Date

MINUTES OF THE SENATE WAYS AND MEANS COMMITTEE

The meeting was called to order by Chairman Dwayne Umbarger at 10:30 A.M. on January 19, 2007, in Room 123-S of the Capitol.

All members were present except:

Senator Jim Barone- excused Senator Carolyn McGinn- excused Senator Vicki Schmidt- excused Senator David Wysong- excused

Committee staff present:

Jill Wolters, Senior Assistant, Revisor of Statutes
Alan Conroy, Director, Kansas Legislative Research Department
J. G. Scott, Kansas Legislative Research Department
Amy Deckard, Kansas Legislative Research Department
Susan Kannarr, Kansas Legislative Research Department
Michael Steiner, Kansas Legislative Research Department
Melinda Gaul, Chief of Staff, Senate Ways & Means
Mary Shaw, Committee Assistant

Conferees appearing before the committee:

Kathy Greenlee, Acting Secretary, Kansas Department on Aging Donald Jordan, Acting Secretary, Kansas Department of Social and Rehabilitation Services Janis DeBoer, Deputy Secretary, Kansas Department on Aging Scott Brunner, Chief Financial Officer, Kansas Health Policy Authority

Others attending:

See attached list.

Bill Introductions

Senator Schodorf moved, with a second by Senator Emler, introduction of a bill concerning creating the autism task force (7rs0567). Motion carried on a voice vote.

Senator Teichman moved, with a second by Senator Emler, introduction of a conceptual bill requiring the department of wildlife and parks to purchase vessel liability insurance. Motion carried on a voice vote.

Chairman Umbarger opened the confirmation hearing for:

Kathy Greenlee, Appointment as Secretary, Kansas Department on Aging (Attachment 1)

Ms. Greenlee gave a brief biography of experience over her years in state government in a wide variety of positions. There was committee discussion including questions. Ms. Greenlee has served as Acting Secretary of Aging since January of 2006.

The Chairman closed the confirmation hearing.

Senator Teichman moved, with a second by Senator Kelly, to recommend the confirmation of the appointment of Kathy Greenlee as Secretary, Kansas Department on Aging. Motion carried on a roll call vote.

Chairman Umbarger opened the confirmation hearing for:

Donald Jordan, Appointment as Secretary, Kansas Department of Social and Rehabilitation Services (Attachment 2)

CONTINUATION SHEET

MINUTES OF THE Senate Ways and Means Committee at 10:30 A.M. on January 19, 2007, in Room 123-S of the Capitol.

Mr. Jordan gave a brief biography of experience over his years in state government in a wide variety of positions. There was committee discussion including questions. Mr. Jordan most recently served as Commissioner of the Juvenile Justice Authority.

Senator Kelly moved, with a second by Senator Betts, to recommend the confirmation of the appointment of Donald Jordan as Secretary, Kansas Department of Social and Rehabilitation Services. Motion carried on a roll call vote.

Chairman Umbarger welcomed the following conferees who presented updates on caseload estimates:

Don Jordan, Acting Secretary, Kansas Department of Social and Rehabilitation Services (<u>Attachment 3</u>). Mr. Jordan provided information regarding Temporary Assistance for Families, General Assistance, Reintegration/Foster Care Contracts, Nursing Facilities for Mental Health and Regular Medical.

Janis DeBoer, Deputy Secretary, Commissioner of Program and Policy, Kansas Department on Aging (<u>Attachment 4</u>). Ms. DeBoer explained Medicaid average caseload, expenditures and average monthly payments for the Nursing Facility program and Home and community Based Services/Frail Elderly waiver. She noted that they continue to experience a downward trend in nursing facility caseload and an increase in demand for community-based services.

Scott Brunner, Chief Financial Officer, Kansas Health Policy Authority (<u>Attachment 5</u>). Mr. Brunner explained the consensus caseload process and detailed the Kansas Health Policy Authority caseload estimates. He noted that the population and cost increases contribute to the State General Fund increase, but there is also an anticipated drop in the federal Medicaid matching rate from 60.25 percent to 59.43 percent. The combined impact of the projected caseload increases and the decrease in the Federal Match rate is approximately \$30 million net increase in State General Fund needed.

Copies of the 2007 Senate Ways and Means Subcommittee Assignments were distributed to the Committee (Attachment 6).

The meeting adjourned at 11:40 a.m. The next meeting is scheduled for January 22, 2007.

SENATE WAYS AND MEANS COMMITTEE GUEST LIST

Date <u>January</u> 19, 2007

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Name	Representing
Spacial Sand	KOCA
Path Sieenles	KDOA
Barb Conad	KDOA
Dorboda	SAS
Kyle Kepler	575
Scott Brunner	IC HPA
Luke Thompson	KHPA
CRAIG KABERLINE	K4A
tround referred	KACIL
Josie Torses	5/LCK
Nmy here	KHCA
Lam Howed	SRS
Lois Weeks	SRS
JANIS DEBOUR	Kon Jily
Gil (RUZ	Ombodsmans Office
Bryan Behgan	Governor's Office
Jalyan Copp	Governor's Office
Hally Pollock	Governor's Office
Blake Huff	Governor's Office
Keum Siek	TILRC
Dilling Stillarty Dr.	TODEKA NAACD
Mary Stran	KAHSA
Pon Seeber	Hein Law Firm

SENATE WAYS AND MEANS COMMITTEE GUEST LIST

Date <u>January</u> 19, 2007

<i>U</i>	
Name	Representing
Policy So. Harrison	PAT Huerey & Co.
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EVNIE POGGE	1)015
Brandon Yorkey	Division of Budget
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Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Kathleen Sebelius

Appointee: Kathy Greenlee

Position: Secretary, Department on Aging

Appointment Date: December 8, 2006

Expiration Date: N/A

Term Length: Pleasure of the Governor

Statutory Authority: K.S.A. 75-5903 Party Affiliation: Democrat

⇒ Statutory geographic representation Congressional District: Requirements (insert any that apply)

