Application, page 29). Opportunities to receive continuing education are available through workshops or seminars by ASHA sponsors. The revised legislation bill proposal allows the regulatory agency to establish conditions for the licensee to demonstrate continued competencies through participation in continuing education programs as a requirement for renewal of a license (Official Record, Exhibit 6b).

According to the revised bill proposal, to be eligible for a license as a speech-language pathologist or audiologist, one must: (1) have at least a master's degree or equivalent in speech-language pathology or audiology from an approved educational institution which consists of approved course work in the particular field of study, (2) complete a supervised practicum experience in the particular field of study, (3) complete a postgraduate professional experience in the particular field of study, and (4) pass an examination in the particular field of study (Official Record, Exhibit 6b). The applicant recommends that the education programs, supervised practicum experience, and postgraduate professional experience reflect standards set by the American Speech-Language-Hearing Association (ASHA).

There is a "grandfather" clause in the revised bill proposal. For a one-year period after the law becomes effective, one must show proof of employment in the practice of speech-language pathology or audiology for at least two out of a three-year period prior to the effective date of the act to receive a license in that field.

#### Findings

From the information provided, there appears to be an identifiable body of knowledge acquired through formal training provided in ASHA-accredited programs. The public would benefit from initial and ongoing training. The technical committee is concerned with the applicant's bill proposal in that it allows a person with an equivalent master's degree to be considered a candidate for Apparently an equivalent degree can refer to someone who has a doctorate or completed the course work but not some other item (e.g., thesis) necessary to complete the graduate program. The technical committee concluded that full completion of a program is necessary. Alternative language to set the standards to a master's degree or higher is recommended. In addition, the technical committee concluded that since bachelor's level practitioners were the primary source of potential harm as documented in Criterion I there should be some mechanism in the licensure process during the grandfather period of the licensure law to require them to demonstrate initial competency (e.g., passing the national examination). As the proposed bill stands, now a bachelor's level practitioner during the grandfather period would only have to show proof of employment as a speech-language pathologist or audiologist to be granted a license.

#### Conclusions

Criterion II is met provided some mechanism is incorporated to obtain competency from people being grandfathered in (include a time frame) and that in Section 5 of the proposed bill the words "or equivalent" be stricken.

#### CRITERION III

If the practice of the occupation or profession is performed, for the most part, under the direction of other health care personnel or impatient facilities providing health care services, such arrangement is not adequate to protect the public from persons performing noncredentialed functions and procedures. (The Secretary recognizes this criterion as asking for documentation on why such arrangements are not adequate to protect the public.)

#### Information Provided

The applicant contends that "speech-language pathologists and audiologists are independent practitioners qualified to identify, assess, and provide nonmedical treatment for individuals with speech, language, and hearing disorders" (Application, page 31). Practitioners operate under their own supervision, even in inpatient facilities. An ASHA survey of providers illustrates that 53 percent work in a school environment; 15 percent, in hospitals; eight percent, rehabilitation units, four percent, private MD offices; and seven percent, in their own offices, etc. (Application, page 31).

#### **Findings**

From information provided, the practice of the occupations appear to be outside of inpatient facilities and not under the direction of other health care practitioners. Those practitioners in the school system are under the direction of the educational facility.

#### Conclusions

Criterion III is met because the clinical work is not necessarily subject to supervision by another health care person or in an inpatient facility.

#### CRITERION IV

The public is not effectively protected from harm by (private) certification of members of the occupation or by means other than (state) credentialing. (The KDHE Secretary recognizes this criterion as asking for documentation on why certification [nongovernmental or federal] or other means are not effective in protecting the public from harm.)

#### Information Provided

National (private) certification for speech-language pathologists and audiologists is through the American Speech-Language-Hearing Association (ASHA).

There are at least 1,009 speech-language pathologists and 65 audiologists in Kansas (Official Record, Minutes, 11-13-89 Meeting, page four). The applicant estimates there are another 223 persons practicing who have appropriate training but are not members or certified by ASHA. The applicant explained that private certification is not adequate since it is a voluntary process and, therefore, unenforceable (Application, page 32). ASHA has developed standards of practice for the occupations but no mechanism of enforcement (Application, page 33). In addition, ASHA certification lacks a requirement for continuing education.

Speech-language pathologists and audiologists in the public school systems must be certified as a teacher by the State Board of Education and must have a master's degree in respective field (Application, page 34). Previously, the school system hired bachelor's level practitioners. The applicant did not state what the date was when the requirement for a teaching certificate for persons providing speech-language pathology and for persons providing audiology services changed from a bachelor's degree to a master's degree. The applicant was unable to provide any information on the number of certified teachers who also provide private practice services. To fit and dispense hearing aids, one must be licensed by the Kansas Board of Hearing Aid Examiners. Seventy out of 237 persons licensed by this board are audiologists. No federal certification requirements exist. To receive Medicare or Medicaid reimbursement for services, the speech-language pathologists or audiologists must be ASHA certified.

The Food and Drug Administration requires a medical evaluation before the sale of a hearing aid. Patients under the age of 18 must have an audiology evaluation prior to the purchase of a hearing aide (Application, page 34). The applicant contends that this regulation is too narrow to protect the public from the unrestricted use of devices and substances of the occupations (Application, page 34).

#### Findings

From the information provided, it appears private certification and other means besides state credentialing are in place and do provide the public some protection. However, the public is not effectively protected from the potential for harm from bachelor's level practitioners in the school systems, unqualified practitioners in private practice, and from other health care personnel providing services beyond training.

#### Conclusions

Criterion IV is met.

#### CRITERION V

The effect of credentialing of the occupation on the cost of health care to the public is minimal. (The KDHE Secretary stipulates that the applicant, in determining if the cost of health care to the public is minimal, shall consider fees-for-services, salaries and wages, and payments to members and services covered by the public and private insurance programs.)

