

Approved: February 15, 1994
Date

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS.

The meeting was called to order by Vice Chairperson Alicia Salisbury at 11:00 a.m. on February 10, 1994 in Room 123-S of the Capitol.

All members were present except: Senator Bogina, who was excused

Committee staff present: Julian Efird, Legislative Research Department
Norm Furse, Revisor of Statutes
Judy Bromich, Administrative Assistant
Ronda Miller, Committee Secretary

Conferees appearing before the committee:

M. Lou Allen, Appointee to the State Civil Service Board
Michael Johnston, Reappointment to the KPERS Board of Trustees
Jody Boeding, Appointee to the KPERS Board of Trustees
K. Pat Marso, Reappointment to the KPERS Board of Trustees

Others attending: See attached list

Vice Chairperson Alicia Salisbury stated that the purpose of the day's meeting was to consider confirmation of the Governor's appointees to the State Civil Service Board and the KPERS Board of Trustees. Statutory requirements for service on the State Civil Service Board were distributed to members (Attachment 1).

M. Lou Allen, the Governor's appointee to the State Civil Service Board, appeared before the Committee and reviewed her personal resume (Attachment 2). Ms. Allen's confirmation questionnaire (Attachment 3) and statement of substantial interest (Attachment 4) were distributed to members. Ms. Allen stated that she was a practicing attorney who has her securities license. She terminated her employment with the Department of Corrections as legal counsel in April and was asked to serve on the State Civil Service Board. She had practiced for the Board in the capacity of representing the appointing authority and believed it was a good idea that an attorney serve on the Board. In answer to questions, Ms. Allen stated that she was appointed as special assistant to the Attorney General in the Department of Corrections and as an assistant Attorney General.

The Vice Chairperson called attention to the responsibilities of and statutory qualifications for service on the KPERS Board of Trustees (Attachment 5).

Jody Boeding reviewed her qualifications for service on the KPERS Board (Attachment 6-4--Senate Confirmation Questionnaire). A statement of financial interest was distributed to members (Attachment 7). Ms. Boeding informed the Committee that she had been serving on the KPERS Board of Trustees since July, 1991. In response to a question about statutory qualifications for the Board, she stated that she believed some experience in the field of investments or employee benefits would be advisable, but was unsure of the mix. She stated that her investment experience comes from her employee benefit plan experience. In answer to Senator Salisbury, Ms. Boeding stated that the Board had changed slightly the amount of the KPERS fund that is allotted for international investments, and she believed the decision was prudent and correct in order to achieve a 10% return and have minimal risks.

Michael Johnston appeared before the Committee to discuss his qualifications for reappointment to the KPERS Board of Trustees. His confirmation questionnaire and statement of substantial interest were distributed to members (Attachments 8 and 9). Secretary Johnston stated that he believes potential members of the KPERS Board of Trustees should be evaluated on whether they are well intentioned, able to express independent thoughts and feelings, able to exercise independent judgment, have some history of stable enterprise, be aware of and understand the importance of both disclosure and the avoidance of any potential conflicts of interest,

CONTINUATION SHEET

MINUTES OF THE SENATE COMMITTEE ON WAYS AND MEANS, Room 123-S Statehouse, at 11:00 a.m. on February 10, 1994.

and be specifically aware of and understand the premise of fiduciary responsibility which means placing the interest and needs of another always ahead and above other competing interests and needs. The Secretary stated that he understands the legislative motivation for statutory qualifications, but he believes those statutory requirements are not necessary and often render many persons unqualified who would be good trustees.

Senator Salisbury questioned whether there were other changes in KPERS statutes that Secretary Johnston would recommend. The Secretary stated that he testified in opposition to a House bill dealing with the issue of KBI reports, believing that appointees to the Board go beyond what is required of others in declaring conflict of interest. He stated that he believed information requested by this bill is confidential and this type of reporting begins a cycle which is both unwise and unnecessary. He stated that he also opposed a bill which would allow the oversight committee to be privy to Board of Trustees confidential matters, both legal and proprietary. There was discussion about the annual audit of KPERS, dual appointing, and "prudent expert standard."

Senator Salisbury introduced Mr. Pat Marso and noted that he had served on the KPERS Board of Trustees since 1991. Copies of his response to the Senate Confirmation Questionnaire (Attachment 10) and his statement of substantial interest (Attachment 11) were distributed to members. Mr. Marso cited his academic background, his banking experience, and his management experience as a trustee on the KPERS board as qualifications for the appointment. When asked for recommendations for changing the duties or qualifications of appointees, Mr. Marso answered that he has not found any one secret in his experience in hiring bank professionals. He suggested that a conscientious person with a background in management might be desirable.

It was moved by Senator Petty and seconded by Senator Brady that the appointment of Jody Boeding, Michael Johnston and K. Pat Marso to the KPERS Board of Trustees be confirmed. The motion carried on a roll call vote.

Senator Karr moved, Senator Morris seconded, that the appointment of M. Lou Allen to the State Civil Service Board be confirmed. The motion carried on a roll call vote.

APPROVAL OF MINUTES

It was moved by Senator Karr and seconded by Senator Lawrence that the minutes of February 4 and February 8 be approved. The motion carried on a voice vote.

The Vice Chairperson adjourned the meeting at 12:00 noon.

The next meeting is scheduled for February 11, 1994.

GUEST LIST

COMMITTEE: SENATE WAYS AND MEANS

DATE: Feb. 10, 1994

[illegible]

January 18, 1994

MEMORANDUM

TO: Senator Gus Bogina
FROM: Norm Furse
RE: State Civil Service Board Members Qualifications and Duties

I. Qualifications for Membership on State Civil Service Board.

- A. Members must be in "sympathy with the application of merit principles to public employment." (75-2929a)
- B. Not more than three members of the board shall be of the same political party. (75-2929a)
- C. No member of the board shall hold any other public office or public employment, except military office or the office of notary public. (75-2929a)
- D. One member must be appointed from each congressional district and the remainder shall represent the state at large. Please note: Subsequent redistricting of congressional districts does not qualify any member of the board who is serving at the time of redistricting from being reappointed as a member of the board. (75-2929a)
- E. Members are appointed to hold office for a term of four years and until a successor is appointed and qualified. Vacancies on the board are filled by appointment for the unexpired term in the case of vacancies occurring before the end of a term. (75-2929a)

II. Powers and Duties of State Civil Service Board.

- A. The state civil service board hears appeals taken to it as provided by state law in matters concerning demotion, dismissal or suspension of permanent employees in the classified service, or concerning refusal to examine an applicant or to certify a person who is eligible for a job class and in matters concerning alleged violations of K.S.A. 75-2973 (the whistle-blower act).
- B. Administrative matters and other matters as may be related to board meetings.