County:

Size requirement (if any):

Other, specify:

⇒ Statutory party affiliation requirement:

⇒ Statutory industry or occupation requirements:

Salary: \$96,331.85 Predecessor: Pamela Johnson-Betts

Board Composition Prior to Confirmation of New Appointee:

N/A

Senate ways and Means 1-19-07 Attachment 1

KATHY GREENLEE

1521 Alvamar Drive Lawrence, KS 66047 785/218-4461 (cell); 785/296-5222 (work) kgreenlee@sunflower.com

EDUCATION

University of Kansas School of Law
Juris Doctor, May 1988
Admitted to the Kansas bar, September 1988

University of Kansas B.S. Business Administration, January 1985

EMPLOYMENT

Kansas Department on Aging, Topeka, Kansas

Acting Secretary of Aging

Serve as head of cabinet-level agency dedicated to improving the security, dignity and independence of Kansas seniors. Manage an agency consisting of 210 staff with a total budget of \$450 million. Responsible for administration of Older American's Act programs, distribution of Medicaid long-term care payments and regulation of nursing home licensure and survey process. Participate on a number of interagency boards and committees including the Health & Human Services cabinet team, the Kansas Health Policy Authority Board of Director and the Workforce Network of Kansas Board.

Office of the State Long-Term Care Ombudsman, Topeka, Kansas

State Long-Term Care Ombudsman

August 2004 to January 2006

Served as director of a program dedicated to advocating for residents of long-term care facilities. Prepared agency budget. Established and maintained relationships with consumer advocates, nursing home professionals, Kansas legislature, Kansas Silver Haired Legislature, other state agencies and Governor's office.

Kansas Department on Aging, Topeka, Kansas

Assistant Secretary

November 2003 to July 2004

Served as legislative liaison and chief budget officer. Tracked legislation and prepared testimony. Supervised Human Resources unit and three other staff.

Office of the Governor, Topeka, Kansas

Chief of Staff, Chief of Operations

November 2002 to October 2003

Served as Chief of Staff during gubernatorial transition and first legislative session. In July 2003, become chief operating officer for the Governor's office.

Chair, Criminal Justice Coordinating Council Chair, Governor's Council on Homeland Security

Kansas Insurance Department, Topeka, Kansas

General Counsel

January 1999 to November 2002

Supervised fifteen legal division staff, including six attorneys. Advised Commissioner of Insurance on broad range of regulatory and policy issues. Worked closely with other divisions, including financial surveillance. Coordinated with outside special deputy liquidator regarding insolvency proceedings. Drafted legislation, communicated with interested parties and testified before legislative committees.

Major projects: Lead team of regulators who evaluated proposed sale of Blue Cross/Blue Shield of Kansas. Chaired National Association of Insurance Commissioners, Managed Care Organizations working group.

Director, Consumer Assistance Division

April 1995 to January 1999

Supervised twenty-five consumer assistance staff, including Wichita branch office and market conduct unit. Provided assistance to consumer representatives regarding consumer complaints. Responded to inquiries from consumers and legislators. Gave speeches to consumer and industry groups. Handled complex consumer complaints involving all lines of insurance.

Office of the Attorney General, Topeka, Kansas

Assistant Attorney General

Consumer Protection Division

August 1992 to January 1995

Enforced Kansas Consumer Protection Act. Represented State of Kansas in district court. Developed expertise in areas of telemarketing fraud, puzzle contests and junk mail. Prosecuted and closed illegal pyramid scheme. Communicated with other state and federal agencies. Made public presentations.

Assistant Attorney General

Division of Alcoholic Beverage Control, Kansas Department of Revenue April 1991 to August 1992

Administratively prosecuted violations of the Kansas Liquor Control Act. Served as counsel to the agency. Supervised staff of four non-attorneys. Represented agency in state district court and court of appeals. Worked closely with chief investigator and liquor control agents. Conducted in-service training.

Legal Aid Society of Topeka, Topeka, Kansas

Staff Attorney

July 1989 to April 1991 Half-time elder law; half-time general civil law. Handled divorce, paternity, child custody and social security disability cases. Prepared simple wills and living wills. Made numerous presentations to senior citizen organizations.

Kansas Coalition Against Sexual and Domestic Violence, Lawrence, Kansas

Executive Director

January 1987 to July 1989

Served as first paid staff member for statewide association. Worked with local programs. Provided technical assistance and training. Member, Kansas Attorney General's Victims' Rights Task Force.

PUBLICATIONS AND PRESENTATIONS

- National Association of Insurance Commissioners Regulating the Marketplace workshop, June 2001 and 2002
- Kansas Women Attorneys Association, Annual Conference Health Insurance Law, July 2001
- Kansas Insurance Department, Insurance Institute Overview of Blue Cross/Anthem transaction, February 2001 Presentation on current issues, February 2000
- American Health Lawyers Association, Managed Care Law Conference Presentation on regulating HMO's, December 1999
- Kansas Long-Term Care Handbook, First Edition, 1999

 Kansas Bar Association publication
 Chapter 1: Planning in Advance. Authored section on long-term care insurance.
 Chapter 2: Medicare. Authored section on Medicare supplement insurance.
- Kansas Bar Association, Continuing Legal Education workshop Presentation on Long-Term Care and Medicare supplement insurance February 1999
- Ellis County Bar Association, Continuing Legal Education workshop Presentation on Kansas Consumer Protection Act, 1994

COMMUNITY INVOLVEMENT

Douglas County Democrats Central Committee Chair, November 2004 to November 2006 Secretary, November 2002 to November 2004

- Housing and Credit Counseling Inc. of Topeka President, 2000 to 2002 Board of Directors, 1997 to 2002
- United States' Attorney's Hate Crimes Task Force District of Kansas, 1999 to 2000
- Freedom Coalition, Inc, Lawrence, Kansas Member, Coordinating Council, 1993 to 2000 Co-Chair, Political Committee, 1994 to 2000