#### Information Provided

According to the applicant, fees for services vary according to employment setting. Speech-language pathology services are generally based on an hourly rate ranging from \$50 to \$125 per hour (Application, page 35). Audiology fees are usually based on the procedure completed. A hearing test range from \$18 to \$300. The applicant maintains that fees would not be impacted due to licensure since fees are determined by individual cost-accounting procedures (Application, page 35). Speech-language pathologists and audiologists currently receive third-party reimbursement. A consumer must be referred by a physician for insurance reimbursement. Therefore, a state credentialing requirement will probably not impact current status (Application, page 34).

According to the applicant, the cost to the state of a licensure requirement would be the administrative cost of the board and fees can be set to cover these costs (Application, pages 36 and 37).

#### **Findings**

From the information provided, the two occupations already receive third-party reimbursement and part of the cost of state regulation would be borne by the occupations through the licensure fees. Therefore, the cost of credentialing would be minimal.

#### Conclusions

Criterion V is met.

#### CRITERION VI

The effect of credentialing of the occupation on the availability of health care personnel providing services provided by such occupation is minimal.

#### Information Provided

The applicant concludes that the effects of credentialing on the mobility of current speech-language pathologists and audiologists will be minimal since most of the 38 states that license the occupations have reciprocal agreements to license professionals moving into the state (Application, page 37).

The applicant proposes that individuals who are currently employed in the occupations at the time the licensure law goes into effect will be grandfathered into the licensing process (Application, page 37, and Official Record, Exhibit 6b).

The applicant stated, "There are 190 persons who only have a bachelor's degree employed as speech-language pathologists or audiologists in the Kansas public school systems" (Official Record, Exhibit 11). The applicant stated that there is a 50-50 split of members in urban/rural settings (Official Record, Minutes 3-9-90.) The applicant notes that Kansas ranks better than the national ratio of certified speech-language pathologists and audiologists per 100,000

population. The ration nationally is 20.9/100,000 population where Kansas is at 26.5 speech-language pathologists and audiologists per 100,000 population (Official Record, Exhibits 5 and 11). No optimal ratio was available to determine if the ratio is adequate or not.

According to the applicant, some rural areas have difficulty in recruiting qualified health care professionals in a variety of fields. However, the impact on the availability of practitioners in rural areas should be minimal due to the grandfather process (Official Record, Minutes, 11-13-89 Meeting, page 12). The Kansas Hospital Association noted that licensure of speech-language pathologists and audiologists would have little, if any, impact on hospitals' ability to deliver these services. However, some rural hospitals have problems with recruitment of a variety of health care practitioners (Official Record, Exhibit 16). A letter from a rural Kansas hospital administrator expressed the existing problem with obtaining a certified speech-language pathologist or audiologist as required by Medicare (Official Record, Exhibit 16).

#### Findings

From the information provided, the effect of licensure of speech-language pathologists and audiologists would appear to be minimal on the availability of speech-language pathologists and audiologists providing services in the state. One technical committee member asked that his concerns be noted about the possible effects of licensure on the already existing problem in rural areas of attracting health care professionals.

#### Conclusions

Criterion VI is met.

#### CRITERION VII

The scope of practice of the occupation is identifiable.

#### Information Provided

The scope of practice of speech-language pathologists, as defined in the model bill proposal, is:

- • rendering or offering to render to individuals or groups of individuals who have or are suspected of having disorders of communication any service in speech-language pathology including:
- (1) prevention, identification, evaluation, consultation habilitation, rehabilitation, instruction, and research;
- (2) determining the need for personal augmentative communication systems, recommending such systems, and providing training in utilization of such systems; and (3) planning, directing, conducting, or supervising such services (Official Record, Exhibit 6b).

The scope of practice for audiologists is defined in the revised bill proposal as:

. . . rendering or offering to render to individuals or groups of individuals who have or are suspected of having disorders of hearing prevention, audiology including: (1)services in identification, evaluation, consultation, habilitation rehabilitation (other than hearing aid or other assistive listening device dispensing), instruction, and research; (2) participating in hearing conservation; (3) providing auditory training and speech reading; (4) conducting tests of vestibular functions; (5) evaluating tinnitus; and (6) planning, directing, conducting, or supervising services (Official Record, Exhibit 6b).

Examples of the scope of practices used in other state licensure laws for the two professions were included in the application (Application, Appendix N). The applicant notes that the national private certification association (ASHA) of the occupations recognizes these definitions as the scope of practices of speech-language pathologists and audiologists (Application, page 38).

#### **Findings**

From the information provided, there appears to be an identifiable scope of practice for the two occupations.

#### Conclusions

Criterion VII is met.

#### CRITERION VIII

The effect of credentialing of the occupation on the scope of practice of other health care personnel, whether or not credentialed under state law, is minimal.

#### Information Provided

According to the applicant, physicians, physician assistants, nurses, hearing aid dealers, occupational therapists, and respiratory therapists may perform the same or similar functions as speech-language pathologists and audiologists but at different levels of skills and training (Application, pages 39 and 40). Other personnel, such as paraprofessionals, may perform functions under the direction of a speech-language pathologist or audiologist (Official Record, Minutes, 11-13-89 Meeting, page 13). The applicant maintains that credentialing of the two occupations would not impact the scope of practice of the licensed practitioners since they have established scopes of practice (Application, page 41). The Kansas Hearing Aid Association, Inc., and the Kansas Board of Hearing Aid Examiners support the revised proposal since audiologists will not be pursuing separate licensure to fit or dispense hearing aids or assistive listening devices (Official Record, Exhibits 9 and 12). The present licensing law requires individuals who fit and/or dispense hearing aids to be licensed by the Board of Hearing Aid Examiners (Official Record, Exhibits 9 and 12). Therefore, audiologists performing these functions would have to be licensed by the Board of Hearing Aid Examiners.

According to the applicant, paraprofessionals would still be able to perform functions under the supervision of speech-language pathologists and audiologists or licensed health care professionals.

#### Findings

From the information provided, the effects of licensure of speech-language pathologists and audiologists on the scope of practice of other licensed/registered or unregulated health care personnel appears to be minimal.

#### Conclusions

Criterion VIII is met.

#### CRITERION IX

Nationally recognized standards of education or training exist for the practice of the occupation and are identifiable.