(27)

SWAM
February 10, 1994
Attachment 1

M. LOU ALLEN
3731 SW 38th Street
Topeka, Kansas 66610-1112
(913) 267-6966

Education

University of Kansas, William Allen White School of Journalism
Bachelor of Science, Public Relations 1981

Washburn University School of Law
Juris Doctorate 1985

Employment

1993 - present	Registered Representative, OFG Financial Services, Inc.
1993 - present	Solo Practice Topeka, Kansas
1990 - 1993	Legal Counsel, Kansas Department of Corrections
1987 - 1990	Assistant Attorney General, Consumer Protection Division
1986 - 1987	Solo Practice Topeka, Kansas

Associations

National Association of Security Dealers
Women Attorneys Association of Topeka
Topeka Bar Association

Admitted

Kansas Supreme Court
United States District Court for the District of Kansas

Personal

Spouse - Michael Allen
Political Registration - Republican
Date of Birth - October 27, 1959

SWAM
February 10, 1994
Attachments 2

SENATE CONFIRMATION QUESTIONNAIRE
APPOINTMENTS BY GOVERNOR JOAN FINNEY

Name: Lou Allen

Home Address: 3731 SW 38th

City, State, Zip Code: Topeka, KS 66610-1112

Home Phone: 913 / 267-6966

Business Address: 3731 SW 38th

City, State, Zip Code: Topeka, KS 66610-1112

Business Phone: 913 / 267-6966

Date of Birth: 10-27-59 Place of Birth Salina, KS

Party Affiliation Republican

Appointed as: State Civil Service Board

Effective 1/3/94 for the 4 year term
ending 1/31/98 Succeeding Bert DeBour
Salary N/A Statutory Authority 75-2929a

Statutory Requirements _____

1. EDUCATION:
High School Washburn Rural High School Topeka, KS
Year Graduated 1977

Postsecondary	Degree, etc.	Dates
<u>University of Kansas</u>	<u>B.S. Journalism</u>	<u>1981</u>
<u>Washburn University School of Law</u>	<u>J.D.</u>	<u>1985</u>

SWAM
February 10, 1994
Attachment 3

2. MEMBERSHIP IN BUSINESS, TRADE AND PROFESSIONAL ORGANIZATIONS DURING PAST 10 YEARS:

Dates	Name	Location
<u>1986-1987</u>	<u>Kansas Bow Assoc.</u>	<u>Topeka, KS</u>
<u>1987-present</u>	<u>Women Attys Assoc. of Topeka</u>	<u>Topeka, KS</u>
<u>1987, 1988, 1992-1993</u>	<u>Topeka Bow Association</u>	<u>Topeka, KS</u>

3. HAVE YOU EVER BEEN ELECTED OR APPOINTED TO ANY PUBLIC OFFICE IN KANSAS? X Yes No
If so, please list dates and offices held.

Date	Office
<u>1987-1990</u>	<u>Assistant Attorney General</u>
<u>1990-1993</u>	<u>Legal Counsel - Dept. of Corrections</u>
_____	_____

4. HAVE YOU EVER BEEN EMPLOYED BY OR HELD A POSITION OR OFFICE WITH ANY FEDERAL, FOREIGN STATE, OR LOCAL GOVERNMENTAL ENTITY OR AGENCY? NO
If so, please list dates and offices held:

_____	_____
_____	_____
_____	_____

5. HAVE YOU BEEN A REGISTERED LOBBYIST OR EMPLOYED A REGISTERED LOBBYIST AT ANY TIME DURING THE PAST 5 YEARS? NO
If you were a registered lobbyist, did you receive any compensation?
List groups you represented or for which you employed a lobbyist:

6. EXPERIENCE OR INTERESTS WHICH QUALIFY YOU FOR THE OFFICE TO WHICH YOU HAVE BEEN APPOINTED:

I was a state employee for 6 years. Also, I
am a licensed attorney. Thus, I have an
appreciation for state service and I understand
the statutory guidelines of such service.

7. SUMMARY OF BUSINESS OR PROFESSIONAL EXPERIENCE: I am
a licensed attorney. Additionally, I have just
obtained my securities license.

8. HAVE YOU EVER BEEN A MEMBER OF THE ARMED FORCES OF THE UNITED STATES? NO
If so, please list dates of service, branch of service and date and type of discharge:

9. HAVE YOU EVER BEEN ARRESTED, CHARGED OR HELD BY FEDERAL, STATE OR OTHER LAW ENFORCEMENT AUTHORITIES FOR VIOLATION OF ANY FEDERAL LAW, STATE LAW, COUNTY OR MUNICIPAL LAW, REGULATION OR ORDINANCE (EXCLUDING TRAFFIC VIOLATIONS FOR WHICH A FINE OF \$100 OR LESS WAS IMPOSED)? NO

10. DISPOSITION OF ANY INTERESTS THAT MIGHT HAVE PRESENTED A POTENTIAL CONFLICT OF INTEREST FOR THIS POSITION.

N/A

Return to: Mary Holladay
Appointment Secretary
Office of the Governor
2nd Floor, State Capitol
Topeka, KS 66612

John Allen
Signature



FILED

JAN 25 1994

BILL GRAVES
SECRETARY OF STATE

KANSAS COMMISSION ON GOVERNMENTAL STANDARDS AND CONDUCT

STATEMENT OF SUBSTANTIAL INTERESTS FOR INDIVIDUALS WHOSE

APPOINTMENT TO STATE OFFICE IS SUBJECT TO SENATE CONFIRMATION

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by each person whose appointment to a state position is subject to Senate confirmation (K.S.A.-46-247 and 46-248). Failure to complete and return this statement may result in a fine of \$10 per day for each day it remains unfiled. Also, any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 913-296-4219.

A. IDENTIFICATION:

PLEASE TYPE OR PRINT

ALLEN MARY L

Last Name

First Name

MI

MICHAEL ALLEN

Spouse's Name

3731 SW 38

Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

TOPEKA KS 66610-1112

City, State, Zip Code

913**267**6966 913**267**6966

Home Phone Number

Business Phone Number

B. APPOINTED POSITION SUBJECT TO SENATE CONFIRMATION:

CIVIL SERVICE BOARD

List Name of Agency, Commission or Board

BOARD MEMBER

Position

* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

SWAM - February 10, 1994

Attachment 4

- C. **OWNERSHIP INTERESTS:** List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here .