Women Attorneys Association of Topeka President, 1995-96 Statewide Conference Chair, 1994 Board of Directors, 1992 to 1997

REFERENCES AVAILABLE UPON REQUEST



KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A IDENTIFICATION: PLEASE TYPE OR PRINT
Greenlee Kathy Jo
Last Name First Name MI
Spouse's Name
1521 Alvamar Drive
Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number
Lawrence Ks lewy 7
City, State, Zip Code
785-218-4461 (cell) 785-296-5222
Home Phone Number (include area code) Business Phone Number (include area code)
B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:
(check one or more of the following) 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance,
State Treasurer, Secretary of State, State Senator, State Representative, Member of State
Board of Education or District Attorney); 2. Appointed Member of a State Board, Council, Commission or Authority;
(a) Appointed State Position is Subject to Senate Confirmation;
4. Employee of a State Agency or University, 5. General Counsel for a State Agency;
6 Candidate for State Office
nominated to be Secretary Kanga Dept on A gire List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)
List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)
Division if applicable (May use acronyms) Position
* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.
Rev. 2/200

C. OWNERSHIP INTERESTS: List any corporation, parinership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here _____.

BUSINESS NAME AND ADDRESS	TABE OF BRINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	MHOW BA.
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2			(*)	
3. ING Fixed Account	to Canh	4,313.59		
Ford Port-I	bonds	4380.26		(*)
IFNG UP Growth + Tiocome Port I	language 1	189U3.06		
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Jalle fund 9. ING Opportuner	1 .	9039.45		
666 Portfalio				
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D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here X.

NAME OF PERSON OR BUSINESS FROM WHOM GIF	SECEIVED SECEIVED	ADDRESS	RECEIVED BY:
1.			
2			

- E. <u>RECEIPT OF COMPENSATION</u>: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered); which was reportable as taxable income on your federal income tax returns.
 - 1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE If you have nothing to report in Section "E"1, check here _____.

	ADDRESS	TYPE OF BUSINESS
NAME OF BUSINESS		

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.

If you have nothing to report in Section "E"2, check here

		ADDRESS	TYPE OF BUSINESS
NAME OF BUSINESS	(Company of the Comp		
2			1

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "F", check here

			POSITION HELD	HELD BY WHOM
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G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees of commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

NAME OF CLIENT / CUSTOMER 1. 2. 3. 4. 5. 6. 7. 8. 9. 10.				
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	DECLARATION:

declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

12/7/06

Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES U

Return your completed statement to the Secretary of State, Memorial Hall, First Floor, 120 SW 10th Ave., Topeka, Kansas 56612-1594.

(for Appointments	Office us	e only)	(
KBI Check: _	NA	In Process	Complete	

KANSAS GOVERNOR SEBELIUS APPOINTMENT QUESTIONNAIRE

Position for which you are applying: Secretary of Aging
Full Name (please include title and middle name): Kothy To Greenlee
Home Address: 1521 Al vamour Drive, Lowrence KS Coles 47, Dougla
Business Address: 503 S. Kansas Augue, Topeka KS (County) Business Name: Kansas Dapt on Aging (County)
Business Name: Kanso Dant on Asing (County)
Position Title: Acting Secretary
Home Phone: Business Phone: Dell Phone: 218-4461
Facsimile No: 296-056 E-Mail Address:
Driver's License MSocial Security M
Date of Birth: Place of Birth: Wichta Kansas resident? 45
Registered Voter? Yes Party Affiliation: Democrat
Congressional District: 2 rd Kansas Senate District: 2 Kansas Representative District: 44+
Do you have the legal right to live and work in the United States?
Education: B.S. Business Administration Univot KS, 1985
J.D. Univof Kansos School of Low 1988
Employment Experience: Sel Resume, attached
Do you hold any professional licenses? If so, please provide numbers:
What special skills could you bring to this position? <u>fifter years of State</u>
government experience with a wide variety of positions
Previous government appointments: See Residence (Please provide dates)

EXPERIENCE AND AFFILIATIONS (Note: All yes answers require a detailed response. Attach a separate sheet if necessary.)

1.	Military Service: List rank, date, and type of discharge from active service:
2.	Government Experience: List on a separate sheet any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, or other part-time service or positions), with dates of service:
3.	Elective Public Office: List on a separate sheet all elective public offices sought and/or held with dates of service: Democratic Recent Committeewoman
4.	Honors and Awards. List on a separate sheet all scholarships, fellowships, honorary degrees, honorary society memberships, and any other special recognitions for outstanding service or achievements:
5.	Organization Affiliations. List on a separate sheet all local, state, and national civic, cultural, educational, charitable, or work-related organizations you have been associated with in the past ten years. Include any position held in the organization and the dates of service.
6.	Organization Restrictions: To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status, or veteran status? If yes, please describe: No Yes
7.	Issues. Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe. No X Yes
8. 9.	Submission of views. Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particular controversial issue other than in an official governmental capacity? If yes, please describe. No Yes X Thave been amended of Several capital gay her organizations. Have you ever had any association with any person, group, or business venture
	that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If yes, please describe. No Yes
10.	Opposition. Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If yes, please identify and explain the basis for the potential attack on a separate sheet. No Yes
11.	Miscellaneous. List on a separate sheet any factors, other than the information provided above, which particularly qualify you or are relevant to the position to which you are seeking appointment? Include any special skills. Thous Sarusal as Acting Lecustary
	appointment? Include any special skills. I have sarved as Acting Secretary of Aging Since January 2000. I believe I am considered
	Competent, fair + balanced in my performance of this
	position.

CONFLICTS OF INTEREST: (Yes answers require a detailed response. If necessary, use a separate sheet.)

- Relationship to governmental employees. Are you or your spouse or other close family members related to any state governmental official or employee? If yes, please provide details.