#### Information Provided

The American Speech-Language-Hearing Association (ASHA) has established educational and training standards for speech-language pathology and audiology. These standards involve: (1) graduation from a master's or doctoral degree in the respective field (speech-language pathology or audiology), (2) completion of 375 clock hours of supervised clinical observation and practicum in the respective field of study, and (3) completion of a 36-week clinical fellowship (full-time professional experience) in the respective field of study. (For more information, see Criterion II, pages five and six of this report.) In Kansas, four universities offer ASHA-accredited speech-language pathology programs (Application, pages 27 and 28). There are two universities in Kansas that offer ASHA-accredited audiology programs (Application, pages 27 and 28).

#### Findings

The American Speech-Language-Hearing Association (ASHA) has established educational and training standards that are identifiable. ASHA accredits educational programs.

#### Conclusions

Criterion IX is met.

#### CRITERION X - LEVEL OF CREDENTIALING

The statutes state that all recommendations of the technical committee relating to the level or levels of credentialing of speech-language pathologists and audiologists must be consistent with the policy that the least regulatory means of assuring the protection of the public is preferred. The options afforded by statutes beginning with the least regulatory and ending with the most regulatory are: (1) statutory regulation such as the creation or extension of civil action, criminal prohibitions, or injunctive remedies; (2) registration; and (3) licensure.

The remainder of this report is an analysis of the three credentialing options (statutory regulation, registration, and licensure) and recommendations concerning the most appropriate level or levels of credentialing to help protect the public from the cause of the documented harm.

#### Option 1 - Statutory Regulation

The statutes state that statutory regulation, other than registration or licensure, by the creation or extension of statutory causes of civil action, criminal prohibitions, or injunctive remedies is the appropriate level of credentialing when this level will adequately protect the public.

#### Information Provided

No information was provided. It does not appear that other regulatory actions have been pursued or are being considered by other states in the form of criminal or civil law or injunctive remedies to address the issue of harm.

#### Option 2 - Registration

The statutes state that registration is the appropriate level when statutory regulation is not adequate to protect the public and when registration will adequately protect the public by identifying practitioners who possess certain minimum occupational skills so that members of the public may have a substantial basis for relying on the services of such practitioners.

#### Information Provided

No state currently registers members of these two occupations. The State of Minnesota is pursing a registration bill for speech-language pathologists and audiologists. Registration restricts the use of titles and requires those choosing to become registered to meet specific educational requirements and pass an examination. Registration does not prevent anyone from practicing the occupation as long as he/she does not refer to himself/herself as a speech-language pathologist or audiologist. Only a licensure law would restrict a scope of practice to those who demonstrate competency. It was noted in Criterion I there were several examples of unqualified persons using the title audiologist.

The applicant maintains that registration would allow consumers to identify qualified practitioners. However, registration would not address those practitioners who choose not to be registered and the unethical practitioner (Application, page 43).

#### Option 3 - Licensure

The statutes state that licensure is the appropriate level when statutory regulation and registration is not adequate to protect the public and when the speech-language pathologists and audiologists to be licensed perform functions not ordinarily performed by persons in other occupations or professions.

#### Information Provided

Thirty-six states license speech-language pathologists and audiologists. A licensure law would restrict the scope of practice to individuals who demonstrate competency (meet qualifications) and are licensed by the state. Licensure also protects the title of the occupation.

The applicant maintains that licensure would adequately protect the public by regulating the factors that cause harm: unethical and unqualified practice (Application, page 44). The applicant contends that harm to the client is due to unqualified persons providing services and qualified persons who have acted incompetently or unethically (Application, page 2, and Official Record, Exhibit 3). According to the applicant, a licensing law would rectify these situations by setting the qualifications for practitioners of the two professions and by identifying to the public and to other health care providers appropriate practitioners. In addition, a licensure law would set ethical standards of qualified practitioners and enforce such standards (Application, pages 12 and 42).

#### The applicant states that:

Communication requires a combination of three general aspects of human functioning: physiological, psychological, and social. Persons in other occupations, therefore, have knowledge of some aspects of human communication, but not necessarily others. Physicians, for example, primarily deal with the anatomical and physiological aspects of communication. Psychologists primarily deal with the psychological aspects. Speech-language pathologists and audiologist, however, are educated in all aspects of human communication and its disorders. Ordinarily, therefore, speech-language pathologists and audiologists are the professionals best educated for the nonmedical diagnosis and treatment of communication disorders (Application, page 44).

### RECOMMENDATIONS RELATED TO THE LEVEL OR LEVELS OF CREDENTIALING TO PROTECT THE PUBLIC

The technical committee finds the criteria to be met and that there is a significant need shown for credentialing speech-language pathologists and audiologists. The technical committee concludes that the scope of practice of speech-language pathologists and audiologists should be restricted to qualified personnel. Therefore, the technical committee recommends that licensure for speech-language pathologists and audiologists is the appropriate level of credentialing to protect the public from the documented harm.

#### **HOUSE BILL No. 2168**

By Committee on Public Health and Welfare

2-6

AN ACT concerning the state board of healing arts; relating to grounds and proceedings for discipline and for denial of licenses; concerning temporary registrations; amending K.S.A. 65-2842 and 65-2851a and K.S.A. 1990 Supp. 65-2809, 65-2836 and, 65-2837, 65-5408 and 65-5508 and repealing the existing sections; also repealing K.S.A. 65-2805.

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31 32 Be it enacted by the Legislature of the State of Kansas:

Section 1. K.S.A. 1990 Supp. 65-2809 is hereby amended to read as follows: 65-2809. (a) The license shall expire on June 30 each year and may be renewed annually upon request of the licensee. The request for renewal shall be on a form provided by the board and shall be accompanied by the prescribed fee, which shall be paid not later than the expiration date of the license.