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	HELD BY WHOM	PERCENT OF OWNERSHIP INTERESTS
1. Ivy, Inc 6023 SW Auburn Rd Topeka, KS	Corporation	Incorporator	<input checked="" type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	20%
2. FryeAllen Advertising Inc. 109 W 6th, Topeka, KS 66603	Full Service Advertising Agency	Incorporator	<input checked="" type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	50%
3.			<input type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	
4.			<input type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	
5.			<input type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	
6.			<input type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	
7.			<input type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	

- D. **GIFTS OR HONORARIA:** List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here 0.

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.		
2.		
3.		

E. RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____
If you have nothing to report in Section "E"1, check here ____.

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1. State of Kansas, Dept. of Corrections	901 Jackson, 4th Fl. Topeka	State Agency
2.		

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
If you have nothing to report in Section "E"2, check here ____.

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1. Frye Allen Advertising, Inc.	109 W 6th Topeka, KS	Advertising Agency
2.		

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
If you have nothing to report in Section "F", check here ____.

BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1. Frye Allen Advertising, Inc. 109 W 6th Topeka, KS - 109 W 6th	Vice President	Spouse
2. Lou Allen Attorney at Law 3731 SW 38th - Topeka, KS 66610-1112	Sole proprietor	me
3.		
4.		
5.		

G. **RECEIPT OF FEES AND COMMISSIONS:** List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here G.

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. **DECLARATION:**

I, Lou Allen, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

1-22-94
Date

(Lou Allen)
Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES 0.

Return your completed statement to the Secretary of State, State House, Topeka, Kansas 66612.

and the investment of moneys of the fund is a public record as provided in K.S.A. 45-215 et seq. and amendments thereto and subject to the provisions of that act. The service of all legal process and of all notices which may be required to be in writing, whether legal proceedings or otherwise, shall be had on the executive secretary at such executive secretary's office. All actions or proceedings directly or indirectly against the system shall be brought in Shawnee county.

(2) Any person aggrieved by any order or decision of the board made without a hearing, may, within 30 days after notice of the order or decision of the board make written request to the board for a hearing thereon. The board shall hear such party or parties in accordance with the provisions of the Kansas administrative procedure act at its next regular meeting or at a special meeting within 60 days after receipt of such request. For the purpose of any hearing under this section, the board may appoint one or more presiding officers. Any such presiding officer shall be a member of the board or an employee of the board. Any such appointment shall apply to a particular hearing or to a set or class of hearings as specified by the board in making such appointment. The board shall review an initial order resulting from a hearing under this section. Any member of the board who serves as a presiding officer shall be reimbursed for actual and necessary expenses and shall receive compensation in an amount fixed by the board not to exceed the per diem compensation allowable for members of the board.

History: L. 1961, ch. 427, § 4; L. 1963, ch. 412, § 2; L. 1974, ch. 335, § 1; L. 1988, ch. 356, § 291; L. 1992, ch. 321, § 23; L. 1993, ch. 227, § 11; July 1.

74-4905. Board of trustees; membership; qualifications; terms; vacancies; investigations by KBI or other agency; confidentiality. (a) On July 1, 1993, the board of trustees of the Kansas public employees retirement system, as such board existed on June 30, 1993, is hereby abolished. On July 1, 1993, there is hereby established a new board of trustees of the Kansas public employees retirement system. Such board established on July 1, 1993, shall consist of nine members, as follows:

(1) Six appointed members, four appointed by the governor subject to confirmation by the senate as provided in K.S.A. 75-4315b and amendments thereto, one appointed by the

president of the senate and one appointed by the speaker of the house of representatives;

(2) two retirement system members elected by the members and retirants of the system as provided in subsection (12) of K.S.A. 74-4909 and amendments thereto. Of the two retirement system members elected pursuant to this subsection, one shall be a member of the retirement system who is in school employment as provided in K.S.A. 74-4931 et seq. and amendments thereto and one shall be a member of the retirement system other than a member who is in school employment. For purposes of this subsection, retirement system means the Kansas public employees retirement system, the Kansas police and firemen's retirement system and the retirement system for judges; and

(3) the state treasurer.

(b) All members of the board as provided in subsection (a)(1) and (a)(2) shall serve four-year terms, except that of the members first appointed by the governor, two shall be appointed for two-year terms and the member appointed by the speaker of the house of representatives shall be appointed for a two-year term. The governor shall designate the term for which each of the members first appointed shall serve. All members appointed to fill vacancies in the membership of the board and all members appointed to succeed members appointed to membership on the board shall be appointed in like manner as that provided for the original appointment of the member succeeded. All members appointed to fill vacancies of a member of the board appointed by the governor, the president of the senate or the speaker of the house of representatives shall be appointed to fill the unexpired term of such member. All vacancies on the board by a member elected by the members and retirants of the system shall be filled as provided by rules and regulations adopted as provided in subsection (12) of K.S.A. 74-4909 and amendments thereto.

(c) The board shall elect a chairperson of the board at the first regular meeting held on or after July 1, 1993, and at each annual meeting thereafter from the members of the board. The chairperson shall preside over meetings of the board and perform such other duties as required by the board.

(d) The chairperson shall appoint another board member as vice-chairperson, and the vice-chairperson shall perform the duties of chairperson in the absence of the chairperson

or upon the chairperson's inability or refusal to act.

(e) The six members appointed pursuant to subsection (a)(1) shall have demonstrated experience in the financial affairs of a public or private organization or entity which employs 100 or more employees or had at least five years' experience in the field of investment management or analysis, actuarial analysis or administration of an employee benefit plan.

(f) No person shall serve on the board if such person has knowingly acquired a substantial interest in any nonpublicly traded investment made with moneys of the fund. Any such person who knowingly acquires such an interest shall vacate such member's position on the board and shall be guilty of a class A misdemeanor. For purposes of this subsection, "substantial interest" means any of the following:

(1) If an individual or an individual's spouse, either individually or collectively, has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5% of any business, whichever is less, the individual has a substantial interest in that business.

(2) If an individual or an individual's spouse, either individually or collectively, has received during the preceding calendar year compensation which is or will be required to be included as taxable income on federal income tax returns of the individual and spouse in an aggregate amount of \$2,000 from any business or combination of businesses, the individual has a substantial interest in that business or combination of businesses.

(3) If an individual or an individual's spouse holds the position of officer, director, associate, partner or proprietor of any business, the individual has a substantial interest in that business, irrespective of the amount of compensation received by the individual or individual's spouse.

(4) If an individual or an individual's spouse receives compensation which is a portion or percentage of each separate fee or commission paid to a business or combination of businesses, the individual has a substantial interest in any client or customer who pays fees or commissions to the business or combination of businesses from which fees or commissions the individual or the individual's spouse, either individually or collectively, received an aggregate of \$2,000 or more in the preceding calendar year.