 No___Yes_X SULCONY
- Compensation. During the past five years have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If yes, please explain. No Yes X emplayed
- Business relationships. Describe on a separate sheet any business relationship, dealing, or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client, or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.
- 15. Transactions with officials. During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If, yes, please explain on a separate sheet. No X Yes _____
- Spouse or other family members. If the nature of employment for your spouse or other close family member is related in any way to the position to which you want to be appointed, please indicate the employer, the position, and the length of time it has been held. If it is not, please so state.
- Lobbying activities. Describe briefly on a separate sheet any lobbying activity during the past ten years in which you have engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action. Describe briefly any lobbying activity during the last ten years in which your spouse has engaged for the purpose of influencing the passage, defeat, or modification or any legislative or administrative action that is related in any way to the position to which you are seeking appointment. (Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government, or any official of the legislative branch.) If none, please so state.

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- Regulated activities. Describe on a separate sheet any interest that you, your spouse, or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership, or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state.
- Other. Please describe on a separate sheet any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you seek to be appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.

	ETHI sheet.	(CAL MATTERS: (Yes answers require a detailed response. If necessary, use a separate
	20.	Citations. Have you ever been cited for a breach of ethics for unprofessional conduct by, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If yes, please provide details. NoXYes
	21.	Convictions. Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a minor traffic offense? (Minor traffic offenses do not include the Kansas offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If yes, please explain. No Yes
	22.	U.S. Military convictions. Have you ever been convicted by any military court? If yes, please provide details. No Yes
	23.	Imprisonment. Have you ever been imprisoned, been on probation, or been on parole? If yes, please provide details. No X. Yes
	24.	Agency proceedings: Civil Litigation. Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If yes, please provide details. No Yes
	25.	Agency proceedings and civil litigation of affiliates and family. Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If yes, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.) No Yes
1	26.	Other litigation. Other than the litigation described above, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If yes, please describe. Is anyone currently threatening to sue you or any business in which you are an officer, director, or partner? If yes, please describe. No Yes
2	27.	Drivers license. Has your drivers license ever been suspended or revoked? If yes, please describe. No X Yes
2	28.	Parking tickets. Do you have outstanding parking tickets from any jurisdiction in Kansas that have remained unpaid for more than 60 days? If yes, please explain. No Yes

Security clearance denial. Have you ever been denied a military or other governmental clearance? If yes, please explain. No Yes Yes

29.

30.31.	after being told that you would be fired, or did you leave by mutual agreement because of specific problems? If yes, please provide details. No Y Yes However, I lost an unclassified job due to change in office holder Alimony and child support. Are you now, or have you ever been delinquent in the payment of
	alimony or child support? If yes, please provide details. No Yes
32.	Consumption of alcohol. Are you currently abusing alcohol? No X Yes
33.	Controlled substances. Are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If yes, please describe. No X Yes
34.	Physical examination. If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? NoYes
35.	Other. Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment.
FINAN sheet.)	ICIAL MATTERS: (Yes answers require a detailed response. If necessary, use a separate
	Delinquencies. Are you delinquent on any federal, state, or local debt? (Include delinquencies for income, property, or other taxes; governmental loans; overpayment of benefits; required payments into or under governmental programs; and other debts or required payments to the government; plus any defaults on or under loans which are or were guaranteed, insured, or subsidized by any unit of government.) If yes, please provide details on a separate sheet of paper. No Yes
	RENCES/SIGNIFICANT SUPPORTERS (elected officials, community leaders, friends, etc.)
Name:	Dan Watters Relationship to you: professional colleague + one: 843-0181 (W) 842-8794 (L) friend
Γelepho	one: 843-0181 (W) 842-8794 (N)
Name:	Alice Lieberman Relationship to you: friend + back Democratic
Γelepho	one: 864-8957(w) 218-5347 Party activist
Vame:	Charlene Brubaker Relationship to you: friend + Low School
Γelepho	one: 787-628-9405(w) 785-628-8021(h) Classomati
Vame:	Paul Davis Relationship to you: State Representative,
	one: 755-843-7674 (w) Democratic collegue
20000	550-1334 (cell)

AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

Please attach a copy of your resume if you have not previously provided one to the Appointments office.

Appointments Questionnaire 1/23/03

Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Kathleen Sebelius

Appointee: Don Jordan

Position: Secretary, Department of Social and Rehabilitation Services

Appointment Date: December 8, 2006 Expiration Date: N/A

Term Length: Pleasure of the Governor

Statutory Authority: K.S.A. 75-5301 Party Affiliation: Democrat

⇒ Statutory geographic representation Congressional District: Requirements (insert any that apply)

County:

Size requirement (if any):

Other, specify:

⇒ Statutory party affiliation requirement:

⇒ Statutory industry or occupation requirements:

Salary: \$102,700.73 Predecessor: Gary Daniels

Board Composition Prior to Confirmation of New Appointee:

N/A

DONALD A. JORDAN

208 SW Courtland Topeka, Ks. 66606 785-296-0042 (Work) 913-244-9699 (Home)

EMPLOYMENT HISTORY:

2005 - Present Juvenile Justice Authority Commissioner

Agency head and member of Governor's cabinet. Responsible for overseeing all operations of state agency responsible for juvenile justice system including prevention, early intervention, community supervision, and four juvenile correctional facilities.

2003 - 2005 Osawatomie State Hospital Superintendent

- Responsible for all operations for a 176 bed state psychiatric hospital.

 Oversee the management of Rainbow Mental Health Facility, a 50 bed psychiatric hospital located in Kansas City, Ks.
- ♦ Directed reorganization of service delivery model to handle a 25% increase in patients in 10% fewer beds.
- Successfully completed one regular and one unannounced JCAHO survey.

 Oversaw implementation of Periodic Performance Review system to measure hospital's compliance with JCAHO.

2002 - 2003 Kansas Department of Social and Rehabilitation Services, Health Care Policy Division. Coordinator of State Institution Services.

- Direct the planning, coordination and execution of activities of three state mental health and two state mental retardation/developmental disability hospitals.
- Served as Interim Superintendent of Osawatomie State Hospital.