- (b) Except as otherwise provided in this section, the board shall require every licensee in the active practice of the healing arts within the state to submit evidence of satisfactory completion of a program of continuing education required by the board. The requirements for continuing education for licensees of each branch of the healing arts shall be established by the members of such branch on the board. The board shall adopt rules and regulations prescribing the requirements established by the members of each branch of the healing arts for each program of continuing education as soon as possible after the effective date of this act. In establishing such requirements the members of the branch of the healing arts establishing them shall consider any programs of continuing education currently being offered to such licensees. If, immediately prior to the effective date of this act, any branch of the healing arts is requiring continuing education or annual postgraduate education as a condition to renewal of a license of a licensee of such branch of the healing arts, such requirement as a condition for the renewal of such license shall continue in full force and effect notwithstanding any other provision of this section to the contrary.
- (c) The board, prior to renewal of a license, shall require the licensee, if in the active practice of the healing arts within the state,

Senate PEHE Attachment

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to submit to the board evidence satisfactory to the board that the licensee is maintaining a policy of professional liability insurance as required by K.S.A. 40-3402 and amendments thereto and has paid the annual premium surcharge as required by K.S.A. 40-3404 and amendments thereto.

- (d) At least 30 days before the expiration of a licensee's license, the board shall notify the licensee of the expiration by mail addressed to the licensee's last place of residence as noted upon the office records. If the licensee fails to pay the annual fee by the date of the expiration of the license, the licensee shall be given a second notice that the licensee's license has expired, that the board will suspend action for 30 days following the date of expiration, that upon receipt of the annual fee together with an additional fee of not to exceed \$500 within the thirty-day period no order of revocation will be entered the license shall not be canceled and that, if both fees are not received within the thirty-day period, the license shall be cancelled.
- (e) Any licensee who allows the licensee's license to lapse be canceled by failing to renew as herein provided may be reinstated upon recommendation of the board and upon payment of the renewal fees then due and upon proof of compliance with the continuing educational requirements established by the board.
- (f) There is hereby created a designation of exempt license. The board is authorized to issue an exempt license to any licensee who makes written application for such license on a form provided by the board and remits the fee for an exempt license established pursuant to K.S.A. 65-2852 and amendments thereto. The board may issue an exempt license only to a person who has previously been issued a license to practice the healing arts in Kansas, who is no longer regularly engaged in such practice and who does not hold oneself out to the public as being professionally engaged in such practice. An exempt license shall entitle the holder thereof to all privileges attendant to the branch of the healing arts for which such license is issued. Each exempt license may be renewed annually subject to the provisions of this section. Each exempt licensee shall be subject to all provisions of the healing arts act, except as otherwise provided in this subsection (f). The holder of an exempt license shall not be required to submit evidence of satisfactory completion of a program of continuing education required by K.S.A. 65-2809 and amendments thereto. Each exempt licensee may apply for a license to regularly engage in the practice of the appropriate branch of the healing arts upon filing a written application with the board and submitting evidence of satisfactory completion of applicable contin-

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uing education requirements established by the board. The request shall be on a form provided by the board and shall be accompanied by the license fee established pursuant to K.S.A. 65-2852 and amendments thereto. The board shall adopt rules and regulations establishing appropriate continuing education requirements for exempt licensees to become licensed to regularly practice the healing arts within Kansas. Nothing in this subsection (f) shall be construed to prohibit a person holding an exempt license from serving as a coroner.

- Sec. 2. K.S.A. 1990 Supp. 65-2836 is hereby amended to read as follows: 65-2836. A licensee's license may be revoked, suspended or limited, or the licensee may be publicly or privately censured, or an application for a license or for reinstatement of a license may be denied upon a finding of the existence of any of the following grounds:
- (a) The licensee has committed fraud or misrepresentation in applying for or securing an original or, renewal or reinstated license.
- (b) The licensee has committed an act of unprofessional or dishonorable conduct or professional incompetency.
- (c) The licensee has been convicted of a felony or class A misdemeanor, whether or not related to the practice of the healing arts.
  - (d) The licensee has used fraudulent or false advertisements.
- (e) The licensee is addicted to or has distributed intoxicating liquors or drugs for any other than lawful purposes.
- (f) The licensee has willfully or repeatedly violated this act, the pharmacy act of the state of Kansas or the uniform controlled substances act, or any rules and regulations adopted pursuant thereto, or any rules and regulations of the secretary of health and environment which are relevant to the practice of the healing arts.
- (g) The licensee has unlawfully invaded the field of practice of any branch of the healing arts in which the licensee is not licensed to practice.
- (h) The licensee has failed to pay annual renewal fees specified in this act.
- (i) The licensee has failed to take some form of postgraduate work each year or as required by the board.
- (i) (h) The licensee has engaged in the practice of the healing arts under a false or assumed name, or the impersonation of another practitioner. The provisions of this subsection relating to an assumed name shall not apply to licensees practicing under a professional corporation or other legal entity duly authorized to provide such professional services in the state of Kansas.
  - (k) (i) The licensee has the inability to practice the branch of

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40 41 the healing arts for which the licensee is licensed with reasonable skill and safety to patients by reason of illness, alcoholism, excessive use of drugs, controlled substances, chemical or any other type of material or as a result of any mental or physical condition. In determining whether or not such inability exists, the board, upon reasonable suspicion of such inability, shall have authority to compel designate. To determine whether reasonable suspicion of such ina-I ments thereto. bility exists, the investigative information shall be presented to a amendments thereto the board as a whole or to a person or an entity approved by the board and the determination shall be made by a majority vote of the review committee board as a whole or the person or entity approved by the board. Information submitted to the review committee and its board as a whole or the entity which reviewed the investigative information person or an entity approved by the board and all reports, findings and other records shall be confidential and not subject to discovery by or release to any person or entity. The licensee shall submit to the board a release of information authorizing the board to obtain a report of such examination or drug screen, or both. A person affected by this subsection shall be offered, at reasonable intervals, an opportunity to demonstrate that such person can resume the competent practice of the healing arts with reasonable skill and safety to patients. For the purpose of this subsection, every person licensed to practice the healing arts and who shall accept the privilege to practice the healing arts in this state by so practicing or by the making and filing of an annual renewal to practice the healing arts in this state shall be deemed to have consented to submit to a mental or physical examination or a drug screen, or any combination thereof, when directed in writing by the board and further to have waived all objections to the admissibility of the testimony, drug screen or examination report of the person conducting such examination or drug screen, or both, at any proceeding or hearing before the board on the ground that such testimony or examination or drug screen report constitutes a privileged communication. In any proceeding by the board pursuant to the provisions of this subsection, the record of such board proceedings involving the mental and physical examination or drug screen, or any combination thereof, shall not be used in any other administrative or judicial proceeding.