(5) If an individual or an individual's spouse has received a loan from or received financing from any bank, savings and loan, credit union

or any other financial institution in an amount which exceeds \$2,000, the individual has a substantial interest in that financial institution.

As used in this subsection, "client or customer" means a business or combination of businesses.

Any person who serves on the board shall fully disclose any substantial interest that such person has in any publicly traded investment made with moneys of the fund.

(g) No person who serves on the board shall be employed for a period of two years commencing on the date the person no longer serves on the board and ending two years after such date with any organization in which moneys of the fund were invested, except that the employment limitation contained in this subsection shall not apply if such person's employment is with an organization whose stock or other evidences of ownership are traded on the public stock or bond exchanges.

(h) All members of the board named, appointed or elected to the board shall be subject to an investigation by the Kansas bureau of investigation or other criminal justice agencies. Information to be obtained during such investigation shall include criminal history record information, including arrest and conviction data, criminal intelligence information and information relating to criminal and background investigations as necessary to determine qualifications of such member. Such information shall be forwarded to the senate committee specified by the president of the senate for such committee's consideration and other than conviction data, shall be confidential and shall not be disclosed except to members and employees of the committee as necessary to determine qualifications of such member. The committee, in accordance with K.S.A. 75-4319 and amendments thereto shall recess for a closed or executive meeting to receive and discuss information received by the committee pursuant to this subsection.

(i) All of the powers, duties and functions of the board of trustees of the Kansas public employees retirement system as such board existed prior to July 1, 1993, are hereby transferred to and conferred and imposed upon the board of trustees established pursuant to this act. The board of trustees of the Kansas public employees retirement system established pursuant to this act shall be the successor in every way of the powers, duties and functions of the board of trustees existing prior to July 1, 1993,

in which the same were vested prior to July 1, 1993.

History: L. 1961, ch. 427, § 5; L. 1982, ch. 347, § 42; L. 1992, ch. 218, § 1; L. 1993, ch. 289, § 1; July 1.

Revisor's Note:

This section was also amended by L. 1993, ch. 136, § 19, and L. 1993, ch. 227, § 54, but both such amended versions were repealed by L. 1993, ch. 289, § 9, effective July 1, 1993.

74-4906. Same; meetings; quorum; compensation. (1) The board shall hold regular meetings in Topeka, Kansas, at least once each quarter, the dates, time and place to be fixed by the board. The regular meeting held in July of each year shall be the annual meeting and the board shall elect the chairperson of the board as prescribed by subsection (c) of K.S.A. 74-4905 and amendments thereto. Special meetings may be called upon written call of the chairperson or by agreement of any five members of the board. Notice of a special meeting shall be delivered to all other trustees in person or by registered or certified United States mail not less than seven days prior to the date fixed for the meeting. Notice of any such meeting may be waived by any member either before or after such meeting and attendance at such a meeting shall constitute a waiver of notice of such meeting, unless a member participates therein solely to object to the transaction of any business because the meeting has not been legally called or convened.

(2) Five trustees shall constitute a quorum for the transaction of business, but any official action of the board shall be based upon a favorable vote by at least five trustees at a regular or special meeting of the board.

(3) Members of the Kansas public employees retirement system board of trustees shall receive compensation, subsistence allowance and mileage in the amount provided for members of the legislature pursuant to K.S.A. 75-3212 and amendments thereto, for each day or part thereof in which such member attended a meeting authorized by the board. Amounts paid under this subsection shall be paid from the expense reserve fund provided for in section (d) of K.S.A. 74-4922 and amendments thereto.

(4) Duties performed for the system by any member of the board who is a public officer or employee shall be considered duties in connection with regular public employment of such individual, and such member shall suffer

no loss in normal compensation from public funds by reason of the performances of such duties.

History: L. 1961, ch. 427, § 6; L. 1963, ch. 412, § 3; L. 1973, ch. 321, § 20; L. 1974, ch. 348, § 73; L. 1992, ch. 218, § 2; L. 1992, ch. 218, § 3; L. 1993, ch. 227, § 12; July 1.

74-4908. Executive secretary, appointment, compensation, duties; actuary, duties; legal services; appointment of other officers and employees. (1) The board shall appoint an executive secretary and shall establish the compensation therefor. Subject to the direction of the board, the executive secretary shall be the managing officer of the system and as such shall have charge of the office, records and supervision and direction of the employees of the system. The executive secretary shall be in the unclassified service under the Kansas civil service act.

(2) The executive secretary shall recommend to the board the administrative organization, the number and qualifications of employees necessary to carry out the intent of this act and the directions of the board. Upon approval of the board, the executive secretary is authorized to employ such persons in accordance with the Kansas civil service act.

(3) The board of trustees shall select and employ or retain a qualified actuary who shall serve at its pleasure as its technical advisor on matters regarding operation of the system. The actuary shall:

(a) Make an annual valuation of the liabilities and reserves of the system, and a determination of the contributions required by the system to discharge its liabilities and administrative costs under this act, and recommend to the board rates of employer contributions required to establish and maintain the system on an actuarial reserve basis. Such recommended employer contributions shall not be based on any other purpose outside of the needs of the system as prescribed by this subsection.

(b) As soon after the effective date as practicable and once every three years thereafter, make a general investigation of the actuarial experience under the system including mortality, retirement, employment turnover and interest, and recommend actuarial tables for use in valuations and in calculating actuarial equivalent values based on such investigation.

(c) Perform such other duties as may be assigned by the board.

SENATE CONFIRMATION QUESTIONNAIRE
APPOINTMENTS BY GOVERNOR JOAN FINNEY

Name: Jody Boeding

Home Address: 501 North 15th Street

City, State, Zip Code: Kansas City, KS 66102

Home Phone: 913 / 281-1891

Business Address: Legal Department, Municipal Office Building,
One McDowell Plaza

City, State, Zip Code: Kansas City, KS 66101

Business Phone: 913 / 573-5069

Date of Birth: 10/14/48 Place of Birth: Topeka, KS

Party Affiliation: Democrat

Appointed as: Member, Board of Trustees, Kansas Public Employees
Retirement System

Effective 7/1/93 for the two-year term

ending 6/30/95 Succeeding Newly constituted Board 7/1/93

Prior service 7/16/91 to 6/30/93

Salary per diem in amount pro- Statutory Authority KSA 74-4905 as
vided for legislators under KSA 75-3212 amended by Sec.1 of Chap.218, 1992 Sess.
Laws of Ks, and Sec.1 of Chap.289, 1993
Sess. Laws of Ks.

Statutory Requirements

of the six members appointed. . . shall have demonstrated experience in the financial
affairs of a public or private organization or entity which employs 100 or more
employees or had at least 5 years' experience in the field of investment management
analysis, actuarial analysis or administration of an employee benefit plan."