1999 - 2002 Kansas Department of Social and Rehabilitation Services, Children and Family Policy (CFP), Director of Administration.

- Directed business operations for personnel, information systems, resource development, accounting, and budgeting.
- Oversaw the development and execution of overall division business plan.
- ♦ Managed division legislative activities including development and management of legislative strategy, development of testimony, responses to legislative inquiries and progress of budget through the approval process.
- Negotiated contracts for foster care, adoption and family preservation with annual value of \$130 million.
- Developed and implemented policies, procedures and financial arrangements which brought financial and programmatic stability to the largest system redesign ever undertaken by a state child welfare system.

Resume - Donald A. Jordan

- ♦ Implemented reforms to correct a historical pattern of over expending budget.
- ♦ Developed and implemented plan to increase revenue from Title XIX (Medicaid) and Title IV-E (Foster Care) to correct problem with extremely low federal reimbursement.

1992-1999 Kansas Department of Social and Rehabilitation Services, Mental Health & Developmental Disabilities (MH&DD), Topeka, Ks. Director of Management Operations.

- Directed and managed fiscal and support operations including hospital reimbursement, personnel, information systems and budget for the state hospitals and state MH and DD authority. Participated in development of overall commission planning and resource allocation for state institutions and community based service delivery systems.
- ♦ Managed budget of over \$300 million. Maintained expenditures with in appropriations every year.
- ♦ Coordinated legislative activities including development and management of legislative strategy, development of testimony, responses to legislative inquiries and progress of budget through the approval process.
- Negotiated statewide community mental health services contracts.
- Provided expertise to program managers on federal reimbursement.
- Oversaw the closure of a mental health hospital and a state operated ICF/MR facility.

1988-1992 Kansas Department of Social and Rehabilitation Services, Wichita Area Office (SRS-WAO). Administrative Services Chief.

◆ Directed and managed accounting department, facilities, information systems, inventory control, purchasing and other activities necessary to assure the efficient and effective operation of state's largest social service office.

1987-1988 SRS-WAO. Personnel Director.

♦ Managed Personnel system for 600+ employee office with \$10 million budget. Responsibilities included development of budget for salary and wages, classification, position management, EEO/Affirmative Action, development of local policies, monitored benefits and salaries, and provided consultation and training.

1982-1987 SRS-WAO. Supervisor (Income Maintenance Worker III)

♦ Supervised nine person Initial Eligibility unit which was responsible for the timely and accurate determination of eligibility for various federal and state assistance programs.

Resume - Donald A. Jordan

1976-1982 SRS-WAO. Income Maintenance Worker I

Performed a multitude of duties including determining Initial and Continuing Eligibility for Food Stamps, Aid to Dependent Children, and Medicaid.

1975-1976 Unified School District 259. Substitute teacher.

♦ Taught in numerous schools and settings.

EDUCATION:

Master of Arts - Wichita State University, May, 1989. Political Science (Public Administration emphasis).

Bachelor of Arts - Wichita State University, December, 1974. Major: History. Minors: Political Science and Education.

AWARDS:

Phi Kappa Phi (1974, 1988)
Phi Alpha Theta (History, 1973)
Henry Onsgard Award (History, 1974)
Pi Delta Kappa (Education, 1974)
Pi Sigma Alpha (Political Science, 1988)
Children's Alliance Friend of the Year Award (2001)

(for Appointments	Office u	se only)		
 KBI Check:	_NA	In Process	Complete	

KANSAS GOVERNOR SEBELIUS APPOINTMENT QUESTIONNAIRE

Position for which you are applying: SECRETARY OF SOCA+ REHADO SERVICES
Full Name (please include title and middle name): DOWALO ATIFEN JORDAN
Home Address: 208 SW COURTLAND TOPFICA KS SHOWNER (City, State, Zip) TOPFICA, ICS (County) Business Address: 714 SW JACKSON SWITE 300 66603 SHOWNER
Business Name: JUV FULLE JUST (SE AUTHORITY) (County)
Position Title: LOMMISSIONEZ
Home Phone: 913-244-9699 Business Phone: 785-796-064> Cell Phone: 913-244-9699
Facsimile No: 785-796-1412 E-Mail Address: DJOR OF NG) KSJJA. ORG
Driver's License Social Security No.
Date of Birth: 11-06-52 Place of Birth: W(CH ITA ITA Kansas resident? VES
Registered Voter? YES Party Affiliation: DEMOCRATIC
Congressional District: Kansas Senate District: Kansas Representative District:
Do you have the legal right to live and work in the United States?
Education: BAMA WICH ITA STATE UNIVERSITY
Employment Experience: RESUME INCLUDED
Do you hold any professional licenses? If so, please provide numbers:
What special skills could you bring to this position? MANAGEMENT/LEADER STILL
Previous government appointments:

EXPERIENCE AND AFFILIATIONS (Note: All yes answers require a detailed response. Attach a separate sheet if necessary.)

- 1. Military Service: List rank, date, and type of discharge from active service:
- 2. Government Experience: List on a separate sheet any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, or other part-time service or positions), with dates of service:
- 3. Elective Public Office: List on a separate sheet all elective public offices sought and/or held with dates of service:
- 4. **Honors and Awards**. List on a separate sheet all scholarships, fellowships, honorary degrees, honorary society memberships, and any other special recognitions for outstanding service or achievements:
- Organization Affiliations. List on a separate sheet all local, state, and national civic, cultural, educational, charitable, or work-related organizations you have been associated with in the past ten years. Include any position held in the organization and the dates of service.
- 6. **Organization Restrictions**: To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status, or veteran status? If yes, please describe: No X Yes_____
- 7. **Issues**. Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe. No X Yes_____
- 8. Submission of views. Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particular controversial issue other than in an official governmental capacity? If yes, please describe. No X Yes
- 9. Associations. Have you ever had any association with any person, group, or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If yes, please describe. No X Yes____
- Opposition. Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If yes, please identify and explain the basis for the potential attack on a separate sheet. No Yes____
- 11. **Miscellaneous**. List on a separate sheet any factors, other than the information provided above, which particularly qualify you or are relevant to the position to which you are seeking appointment? Include any special skills.