(1) The licensee has had a license to practice the healing arts revoked, suspended or limited, has been censured or has had other disciplinary action taken, or an application for a license denied, by

a licensee to submit to mental or physical examination or drug screen, , to a review committee of professional peers of the lior any combination thereof, by such persons as the board may censee established pursuant to K.S.A. 65-2840c and amend-

review committee established pursuant to K.S.A. 65-2840e and a committee consisting of the officers of the board elected pursuant to K.S.A. 1990 Supp. 65-2818 and amendments thereto and the executive director appointed pursuant to K.S.A. 1990 Supp. 65-2878 and amendments there-Ito. The

> a review committee of peers or a committee of the officers and executive director of the board

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the proper licensing authority of another state, territory, District of Columbia, or other country, a certified copy of the record of the action of the other jurisdiction being conclusive evidence thereof.

- (m) (k) The licensee has violated any lawful rule and regulation promulgated by the board or violated any lawful order or directive of the board previously entered by the board.
- (n) (l) The licensee has failed to report or reveal the knowledge required to be reported or revealed under K.S.A. 65-28,122 and amendments thereto.
- (o) (m) The licensee, if licensed to practice medicine and surgery, has failed to inform a patient suffering from any form of abnormality of the breast tissue for which surgery is a recommended form of treatment, of alternative methods of treatment specified in the standardized summary supplied by the board. The standardized summary shall be given to each patient specified herein as soon as practicable and medically indicated following diagnosis, and this shall constitute compliance with the requirements of this subsection. The board shall develop and distribute to persons licensed to practice medicine and surgery a standardized summary of the alternative methods of treatment known to the board at the time of distribution of the standardized summary, including surgical, radiological or chemotherapeutic treatments or combinations of treatments and the risks associated with each of these methods. Nothing in this subsection shall be construed or operate to empower or authorize the board to restrict in any manner the right of a person licensed to practice medicine and surgery to recommend a method of treatment or to restrict in any manner a patient's right to select a method of treatment. The standardized summary shall not be construed as a recommendation by the board of any method of treatment. The preceding sentence or words having the same meaning shall be printed as a part of the standardized summary. The provisions of this subsection shall not be effective until the standardized written summary provided for in this subsection is developed and printed and made available by the board to persons licensed by the board to practice medicine and surgery.
- (p) (n) The licensee has cheated on or attempted to subvert the validity of the examination for a license.
- (q) (o) The licensee has been found to be mentally ill, disabled, not guilty by reason of insanity or incompetent to stand trial by a court of competent jurisdiction.
- (r) (p) The licensee has prescribed, sold, administered, distributed or given a controlled substance to any person for other than medically accepted or lawful purposes.

 

- (s) (q) The licensee has violated a federal law or regulation relating to controlled substances.
- (t) (r) The licensee has failed to furnish the board, or its investigators or representatives, any information legally requested by the board.
- (u) (s) Sanctions or disciplinary actions have been taken against the licensee by a peer review committee, health care facility, a governmental agency or department or a professional association or society for acts or conduct similar to acts or conduct which would constitute grounds for disciplinary action under this section.
- (v) (t) The licensee has failed to report to the board any adverse action taken against the licensee by another state or licensing jurisdiction, a peer review body, a health care facility, a professional association or society, a governmental agency, by a law enforcement agency or a court for acts or conduct similar to acts or conduct which would constitute grounds for disciplinary action under this section.
- (w) (u) The licensee has surrendered a license or authorization to practice the healing arts in another state or jurisdiction, has surrendered the authority to utilize controlled substances issued by any state or federal agency, has agreed to a limitation to or restriction of privileges at any medical care facility or has surrendered the licensee's membership on any professional staff or in any professional association or society while under investigation for acts or conduct similar to acts or conduct which would constitute grounds for disciplinary action under this section.
- (x) (v) The licensee has failed to report to the board surrender of the licensee's license or authorization to practice the healing arts in another state or jurisdiction or surrender of the licensee's membership on any professional staff or in any professional association or society while under investigation for acts or conduct similar to acts or conduct which would constitute grounds for disciplinary action under this section.
- (y) (w) The licensee has an adverse judgment, award or settlement against the licensee resulting from a medical liability claim related to acts or conduct similar to acts or conduct which would constitute grounds for disciplinary action under this section.
- (2) (x) The licensee has failed to report to the board any adverse judgment, settlement or award against the licensee resulting from a medical malpractice liability claim related to acts or conduct similar to acts or conduct which would constitute grounds for disciplinary action under this section.
- (aa) (y) The licensee has failed to maintain a policy of professional liability insurance as required by K.S.A. 40-3402 or 40-3403a and

amendments thereto.

- (bb) (z) The licensee has failed to pay the annual premium surcharge as required by K.S.A. 40-3404 and amendments thereto.
- (ee) (aa) The licensee has knowingly submitted any misleading, deceptive, untrue or fraudulent representation on a claim form, bill or statement.
- (dd) (bb) The licensee as the responsible physician for a physician's assistant has failed to adequately direct and supervise the physician's assistant in accordance with K.S.A. 65-2896 to 65-2897a, inclusive, and amendments thereto, or rules and regulations adopted under such statutes.
- Sec. 3. K.S.A. 1990 Supp. 65-2837 is hereby amended to read as follows: 65-2837. As used in K.S.A. 65-2836 and amendments thereto and in this section:
  - (a) "Professional incompetency" means:
- (1) One or more instances involving failure to adhere to the applicable standard of care to a degree which constitutes gross negligence, as determined by the board.
- (2) Repeated instances involving failure to adhere to the applicable standard of care to a degree which constitutes ordinary negligence, as determined by the board.
- (3) A pattern of practice or other behavior which demonstrates a manifest incapacity or incompetence to practice medicine.
  - (b) "Unprofessional conduct" means:
- (1) Solicitation of professional patronage through the use of fraudulent or false advertisements, or profiting by the acts of those representing themselves to be agents of the licensee.
- (2) Representing to a patient that a manifestly incurable disease, condition or injury can be permanently cured.
- (3) Assisting in the care or treatment of a patient without the consent of the patient, the attending physician or the patient's legal representatives.
- (4) The use of any letters, words, or terms, as an affix, on stationery, in advertisements, or otherwise indicating that such person is entitled to practice a branch of the healing arts for which such person is not licensed.
- (5) Performing, procuring or aiding and abetting in the performance or procurement of a criminal abortion.
  - (6) Willful betrayal of confidential information.
- (7) Advertising professional superiority or the performance of professional services in a superior manner.
- (8) Advertising to guarantee any professional service or to perform any operation painlessly.