1. EDUCATION:

High School St. Mary's Academy, Englewood, CO

Year Graduated 1966

Postsecondary	Degree, etc.	Dates
<u>Fort Hays State University</u>	<u>None</u>	<u>1966-1968</u>
<u>University of Kansas</u>	<u>BA</u>	<u>1974-1975</u>
<u>University of Kansas</u>	<u>ID</u>	<u>1976-1979</u>

SWAM
February 10, 1994
Attachment 6

2. MEMBERSHIP IN BUSINESS, TRADE AND PROFESSIONAL ORGANIZATIONS DURING PAST 10 YEARS:

Dates	Name	Location
1979-present	Wyandotte County Bar Assn.	Kansas City, KS
1981-present	City Attorneys Assn of KS	Topeka, KS
1981-present	Natl Institute of Municipal Law Officers	Washington
1985-present	Natl Conf of Public Employees Ret Systems	Washington
1990-present	Natl Assn of Public Pension Attorneys	Sacramento, CA
1993-present	Wyandotte County Bar Foundation	Kansas City, KS

3. HAVE YOU EVER BEEN ELECTED OR APPOINTED TO ANY PUBLIC OFFICE IN KANSAS? Yes 4 No 6
If so, please list dates and offices held:

Date	Office

4. HAVE YOU EVER BEEN EMPLOYED BY OR HELD A POSITION OR OFFICE WITH ANY FEDERAL, FOREIGN STATE, OR LOCAL GOVERNMENTAL ENTITY OR AGENCY? Yes No
If so, please list dates and offices held:

1981-present	Assistant City Attorney, Kansas City, KS
1979-1981	Law Clerk, Hon. Dale Saffels, U.S. Dist. Ct., Dist of K
1976	Intern, Bicentennial Commission, Kansas City, KS
1977	Secretary, House Transportation Comm, State of KS
1971	Clerk, European Exchange System, U.S. Army
1966-1968	Work-study program, Fort Hays State Univ, State of

5. HAVE YOU BEEN A REGISTERED LOBBYIST OR EMPLOYED A REGISTERED LOBBYIST AT ANY TIME DURING THE PAST 5 YEARS? No
If you were a registered lobbyist, did you receive any compensation?
List groups you represented or for which you employed a lobbyist:

6. EXPERIENCE OR INTERESTS WHICH QUALIFY YOU FOR THE OFFICE T
WHICH YOU HAVE BEEN APPOINTED:

See attached sheet.

7. SUMMARY OF BUSINESS OR PROFESSIONAL EXPERIENCE: _____

See attached sheet.

8. HAVE YOU EVER BEEN A MEMBER OF THE ARMED FORCES OF THE
UNITED STATES? No
If so, please list dates of service, branch of service and
date and type of discharge:

9. HAVE YOU EVER BEEN ARRESTED, CHARGED OR FELD BY FEDERAL,
STATE OR OTHER LAW ENFORCEMENT AUTHORITIES FOR VIOLATION OF
ANY FEDERAL LAW, STATE LAW, COUNTY OR MUNICIPAL LAW,
REGULATION OR ORDINANCE (EXCLUDING TRAFFIC VIOLATIONS FOR
WHICH A FINE OF \$100 OR LESS WAS IMPOSED)? No

10. DISPOSITION OF ANY INTERESTS THAT MIGHT HAVE PRESENTED A
POTENTIAL CONFLICT OF INTEREST FOR THIS POSITION.

I believe I neither possessed nor possess any interests that might
present or have presented a potential conflict of interest for this
position, and therefore have disposed of none.

Return to: Mary Holladay
Appointment Secretary
Office of the Governor
2nd Floor, State Capitol
Topeka, KS 66612

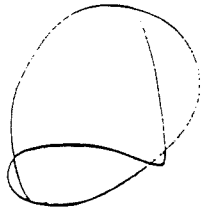
Mary Holladay
Signature

6. EXPERIENCE OR INTERESTS WHICH QUALIFY YOU FOR THE OFFICE TO WHICH YOU HAVE BEEN APPOINTED:

Since 1981 I have been a Kansas City, Kansas, Assistant City Attorney, specializing in among other things employee benefits. I have advised the City on its employee health benefit plan and have been involved in its administration since 1985. Since 1981 I have served as legal counsel to the Board of Pension Trustees for Retirement Pension Plan of the Board of Public Utilities, the City's municipal water and electric utility. This public employee pension plan is a defined benefit plan with approximately 800 active and 430 retired members and \$175 million in assets. The Board meets monthly, and I have been involved to some extent in almost every facet of the administration of the Pension Plan, including decisions on employment of investment managers and consultants, asset allocation, investment guidelines, benefit design, and plan amendments. These decisions are similar in nature, although perhaps not in scope, to those made by the KPERS trustees. Since 1985 I have attended the seminars presented at the National Conference of Public Employees Retirement Systems, and since 1991 have participated in the annual conference of the National Association of Public Pension Attorneys. I have completed four of ten courses in the Certified Employee Benefit Specialist Program offered by the Wharton School of the University of PA and the International Foundation of Employee Benefit Plans. In addition I have attended numerous continuing education seminars in the area of employee benefits, including the annual Kansas City Employee Benefit Institute. In July 1991, Governor Finney appointed me to fill an unexpired term on the KPERS Board of Trustees, and in July 1993 she reappointed me to the newly constituted Board of Trustees, the position to which I now seek confirmation. I have performed my service conscientiously and have added to my knowledge of the pension and investment field during my service on the Board.

7. SUMMARY OF BUSINESS OR PROFESSIONAL EXPERIENCE:

After graduating from law school in 1979, I was hired as a Law Clerk to the Honorable Dale E. Saffels, United States District Court Judge for the District of Kansas, in which capacity I served for nearly two years. In that position I performed legal research and drafted opinions for the Court. In addition I wrote jury instructions and observed numerous pretrial procedures and trials of both criminal and civil cases. Since 1981 I have been employed as an Assistant City Attorney for Kansas City, Kansas, and am currently the most senior assistant in the Legal Department. Kansas City, Kansas, has 1600 employees and provides a full array of municipal services for 150,000 citizens. Among the functions I have performed are the following: litigation of cases in which the City has been sued; rendering advice to City officials and employees; drafting of legislation, legal documents, policies and procedures; responsibility for the departmental budget; providing information and service to the public; and participating on task forces and assisting the City administration with policy formulation. I serve and have served on the Board of Directors of several local nonprofit agencies, including the YMCA, the Mental Health Association, the Junior League, and the Wyandotte County Bar Foundation.



logged the 14th of may

KANSAS COMMISSION ON GOVERNMENTAL STANDARDS AND CONDUCT

STATEMENT OF SUBSTANTIAL INTERESTS FOR INDIVIDUALS WHOSE

APPOINTMENT TO STATE OFFICE IS SUBJECT TO SENATE CONFIRMATION

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by each person whose appointment to a state position is subject to Senate confirmation (K.S.A. 46-247 and 46-248). Failure to complete and return this statement may result in a fine of \$10 per day for each day it remains unfiled. Also, any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 913-296-4219.