CONFLICTS OF INTEREST: (Yes answers require a detailed response. If necessary, use a separate sheet.)

- Relationship to governmental employees. Are you or your spouse or other close family members related to any state governmental official or employee? If yes, please provide details. No X Yes____
- Compensation. During the past five years have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If yes, please explain. No____Yes_X____
- Business relationships. Describe on a separate sheet any business relationship, dealing, or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client, or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.
- Spouse or other family members. If the nature of employment for your spouse or other close family member is related in any way to the position to which you want to be appointed, please indicate the employer, the position, and the length of time it has been held. If it is not, please so state.
- 17. Lobbying activities. Describe briefly on a separate sheet any lobbying activity during the past ten years in which you have engaged for the purpose of influencing the passage, defeat, or modification of any legislative or administrative action. Describe briefly any lobbying activity during the last ten years in which your spouse has engaged for the purpose of influencing the passage, defeat, or modification or any legislative or administrative action that is related in any way to the position to which you are seeking appointment. (Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government, or any official of the legislative branch.) If none, please so state.
- Regulated activities. Describe on a separate sheet any interest that you, your spouse, or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership, or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state.
- 19. Other. Please describe on a separate sheet any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you seek to be appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.

ETHICAL MATTERS:	(Yes answers require	a detailed response.	If necessary, use a separate
sheet.)		***	

- 20. Citations. Have you ever been cited for a breach of ethics for unprofessional conduct by, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If yes, please provide details.

 No Yes _____
- Convictions. Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a minor traffic offense? (Minor traffic offenses do not include the Kansas offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If yes, please explain.

 No_X Yes____
- 22. U.S. Military convictions. Have you ever been convicted by any military court? If yes, please provide details. No _____ Yes _____
- 23. **Imprisonment**. Have you ever been imprisoned, been on probation, or been on parole? If yes, please provide details. No Yes ____
- 24. Agency proceedings: Civil Litigation. Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If yes, please provide details.

 No____Yes____
- Agency proceedings and civil litigation of affiliates and family. Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If yes, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)

 No Yes
- Other litigation. Other than the litigation described above, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If yes, please describe. Is anyone currently threatening to sue you or any business in which you are an officer, director, or partner? If yes, please describe. No Yes_____
- 27. **Drivers license**. Has your drivers license ever been suspended or revoked? If yes, please describe. No Yes____
- 28. **Parking tickets**. Do you have outstanding parking tickets from any jurisdiction in Kansas that have remained unpaid for more than 60 days? If yes, please explain. No Yes____
- 29. Security clearance denial. Have you ever been denied a military or other governmental clearance? If yes, please explain. No Yes____

30.	Firings. During the past ten years, have you been fired from a job for any reason? Did you quit after being told that you would be fired, or did you leave by mutual agreement because of specific problems? If yes, please provide details. No Yes
31.	Alimony and child support. Are you now, or have you ever been delinquent in the payment of alimony or child support? If yes, please provide details. No_X Yes
32.	Consumption of alcohol. Are you currently abusing alcohol? No Yes
33.	Controlled substances. Are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If yes, please describe. No Yes
34.	Physical examination. If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? No Yes
35.	Other. Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment.
FINAI sheet.)	NCIAL MATTERS: (Yes answers require a detailed response. If necessary, use a separate
36.	Delinquencies. Are you delinquent on any federal, state, or local debt? (Include delinquencies for income, property, or other taxes; governmental loans; overpayment of benefits; required payments into or under governmental programs; and other debts or required payments to the government; plus any defaults on or under loans which are or were guaranteed, insured, or subsidized by any unit of government.) If yes, please provide details on a separate sheet of paper. No Yes
REFE	RENCES/SIGNIFICANT SUPPORTERS (elected officials, community leaders, friends, etc.)
Name:	WES COLE Relationship to you: FRIEND
Teleph	one: 913-755-3655
Name:	JOYCE ALLEGRUCCI Relationship to you: FORMER BOSS, FRIEWS
Teleph	one: 785-266-4061
Name:	MELISSA NESS Relationship to you: FRIEND
Teleph	one: 785-554-8864
Name:	BRUCE LIN HOS Relationship to you: FRIEWO
Teleph	one: 785-235-5437

AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

Signature Sild & Jake

Date 12-06-06

Please attach a copy of your resume if you have not previously provided one to the Appointments office.

Appointments Questionnaire 1/23/03

KANSAS GOVERNOR SEBELIUS APPOINTMENT QUESTIONAIRE

- 1. None
- 2. Resume attached
- 3. None
- 4. Phi Kappa Phi (1974, 1988)
 Phi Alpha Theta (History, 1973)
 Henry Onsgard Award (History, 1974)
 Pi Delta Kappa (Education, 1974)
 Pi Sigma Alpha (Political Science, 1988)
 Children's Alliance Friend of the Year Award (2001)
- 5. Benevolent Order of the Elks Osawatomie, Ks. Rotary International Osawatomie, Ks.
- 11. Experience as a high level manager creating change and dealing with difficult situations.
- 13. Donald State employee since June 21, 1976. Judith Employed by Osawatomie State Hospital as a Registered Nurse from 1979 until her retirement in June, 2006. In August, 2006 she returned to employment at Osawatomie State Hospital as a PRN Registered Nurse. She will be resigning this position in the next few weeks. Judith also receives KPERS retirement benefits.
- 15. John Moore, Lt. Governor and I had an arrangement from June, 2003 to June, 2005 where he lived in my Topeka home during the week in exchange for taking care of house, lawn and utilities.
- 16. See #13 above

STATE OF KANSAS



KANSAS GOVERNIMENTAL ETHICS COMPUSSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