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- (9) Participating in any action as a staff member of a medical care facility which is designed to exclude or which results in the exclusion of any person licensed to practice medicine and surgery from the medical staff of a nonprofit medical care facility licensed in this state because of the branch of the healing arts practiced by such person or without just cause.
- (10) Failure to effectuate the declaration of a qualified patient as provided in subsection (a) of K.S.A. 65-28,107 and amendments thereto.
- (11) Prescribing, ordering, dispensing, administering, selling, supplying or giving any amphetamines or sympathomimetic amines, except as authorized by K.S.A. 65-2837a and amendments thereto.
  - (12) Conduct likely to deceive, defraud or harm the public.
- (13) Making a false or misleading statement regarding the licensee's skill or the efficacy or value of the drug, treatment or remedy prescribed by the licensee or at the licensee's direction in the treatment of any disease or other condition of the body or mind.
- (14) Aiding or abetting the practice of the healing arts by an unlicensed, incompetent or impaired person.
- (15) Allowing another person or organization to use the licensee's license to practice the healing arts.
- (16) Commission of any act of sexual abuse, misconduct or exploitation related to the licensee's professional practice.
- (17) The use of any false, fraudulent or deceptive statement in any document connected with the practice of the healing arts including the inaccurate recording, intentional falsifying or fraudulent altering of a patient or medical care facility record.
  - (18) Obtaining any fee by fraud, deceit or misrepresentation.
- (19) Directly or indirectly giving or receiving any fee, commission, rebate or other compensation for professional services not actually and personally rendered, other than through the legal functioning of lawful professional partnerships, corporations or associations.
- (20) Failure to transfer medical patient records to another physician licensee when requested to do so by the subject patient or by such patient's legally designated representative.
- (21) Performing unnecessary tests, examinations or services which have no legitimate medical purpose.
  - (22) Charging an excessive fee for services rendered.
- (23) Prescribing, dispensing, administering, distributing a prescription drug or substance, including a controlled substance, in an excessive, improper or inappropriate manner or quantity or not in the course of the licensee's professional practice.

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- (24) Repeated failure to practice healing arts with that level of care, skill and treatment which is recognized by a reasonably prudent similar practitioner as being acceptable under similar conditions and circumstances.
- (25) Failure to keep written medical records which accurately describe the services rendered to the patient, including patient histories, pertinent findings, examination results and test results.
- (26) Delegating professional responsibilities to a person when the licensee knows or has reason to know that such person is not qualified by training, experience or licensure to perform them.
- (27) Using experimental forms of therapy without proper informed patient consent, without conforming to generally accepted criteria or standard protocols, without keeping detailed legible records or without having periodic analysis of the study and results reviewed by a committee or peers.
- (28) Prescribing, dispensing, administering or distributing an anabolic steroid or human growth hormone for other than a valid medical purpose. Bodybuilding, muscle enhancement or increasing muscle bulk or strength through the use of an anabolic steroid or human growth hormone by a person who is in good health is not a valid medical purpose.
- (c) "False advertisement" means any advertisement which is false, misleading or deceptive in a material respect. In determining whether any advertisement is misleading, there shall be taken into account not only representations made or suggested by statement, word, design, device, sound or any combination thereof, but also the extent to which the advertisement fails to reveal facts material in the light of such representations made.
- (d) "Advertisement" means all representations disseminated in any manner or by any means, for the purpose of inducing, or which are likely to induce, directly or indirectly, the purchase of professional services.
- (e) "Licensee" for purposes of this section and K.S.A. 65-2836 and amendments thereto shall mean all persons issued a license, permit or special permit pursuant to article 28 of chapter 65 of the Kansas Statutes Annotated.
- (f) "License" for purposes of this section and K.S.A. 65-2836 and amendments thereto shall mean any license, permit or approval authorized by article 28 of chapter 65 of the Kansas Statutes Annotated.
- Sec. 4. K.S.A. 65-2842 is hereby amended to read as follows: 65-2842. Whenever the board directs, pursuant to subsection (k) (i) of K.S.A. 65-2836 and amendments thereto, that a licensee submit

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to a mental or physical examination or drug screen, or any combination thereof, the time from the date of the board's directive until the submission to the board of the report of the examination or drug screen, or both, shall not be included in the computation of the time limit for hearing prescribed by the Kansas administrative procedure act.

- Sec. 5. K.S.A. 65-2851a is hereby amended to read as follows: 65-2851a. (a) All administrative proceedings provided for by article 28 of chapter 65 of the Kansas Statutes Annotated and affecting any licensee licensed under that article shall be conducted in accordance with the provisions of the Kansas administrative procedure act.
- (b) Judicial review and civil enforcement of any agency action under article 28 of chapter 65 of the Kansas Statutes Annotated shall be in accordance with the act for judicial review and civil enforcement of agency actions.
- Sec. 5. K.S.A. 1990 Supp. 65-5408 is hereby amended to read as follows: 65-5408. (a) The board shall waive the examination, education and experience requirements and grant registration to any person who applies for registration on or before July 1, 1987, who pays the application fee and who was certified prior to the effective date of this act as an occupational therapist registered (O.T.R.) or a certified occupational therapy assistant (C.O.T.A.) by the American occupational therapy association (A.O.T.A.) or who has been employed as an occupational therapist for the purpose of providing occupational therapy for at least two years within the three-year period immediately prior to the effective date of this act.
- (b) The board may waive the examination, education or experience requirements and grant registration to any applicant who shall present proof of current licensure or registration as an occupational therapist or occupational therapy assistant in another state, the District of Columbia or territory of the United States which requires standards for licensure or registration determined by the board to be equivalent to or exceed the requirements for registration under this act.
- (c) At the time of making an application under this section, the applicant shall pay to the board the application fee as required under K.S.A. 1986 1990 Supp. 65-5409 and amendments thereto.
- (d) The board may issue a temporary registration to an applicant for registration as an occupational therapist or as an occupational therapy assistant who applies for temporary registration on a form provided by the board, who meets the requirements for registration