A. IDENTIFICATION:

PLEASE TYPE OR PRINT

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Last Name

First Name

MI

B	O	E	D	I	N	G					T	H	O	M	A	S						L
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Spouse's Name

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Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

K	A	N	S	A	S		C	I	T	Y		K	S		6	6	1	0	2				
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City, State, Zip Code

9	1	3	**	2	8	1	**	1	8	9	1
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Home Phone Number

9	1	3	**	5	7	3	**	5	0	6	9
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Business Phone Number

B. APPOINTED POSITION SUBJECT TO SENATE CONFIRMATION:

K	P	E	R	S																		
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List Name of Agency, Commission or Board

T	R	U	S	T	E	E																
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Position

* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

*

2	7	5	2
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OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income in, which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here ____.

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	HELD BY WHOM	PERCENT OF OWNERSHIP INTERESTS
Thomas L. Boeding, 206 Brotherhood Bldg, Kansas City, KS 66101	Law practice	Sole proprietorship	<u>X</u>	<u>100</u> You Spouse Jointly
			<u> </u>	<u> </u> You Spouse Jointly
			<u> </u>	<u> </u> You Spouse Jointly
			<u> </u>	<u> </u> You Spouse Jointly
			<u> </u>	<u> </u> You Spouse Jointly
			<u> </u>	<u> </u> You Spouse Jointly
			<u> </u>	<u> </u> You Spouse Jointly
			<u> </u>	<u> </u> You Spouse Jointly
			<u> </u>	<u> </u> You Spouse Jointly

GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here X.

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:

RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____.
If you have nothing to report in Section "E"1, check here ____.

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
City of Kansas City, KS Legal Department	One McDowell Plaza, Kansas City, KS 66101	Municipality

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
If you have nothing to report in Section "E"2, check here ____.

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
Self-employed	206 Brotherhood Bldg, Kansas City, KS 66101	Lawyer

OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
If you have nothing to report in Section "F", check here ____.

BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
Mental Health Assn in Wyandotte County, 1333 S. 37th St, Kansas City, KS 66106	Board Member	Self
YMCA of Wyandotte County, 900 N. 8th St, Kansas City, KS 66101	Board Member	Self
Urban Forestry Committee of Wyandotte Co., 134 N. 130th St, Bonner Springs, KS 66012	Board Member	Self
Kansas Legal Services, Inc., 712 S. Kansas Ave, Topeka, KS 66603	Board Member	Spouse
Kansas Bar Association, 1200 Harrison, Topeka, KS 66601-1037	Board Member	Spouse

RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here X. *My husband is in the private practice of law and receives fees from clients; it is my understanding this section does not apply to such fees received from individuals pursuant to K.S.A. 46-230.

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
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H. **DECLARATION:**

I, Jody Boeding, declare that this statement of substantial interest (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

5/13/93

Date

Jody (4 lines) Boeding

Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES .

Return your completed statement to the Secretary of State, State House, Topeka, Kansas 66612.

SENATE CONFIRMATION QUESTIONNAIRE
APPOINTMENTS BY GOVERNOR JOAN FINNEY

Name: Michael L. Johnston

Home Address: 5700 S.W. 31st Terrace

City, State, Zip Code: Topeka, Kansas 66614

Home Phone: 913 / 272-9339

Business Address: 7th Floor, Docking State Office Building

City, State, Zip Code: Topeka, Kansas 66612

Business Phone: 913 / 296-3461

Date of Birth: 07/29/45 Place of Birth Parsons, Kansas

Party Affiliation Democrat

Appointed as: Member, Kansas Public Employees Retirement System

Board of Trustees

Effective 10/27/92 for the four-year term (Pleasure of t Governor)

ending 4/30/96 Succeeding Robert Lane

Salary None Statutory Authority 74-4905

Statutory Requirements Responsible for the administration of system, establish rules and regulations for the transaction of its business and responsible for a complete and adequate system of accounts and reco and investments.

1. EDUCATION:

High School St. Patrick's, Parsons, Kansas

Year Graduated 1963

Postsecondary	Degree, etc.	Dates
<u>Parsons Junior College</u>		<u>1/64 - 5/66</u>
<u>Pittsburg State University</u>	<u>BSBA</u>	<u>9/68 - 5/71</u>
<u>University of Kansas</u>	<u>MPA</u>	<u>9/74 - 5/80</u>

SWAM
February 10, 1994
Attachment 8

2. MEMBERSHIP IN BUSINESS, TRADE AND PROFESSIONAL ORGANIZATIONS DURING PAST 10 YEARS:

Dates	Name	Location
<u>1984-1991</u>	<u>Chamber of Commerce</u>	<u>Parsons, Kansas</u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>

3. HAVE YOU EVER BEEN ELECTED OR APPOINTED TO ANY PUBLIC OFFICE IN KANSAS? XX Yes No
If so, please list dates and offices held.

Date	Office
<u>1/77 - 1/91</u>	<u>State Senator, 14th District</u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>

4. HAVE YOU EVER BEEN EMPLOYED BY OR HELD A POSITION OR OFFICE WITH ANY FEDERAL, FOREIGN STATE, OR LOCAL GOVERNMENTAL ENTITY OR AGENCY? No
If so, please list dates and offices held:

<u> </u>	<u> </u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>

5. HAVE YOU BEEN A REGISTERED LOBBYIST OR EMPLOYED A REGISTERED LOBBYIST AT ANY TIME DURING THE PAST 5 YEARS? No
If you were a registered lobbyist, did you receive any compensation?
List groups you represented or for which you employed a lobbyist:

<u> </u>
<u> </u>
<u> </u>

6. EXPERIENCE OR INTERESTS WHICH QUALIFY YOU FOR THE OFFICE TO WHICH YOU HAVE BEEN APPOINTED:

Strong background of education and experience in both the public and private sectors. Over seven (7) years experience in operating my own financial services business--Midwest Mortgage Corporation. Company involved in mortgage banking through sale of loans into the secondary mortgage market. Strong personal interest in management of investment financial policy matters.

7. SUMMARY OF BUSINESS OR PROFESSIONAL EXPERIENCE: Over twenty (20) consecutive years in public service--fourteen (14) as an elected official. Worked in the industrial safety and health field from 1966-1976. Since that time, worked in the insurance, real estate, mortgage lending areas in addition to the State Senate service.