<u>INSTRUCTIONS</u>. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

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A IDENTIFICATION:	PLEASE TYPE OR PE	RINT	
JORDAN	OONALO First Name	A	
Last Name	First Name	МП	
JORDAN	JUDITH	1<	
Spouse's Name	(86)		
Number & Street Name, Apartme	DURTLAND T	DOPEKA KS.	6660 6
		D. DOX HAMMORI	
TOPEKA / CS City, State, Zip Code	66606		
		2 2	
913 244-9699 Home Phone Number (include are		785 - 296 - 00 Business Phone Number <i>(incl</i>	245
Home Phone Number (include are	ea code)	Business Phone Number (incl	lude area code)
(check one of the control of the con	a State Agency;	torney General, Commissione tate Representative, Member mission or Authority,	
DEPT. OF SO	OC, + REHAB	SERVICES	
List Name of Agency, Board, Universit	y or Elected Position (You may	ruse abbreviations but not ac	ronyms)
MA		SECRETARY	
Division if applicable (May use	acronyms)	Position	
The last four digits of your socia name on the computer list. This		entifying you from others wi	th the same
			8

Rev. 2/2001

AMENDED and a Judine

C. OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this

If you have nothing to report in Section "C", check here _____

			Ī
TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	BY WHOM
OFFERRED COMP	SHARES	NIA	Don
DEFERRED	SHARES	NIA	JUDY
RENTAL	HOUSE	100%	JUDY
MUTUAL	SHARES	NA	DON
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D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here

	NAME OF PERSON OR BUSINESS FROM WEOM GIFT RECHIVED		ADDRESS	VECTIAND BA
펠	NAME OF SHROOM OR SOME PROPERTY AND			
				
		1		

<u>RECEIPT OF COMPENSATION</u> : List all places of employment in the last calendar year, and other businesses from which you or your spouse received \$2,000 or more in compensation (salary value, or economic benefit conferred on in return for services rendered, or to be rendered); which		
reportable as taxable income on your federal income tax returns.	ii.	

	THE PRECEDING
1	YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING
	CATENDAR YEAR IF SAME AS SECTION B, COMORE
	If you have nothing to report in Section "E"1, check here
	If you have nothing to report in decement 2 3,

100 - 100 -	ADDRESS	TYPE OF BUSINESS
NAME OF BUSINESS	The second K.S.	CTATE AGENCY
ILLS JUVENILE JUSTICE AUTH.	TOPEICH , NOT	The Hospital
- SASIA-TOME ST. HOSPITAL O	SAKATOME GRE	SIATENDOLITIES
2. 63/160/1 Osace 3/1		

SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR

If you have nothing to report in Section "E"2, check here _____

Transaction and Company and Company		ADDRESS	TYPE OF BUSINESS
NAME OF BUSINESS	(OSPITAL	DSAWATOOMIE, KI.	ST. HOSPITAL
1. DSACKATOMIC STI			

OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at F. the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section. If you have nothing to report in Section "F", check here X.

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II.				

RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees of G. commissions to a business or combination of ourmesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the parinership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

NONE AND THE PARTY OF THE PARTY				RECEIVED BY
	NAME OF CLIENT / CUSTOMER		ADDRESS	i and the second
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DECLARATION: H.

I DONALO A . JORDAN declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

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12-6-06	and the frame
Date	Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES

Return your completed statement to the Secretary of State, Memorial Hall, First Floor, 120 SW 10th Ave., Topeka, Kansas 66612-1594.

Kansas Department of

Social and Rehabilitation Services

Don Jordan, Acting Secretary

Senate Ways and Means Committee January 19, 2007

Caseload Estimates

Office of Financial Management Don Jordan, Acting Secretary 785-296-3271

For additional information contact:
Public and Governmental Services Division
Kyle Kessler, Deputy Secretary

Docking State Office Building 915 SW Harrison, 6th Floor North Topeka, Kansas 66612-1570 phone: 785.296.0141

fax: 785.296.4685 www.srskansas.org

> Senate Ways and Means 1-19-07 Attachment 3

Kansas Department of Social and Rehabilitation Services Don Jordan, Acting Secretary

Senate Ways and Means Committee January 19, 2007

Caseload Estimates

Chairman Umbarger, and Committee Members, I am Don Jordan, Acting Secretary, Kansas Department of Social and Rehabilitation Services (SRS). Thank you for the opportunity to update you on our current Consensus Caseload projections and other caseload issues.

The Consensus Caseload estimating group consisting of staff from SRS, Kansas Department on Aging, Kansas Health Policy Authority (KHPA), Division of Budget, and Legislative Research met on November 8, 2006 to revise the FY 2007 estimates and make the first FY 2008 estimates for the Consensus Caseloads. The SRS caseloads included in this process are Temporary Assistance for Families (TAF), General Assistance (GA), Reintegration/Foster Care Contracts, Nursing Facilities for Mental Health (NF/MH), and Regular Medical which consists of some Developmental Disability services, some Mental Health Medicaid services, and Substance Abuse Medicaid services. The details of these caseloads are included in Attachment A.

The amounts added in the Governor's Budget (GBR) for Consensus adjustments reflect the numbers agreed upon in the November meeting and are included in the chart below.

Program	FY 2 Change from	2007 m Approved	FY 2008 Change from FY 2007		
	SGF	All Funds	SGF	All Funds	
TAF	0	(3,000,000)	0	(1,000,000)	
GA	500,000	500,000	(500,000)	(500,000)	
Reintegration/FC Contracts	(6,719,915)	1,000,000	14,000,000	3,000,000	
NF/MH	70,000	(198,000)	200,000	300,000	
Regular Medical	8,547,547	7,131,483	869,181	(10,338,385)	
Total FY 2007	2,397,632	5,433,483	14,569,181	(8,538,385)	

1. <u>TAF</u> - The number of persons receiving services is declining as a result of the improved economy. The largest decline is in the Wichita Region.