or who meets all the requirements for registration

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except for examination and who pays to the board the temporary registration fee as required under K.S.A. 1986 1990 Supp. 65-5409 and amendments thereto. Such temporary registration shall expire on the date the board acts on the application for registration shall be in effect until the date the results of the examination become available, but no more than one such temporary registration shall be permitted to any one person without the majority approval of the members of the board.

- Sec. 6. K.S.A. 1990 Supp. 65-5508 is hereby amended to read as follows: 65-5508. (a) The board shall waive the examination, education and experience requirements and grant registration to any person who applies for registration on or before July 1, 1987, who pays the application fee and who was registered or certified immediately prior to the effective date of this act as a respiratory therapist or respiratory therapy technician by the national board for respiratory care or who has been employed as a respiratory therapist for the purpose of providing respiratory therapy for at least two years within the three-year period immediately prior to the effective date of this act.
- (b) The board may waive the examination, education or experience requirements and grant registration to any applicant who presents proof of current licensure or registration as a respiratory therapist in another state, the District of Columbia or territory of the United States which requires standards for licensure or registration determined by the board to be equivalent to or exceed the requirements for registration under this act.
- (c) At the time of making an application under this section, the applicant shall pay to the board the application fee as required under K.S.A. 1986 1990 Supp. 65-5509 and amendments thereto.
- (d) The board may issue a special permit to a student enrolled in an approved school of respiratory therapy who applies for such special permit on a form provided by the board and who pays to the board the special permit fee as required under K.S.A. 1986 1990 Supp. 65-5509 and amendments thereto. The special permit shall authorize a student who is enrolled in an approved school of respiratory therapy and who holds such special permit to practice respiratory therapy under the supervision of a registered respiratory therapist. Such special permit shall expire on the date that the student graduates from an approved school of respiratory therapy or otherwise ceases to be enrolled in an approved school of respiratory therapy.
- (e) The board may issue a temporary registration to an applicant for registration as a respiratory therapist who applies for temporary



expire one year from the date of issue or on the date that the board approves the application for registration, whichever occurs first. No

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registration on a form provided by the board, who meets the requirements for registration or who meets all of the requirements for registration except examination and who pays to the board the temporary registration fee as required under K.S.A. 1986 1990 Supp. 65-5509 and amendments thereto. Such temporary registration shall expire on the date that the board acts on the application for registration one year from the date of issue or on the date that the board approves the application for registration, whichever occurs first. No more than one such temporary registration shall be permitted to any one person, without the majority approval of the

members of the board. Sec. 67. K.S.A. 65-2805, and 65-2842 and 65-2851a and K.S.A. 12 1990 Supp. 65-2809, 65-2836 and, 65-2837, 65-5408 and 65-5508 are 13 hereby repealed.

14 Sec. 78. This act shall take effect and be in force from and after 15 its publication in the statute book.

## Board of Healing Arts Recommends Repeal

K.S.A. 65-2830. This section repealed in 1989 (L. 1989, ch. 196, section 5).

K.S.A. 65-2853. Any applicant whose application is rejected shall be allowed the return of his fee except ten dollars (\$10) thereof, which shall be retained by the board.

Amend repealer and title accordingly.

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## **HOUSE BILL No. 2018**

By Special Committee on Public Health and Welfare

Re Proposal No. 32

## 12-28

AN ACT concerning local health departments; eliminating the per capita cap on state financial assistance; excluding special project grants and fees when determining state financial assistance; amending K.S.A. 65-242, 65-243, 65-244, 65-245 and 65-246 and repealing the existing sections.

Be it enacted by the Legislature of the State of Kansas:

Section 1. K.S.A. 65-242 is hereby amended to read as follows: 65-242. (a) For the purpose of insuring that adequate public health services are available to all inhabitants of the state of Kansas, the state shall participate, from and after January 1, 1983, assist in the financing of the operation of local health departments. Subject to appropriations therefor each local health department which applies for state financial assistance under this act shall receive an amount of money equal to the amount of money which the local health department receives from local tax revenues and from federal revenue sharing funds, except that state financial assistance to any one local health department shall not exceed (1) an amount equal to \$.75 multiplied by the number equal to the population of the county, if the local health department is a county or city county department of health, or counties, if the local health department is a multicounty department of health, in which the local health department is located or (2) be less than an amount equal to \$7,000, if the local health department is a county or city-county department of health, or \$7,000 multiplied by a number equal to the number of counties in which the local health department is located, if the local health department is a multicounty department of health, whichever amount computed under (a)(1) or (a)(2) is the larger amount. The amount of state financial assistance to the local health department shall be based on the population of the nounty; if the local health department is a county or cityounty department of health, or counties, if the local health

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department is a multicounty department of health, state financial assistance shall be distributed to local health departments as follows:

- (a) First, each local health department shall, upon application therefor, receive \$7,000. If sufficient funds are not available to make this distribution, then the funds which are available shall be divided equally among those local health departments making application therefor.
- (b) Second, if any funds are available after the distribution required in subsection (a), the secretary shall distribute such funds as follows:
- (1) A figure equal to the total amount of state financial assistance available for distribution, before deduction for the distribution in subsection (a), shall be determined.
- (2) the figure determined in paragraph (1) of this subsection shall be allocated to local health departments making application for assistance based on the proportion that the population of the county or counties comprising the local health department applying for such assistance bears to the total population of all counties comprising local health departments which have applied for such financial assistance.
- (3) if any local health department making application for assistance would receive less than \$7,000 using the formula in paragraph (2) of this subsection, then such department shall be paid in accordance with subsection (a) only. If any local health department making application for assistance would receive more than \$7,000 using the formula in paragraph (2) of this subsection, then such department shall be paid based on the proportion that the population served by the county or counties comprising such local health department bears to the total population of all counties comprising local health departments which have made application for assistance, except for departments receiving funds under subsection (a), except that in no case shall the assistance distributed under this subsection (b) to a local health department exceed the amount that the local health department receives from local tax revenues for the fiscal year in in which the state financial assistance is paid.