8. HAVE YOU EVER BEEN A MEMBER OF THE ARMED FORCES OF THE UNITED STATES? Yes
If so, please list dates of service, branch of service and date and type of discharge:

2/63 - 2/71 U.S. Army Honorable

9. HAVE YOU EVER BEEN ARRESTED, CHARGED OR HELD BY FEDERAL, STATE OR OTHER LAW ENFORCEMENT AUTHORITIES FOR VIOLATION OF ANY FEDERAL LAW, STATE LAW, COUNTY OR MUNICIPAL LAW, REGULATION OR ORDINANCE (EXCLUDING TRAFFIC VIOLATIONS FOR WHICH A FINE OF \$100 OR LESS WAS IMPOSED)?

2/80 Charged with DUI - Dismissed and plead guilty to reckless driving

10. DISPOSITION OF ANY INTERESTS THAT MIGHT HAVE PRESENTED A POTENTIAL CONFLICT OF INTEREST FOR THIS POSITION.

None

Return to: Mary Holladay
Appointment Secretary
Office of the Governor
2nd Floor, State Capitol
Topeka, KS 66612

Signature



FILED

APR 07 1993

BILL GRAVES
SECRETARY OF STATE

KANSAS COMMISSION ON GOVERNMENTAL STANDARDS AND CONDUCT

STATEMENT OF SUBSTANTIAL INTERESTS FOR INDIVIDUALS WHOSE

APPOINTMENT TO STATE OFFICE IS SUBJECT TO SENATE CONFIRMATION

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by each person whose appointment to a state position is subject to Senate confirmation (K.S.A. 46-247 and 46-248). Failure to complete and return this statement may result in a fine of \$10 per day for each day it remains unfiled. Also, any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 913-296-4219.

A. IDENTIFICATION:

PLEASE TYPE OR PRINT

[illegible]

Last Name

First Name

MI

[illegible]

Spouse's Name

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Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

T	O	P	E	K	A		K	A	N	S	A	S			6	6	6	1	4				
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City, State, Zip Code

9	1	3	★★	2	7	2	★★	9	3	3	9
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Home Phone Number

9	1	3	★ ★	2	9	6	★ ★	3	4	6	1
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Business Phone Number

B. APPOINTED POSITION SUBJECT TO SENATE CONFIRMATION:

D	E	P	T		O	F		T	R	A	N	S	P	O	R	T	A	T	I	O	N			
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List Name of Agency, Commission or Board

S	E	C	R	E	T	A	R	Y		O	F		T	R	A	N	S	P	O	R	T	A	T	I
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Position

* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

★ 9 - 9

KCGS&C 201-3, Rev. 2-92

SWAM
February 10, 1994
92
Attachment 9

- C. **OWNERSHIP INTERESTS:** List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income in, which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here ____.

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	HELD BY WHOM	PERCENT OF OWNERSHIP INTERESTS
1. Midwest Mortgage Corporation P O Box A, Parsons, KS	Mortgage Lending	Stockholder	<input checked="" type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	100%
2. Layton-Charlesworth-Johnston (Jt. Venture)	Thoroughbred Horse Racing	Thoroughbred Horse	<input checked="" type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	25%
3. Layton-Johnston (Jt. Venture)	Thoroughbred Horse Racing	Thoroughbred Horse	<input checked="" type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	25%
4.			<input type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	
5.			<input type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	
6.			<input type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	
7.			<input type="checkbox"/> You <input type="checkbox"/> Spouse <input type="checkbox"/> Jointly	

- D. **GIFTS OR HONORARIA:** List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here x.

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.		
2.		
3.		

RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____.
- If you have nothing to report in Section "E"1, check here ____.

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1. Midwest Mortgage Corporation	P O Box A, Parsons, KS	Mortgage Lending
2. First National Bank & Trust	P O Box 877, Parsons, KS	Commercial Bank

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
- If you have nothing to report in Section "E"2, check here ____.

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1. Southwestern Bell Telephone Co.	220 E. 6th, Topeka, KS	Telephone Company
2.		

- F. **OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS:** List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section. If you have nothing to report in Section "F", check here ____.

BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1. Midwest Mortgage Corporation P O Box A, Parsons, KS	President & Director	Self
2. Midwest Mortgage Corporation P O Box A, Parsons, KS	Secretary/Treasurer	Spouse
3. KPERS Board of Trustees Capitol Tower, 400 SW 8th, Topeka, KS	Member	Self
4. Pooled Money Investment Board Landon SOB, 900 S.W. Jackson, Topeka, KS	Member	Self
5.		

RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here ____.

NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1. First National Bank and Trust	P O Box 877, Parsons, KS	Self
2.		
3.		
4.		
5.		
6.		
7.		
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12.		
13.		

H. DECLARATION:

I, Michael L. Johnston, declare that this statement of substantial interest (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

April 5, 1993
Date


Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES 0.

Return your completed statement to the Secretary of State, State House, Topeka, Kansas 66612.

SENATE CONFIRMATION QUESTIONNAIRE
APPOINTMENTS BY GOVERNOR JOAN FINNEY

Name: R. Pat Margo

Home Address: 3406 West 1st Street

City, State, Zip Code: Coffeyville, KS 67337

Home Phone: 316 / 251-3706

Business Address: Condon National Bank, P.O. Box 917

City, State, Zip Code: Coffeyville, KS 67337

Business Phone: 316 / 251-5500

Date of Birth: 4/19/42 Place of Birth: Harrold, SD

Party Affiliation: Republican KBI Check (Yes/No) Yes

Appointed as: KPERS Trustee

Effective 7/1/93 for the two year term
ending 6/30/95 Succeeding N/A New Position

Salary -0- Statutory Authority K.S.A. 14-420

Statutory Requirements The six members appointed pursuant to subsection (a)(1) shall have demonstrated experience in the financial affairs of a public or private organization or entity which employs 100 or more employees or had at least five years experience in the field of investment management or analysis, actuarial analysis or administration of an employee benefit plan.

1. EDUCATION:
High School Parker High School, Parker, South Dakota

Year Graduated 1960

Postsecondary	Degree, etc.	Dates
<u>University of South Dakota</u>	<u>BBA - Management</u>	<u>1964</u>
<u>University of South Dakota - Graduate Study toward MBA</u>		

SWAM
February 10, 1994
Attachment 10

2. MEMBERSHIP IN BUSINESS, TRADE AND PROFESSIONAL ORGANIZATIONS DURING PAST 10 YEARS:

Dates	Name	Location
	Hoy Scouts	
	Notary	
	Kansas Public Employees Retirement System	
	Kansas Cavalry	
	Kansas Bankers Association	
	Montgomery County Action Council	
	City of Coffeyville Economic Development Committee	
	Coffeyville Industries	
	Coffeyville Chamber of Commerce	
	USD 445 Facilities Committee	

3. HAVE YOU EVER BEEN ELECTED OR APPOINTED TO ANY PUBLIC OFFICE IN KANSAS? X Yes No
If so, please list dates and offices held.

Date	Office
<u>12/21/91</u>	<u>Member, KPERS Board of Trustees</u>
<u>7/1/93</u>	<u>Member, KPERS Board of Trustees</u>

4. HAVE YOU EVER BEEN EMPLOYED BY OR HELD A POSITION OR OFFICE WITH ANY FEDERAL, FOREIGN STATE, OR LOCAL GOVERNMENTAL ENTITY OR AGENCY? No
If so, please list dates and offices held:

5. HAVE YOU BEEN A REGISTERED LOBBYIST OR EMPLOYED A REGISTERED LOBBYIST AT ANY TIME DURING THE PAST 5 YEARS? No
If you were a registered lobbyist, did you receive any compensation?
List groups you represented or for which you employed a lobbyist:

6. EXPERIENCE OR INTERESTS WHICH QUALIFY YOU FOR THE OFFICE TO WHICH YOU HAVE BEEN APPOINTED:

Many years of experience in banking and two years as a KPERB Trustee

7. SUMMARY OF BUSINESS OR PROFESSIONAL EXPERIENCE:

Condon National Bank, Coffeyville, Kansas, 1983 - present

First National Bank, Sioux Falls, South Dakota, 1974 - 1983

Union State Bank, Fargo, North Dakota, 1972 - 1973

Northeast Bancorporation, Minneapolis, Minnesota, 1964 - 1972

8. HAVE YOU EVER BEEN A MEMBER OF THE ARMED FORCES OF THE UNITED STATES? Yes

If so, please list dates of service, branch of service and date and type of discharge:

U. S. Army - 1966 to 1968 - Honorable Discharge

9. HAVE YOU EVER BEEN ARRESTED, CHARGED OR HELD BY FEDERAL, STATE OR OTHER LAW ENFORCEMENT AUTHORITIES FOR VIOLATION OF ANY FEDERAL LAW, STATE LAW, COUNTY OR MUNICIPAL LAW, REGULATION OR ORDINANCE (EXCLUDING TRAFFIC VIOLATIONS FOR WHICH A FINE OF \$100 OR LESS WAS IMPOSED)? No

10. DISPOSITION OF ANY INTERESTS THAT MIGHT HAVE PRESENTED A POTENTIAL CONFLICT OF INTEREST FOR THIS POSITION.

None

Return to: Mary Holladay
Appointment Secretary
Office of the Governor
2nd Floor, State Capitol
Topeka, KS 66612

Mary Holladay
Signature



FILED

APR 12 1993

BILL GRAVES
SECRETARY OF STATE

KANSAS COMMISSION ON GOVERNMENTAL STANDARDS AND CONDUCT

STATEMENT OF SUBSTANTIAL INTERESTS FOR INDIVIDUALS WHOSE

APPOINTMENT TO STATE OFFICE IS SUBJECT TO SENATE CONFIRMATION

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by each person whose appointment to a state position is subject to Senate confirmation (K.S.A. 46-247 and 46-248). Failure to complete and return this statement may result in a fine of \$10 per day for each day it remains unfiled. Also, any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 913-296-4219.

A. IDENTIFICATION:

PLEASE TYPE OR PRINT

M	a	r	s	o		k		P	a	t										
---	---	---	---	---	--	---	--	---	---	---	--	--	--	--	--	--	--	--	--	--

MT

Last Name

First Name

MI

[illegible]

Spouse's Name

[illegible]

4	0	8	00	1	Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number									
---	---	---	----	---	---	--	--	--	--	--	--	--	--	--

C	o	f	f	e	y	v	i	/	/	e	k	s							
---	---	---	---	---	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--

City, State, Zip Code

3	1	2	**	2	5	1	**	3	7	0	6
---	---	---	----	---	---	---	----	---	---	---	---

Home Phone Number

3	1	6	**	2	5	1	**	5	5	0	0
---	---	---	----	---	---	---	----	---	---	---	---

Business Phone Number

B. APPOINTED POSITION SUBJECT TO SENATE CONFIRMATION:

[illegible]

List Name of Agency, Commission or Board

[illegible]

Position

* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

★				
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RCGS&C 201-3, Rev. 2-92

- C. **OWNERSHIP INTERESTS:** List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income in, which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.
- If you have nothing to report in Section "C", check here ____.

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	HELD BY WHOM	PERCENT OF OWNERSHIP INTERESTS
1. Condon Nat Bank Box 937, Coffeyville, ks	Bank		<input checked="" type="checkbox"/> You <i>dis. 1/2</i>	<input type="checkbox"/> Spouse
			<input type="checkbox"/> Jointly	
2.			<input type="checkbox"/> You	<input type="checkbox"/> Spouse
			<input type="checkbox"/> Jointly	
3.			<input type="checkbox"/> You	<input type="checkbox"/> Spouse
			<input type="checkbox"/> Jointly	
4.			<input type="checkbox"/> You	<input type="checkbox"/> Spouse
			<input type="checkbox"/> Jointly	
5.			<input type="checkbox"/> You	<input type="checkbox"/> Spouse
			<input type="checkbox"/> Jointly	
6.			<input type="checkbox"/> You	<input type="checkbox"/> Spouse
			<input type="checkbox"/> Jointly	
7.			<input type="checkbox"/> You	<input type="checkbox"/> Spouse
			<input type="checkbox"/> Jointly	

- D. **GIFTS OR HONORARIA:** List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.
- If you have nothing to report in Section "D", check here ____.

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.		
2.		
3.		

- 3. RECEIPT OF COMPENSATION:** List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ____.

If you have nothing to report in Section "E"1, check here ____.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	Cordon Wat Bank	Box 937	Bank
2.			

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. If you have nothing to report in Section "E"2, check here ____.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

- 4. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS:** List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section. If you have nothing to report in Section "F", check here ____.

All are non profit

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.	Coffeyville Chamber of Commerce	Director	Me
2.	Class Ltd	"	"
3.	Montgomery Action Council	"	"
4.	Ch AA	Gov Council	"
5.	Boy Scouts	Director	"

RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here ____.

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. **DECLARATION:**

I, Pat Marsco, declare that this statement of substantial interest (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

4/14/93
Date

[Signature]
Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES ____.

Return your completed statement to the Secretary of State, State House, Topeka, Kansas 66612.