Notwithstanding any limitation placed by subsection (a) on the amount of state financial assistance which any one local health department may receive, If any money remains after the first computation of state financial assistance under subsection (a), such money shall be distributed to each local health deartment which will receive state financial assistance under subsection (a) in proportion that the number equal to the pop-

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(c) If local tax revenues allotted to a local health department for a fiscal year fall below the level of local tax revenues alloted to the local health department for the preceding fiscal year, the amount of state financial assistance under this act for which such local health department is eligible for the fiscal year shall be reduced by an amount equal to the reduction in local tax revenue for that fiscal year.

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ulation of the county, if the local health department is a county or city-county department of health, or counties, if the local health department is a multicounty department of health, in which the local health department is located bears to the total population of all counties in which local health departments which will receive state financial assistance under subsection (a) are located.

- (e) If the amount of money appropriated for state financial assistance under subsection (a) of this section is not adequate to provide each local health department which applies for state financial assistance with the maximum amount of state financial assistance the local health department is eligible to receive under subsection (a), the secretary shall prorate the money appropriated for such purpose among all local health departments applying for such financial assistance in proportion that the amount of state financial assistance each such local health department would have received if the amount of money appropriated for state financial assistance under subsection (a) had been adequate to provide each such local health department with the maximum amount of state financial assistance the local health department was eligible to receive under subsection (a) bears to the total amount of money which would need to be appropriated under subsection (a) to provide all such local health departments with the maximum amount of state financial assistance the local health departments were eligible to receive under subsection (a).
- Sec. 2. K.S.A. 65-243 is hereby amended to read as follows: 65-243. (a) The governing board of any local health department may apply for the financial assistance provided under K.S.A. 65-242, by submitting annually to the secretary the budget of the local health department for the fiscal year immediately following the date the budget is submitted showing the amount of money the local health department will receive from local tax revenues and from the federal revenue sharing fund an application form provided by the secretary and such other information as the secretary may require.
- (b) The secretary shall use official state population figures based upon population figures available from the United States bureau of the census to determine the population of counties for computing state financial assistance under K.S.A. 65-242 and amendments thereto.
- (c) The secretary may adopt rules and regulations necessary for the administration of this act.

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- Sec. 3. K.S.A. 65-244 is hereby amended to read as follows: 65-244. (a) Prior to the beginning of each fiscal year and after review of the annual budget application submitted under K.S.A. 65-243 and amendments thereto, the secretary shall determine the amount of state financial assistance due to each local health department which has applied for such financial assistance. Payments shall be computed and made based on the county fiscal year.
- (b) The state financial assistance due to each local health department applying therefor shall be paid in four quarterly installments as provided in this section. The moneys received in any quarter may be used at any time during the year. Installments The state financial assistance due to each local health department shall be paid as follows: For calendar year 1991, on January 1 for the quarter beginning January 1 and ending March 31; April 1 for the quarter beginning April 1 and ending June 30; July 1 for the quarter beginning July 1 and ending September 30; and October 1 for the quarter beginning October 1 and ending December 31; and for calendar year 1992 and for each calendar year thereafter, the total amount of state financial assistance due to each local health department during that fiscal year shall be paid on January 10.
- (c) The secretary shall certify to the director of accounts and reports the total amount of state financial assistance due each quarter to each local health department which has applied for such financial assistance. The director of accounts and reports shall draw warrants on the state treasurer payable to the governing board of each such local health department upon vouchers executed as provided by law and approved by the secretary.
- Sec. 4. K.S.A. 65-245 is hereby amended to read as follows: 65-245. In the event any local health department is paid more than it is entitled to receive under any distribution made under this act, the secretary shall notify the governing board of the local health department of the amount of such overpayment, and such governing board shall remit the same to the secretary. The secretary shall remit any moneys so received to the state treasurer, and the state treasurer shall deposit the same in the state treasury to the credit of the state general fund. If any such governing board fails to remit, the secretary shall deduct the excess amount paid from future payments becoming due to such local health department. In the event any local health department is paid less than the amount to which it is entitled under any distribution made under this act, the secretary shall pay the additional amount due at any time within the fiscal year in which the underpayment was made or within 60 days after the end of such fiscal year.

Note: A conferee indicated the fiscal impact of this change is \$1 million.

Sec. 25. K.S.A. 65-246 is hereby amended to read as follows: 65-246. [a] Moneys available under this act for financial assistance to local health departments shall not be substituted for or used to reduce or eliminate moneys available to local health departments from the federal government or substituted for or used to reduce or eliminate moneys available from local tax revenues. Nothing in this act shall be construed to authorize a reduction or elimination of moneys available to local health departments from the federal government or to authorize the reduction or elimination of moneys made available by the state to local health departments in addition to moneys available under this act.

(b) Moneys received by local health departments from fees charged for services or one-time opecial project grants shall not be included in the sum of money which the local health department receives from local tax revenues when determining the amount such department will receive from state financial assistance pursuant to K.S.A. 65 242, and amendments thereto.

Nothing in this section or in the provisions of K.S.A. 65-241 through 65-246, and amendments thereto, shall be construed to require any county or local health department to maintain a base amount of tax resources or expenditures, or both, for a local health department from one fiscal year to the next or to require any county or local health department to maintain a level of local financial effort for the funding of local health departments except as provided in subsection (b) of K.S.A. 65-242 and amendments theretos

Sec. 3 6. K.S.A. 65-242, 65-243, 65-244, 65-245 and 65-246 are hereby repealed.

Sec. 47. This act shall take effect and be in force from and after its publication in the statute book.

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