- 2. <u>GA</u> An adjustment was made in FY 07 to reflect the delayed implementation of Presumptive Disability. A full year of implementation was assumed in FY 2008.
- 3. Reintegration/Foster Care Contracts An increase in the number of referrals resulted in an increase in number of children in out of home placement. A funding shift between SGF and Social Service Block Grant (SSBG) funds was made in FY 2007 to allow more match dollars in the Developmental Disability system. The FY 2008 increase in SGF reflects the need to replace federal funding due to shortfalls in the Temporary Assistance for Needy Families (TANF) and a decreasing ability to claim Title IV-E foster care funds.
- 4. <u>Nursing Facilities for Mental Health</u> The number of persons served has remained constant with a slight fluctuation in costs and number of Medicaid eligible people.
- 5. Regular Medical The largest increases in the FY 2007 estimates are due to a 17% increase in the number of children in Behavior Management plus increases in extended stays and an increase in the number of people receiving Mental Health services. The decrease in FY 2008 is because the Medicaid Management Information System (MMIS) has not yet been changed to reflect the FY 2006 reorganization. A manual reconciliation is done monthly to transfer expenditures from the Kansas Health Policy Authority (KHPA) to SRS. This results in thirteen months of expenditures in FY 2007 and only twelve in FY 2008.

This concludes my testimony and I will be glad to stand for questions.

Consensus Caseloads

Attachment A

			FY 2007				FY 2008	
Program	Avg People	Avg mo Cost	AF	SGF	Avg People	Avg mo Cost	AF	SGF
Temporary Assist for Families								
Consensus Budget	41,942	121.20	61,000,000	29,821,028	41,254	121.20	60,000,000	29,821,028
General Assistance								
Consensus Budget	4,441	159.50	8,500,000	8,500,000	4,180	159.50	8,000,000	8,000,000
Reintegration/OOH								
Consensus Budget	5,367	2,065	133,000,000	81,000,000	5,512	2,056	136,000,000	95,000,000
NEMH					1-1-1- ar 1			
Consensus Budget	556	1,844	12,300,000	10,600,000	556	1,888	12,600,000	10,800,000
Regular Medical								
Developmental Disability Services	8,881	542	57,785,817	12,234,804		492	52,467,432	11,003,985
Mental Health Services	23,790	550	157,000,000	46,000,000			152,000,000	48,000,000
Substance Abuse Medicaid Services	<u>1,585</u>	<u>874</u>	<u>16,620,000</u>	<u>6,600,000</u>	Service Annual Control of the Contro		<u>16,600,000</u>	6,700,000
Consensus Budget	34,256	563	231,405,817	64,834,804	34,665	531	221,067,432	65,703,985
Total Consensus Budget	86,562	430	446,205,817	194,755,832	86,167	423	437,667,432	209,325,013
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KANSAS

DEPARTMENT ON AGING KATHY GREENLEE, ACTING SECRETARY

KATHLEEN SEBELIUS, GOVERNOR

Caseload Update to The Senate Committee on Ways and Means

by Janis DeBoer Deputy Secretary Commissioner of Program and Policy

Kansas Department on Aging

Jan. 19, 2007

Good morning, Chairman Umbarger, and members of the Committee. I am Janis DeBoer, Deputy Secretary of the Kansas Department on Aging.

Attached are three charts reflecting Medicaid average caseload, expenditures, and average monthly payments for the Nursing Facility program and Home and Community Based Services/Frail Elderly waiver. Since the KDOA has administered these Medicaid programs for the Single Medicaid State Agency for 10 years, I have included ten years of data.

Chart #1 provides information in three sections. The first section includes annual average caseload, annual expenditures, and average monthly payment for both programs from FY1998 through FY2006. The middle section of the report captures the first six months of FY2007 data from the Kansas Health Policy Authority Medical Assistance Report. The third section reflects the Governor's Budget Recommendations for FY2007 and FY2008.

Charts #2 and #3 provide graphs of the caseloads and monthly payments. As you can see from Chart #2, we continue to experience a downward trend in nursing facility caseload and an increase in demand for community-based services. The average monthly payments as reflected in Chart #3 show increases for both programs.

I will be glad to answer any questions.

(Attachments)

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Senate Ways and means 1-19-07 Attachment 4 Attachment to Testimony to Senate Ways & Means Committee
19-Jan-07
Department on Aging
Program and Policy Commission
Nursing Facility and CARE Division
Analysis of Average Monthly Medicaid Payment

Chart #1

		Nursi	ng Facilities					HCBS/FE	*** 12/10/00 **** 13/10/00/	
				Average					Average	
	Average	Percent		Monthly	Percent	Average	Percent		Monthly	Percent
State Fiscal Year	Caseload	Change	Expenditures	Payment	Change	Caseload	Change	Expenditures	Payment	Change
1998	11,788		\$239,672,873	\$1,694		3,332		\$26,884,055	\$672	
1999	11,340	-3.8%	250,548,241	1,841	8.7%	4,284	28.6%	35,898,475	698	3.86%
2000	11,394	0.5%	273,874,014	2,003	8.8%	4,877	13.8%	43,707,935	747	6.95%
2001	11,162	-2.0%	292,510,306	2,184	9.0%	5,237	7.4%	49,585,203	789	5.65%
2002	10,979	-1.6%	299,032,025	2,270	3.9%	5,697	8.8%	57,459,600	840	6.52%
2003	10,774	-1.9%	306,121,773	2,368	4.3%	5,139	-9.8%	53,474,142	867	3.17%
2004	10,788	0.1%	311,088,473	2,403	1.5%	4,548	-11.5%	45,076,565	826	-4.75%
2005	11,125	3.1%	327,814,229	2,456	2.2%	5,544	21.9%	53,877,188	810	-1.95%
2006	10,890	-2.1%	318,884,833	29 01	-0.7%	5,820	5.0%	57,562,192	824	1.77%
								A SOCIAL DE L'ANGE CONTRACTOR DE CONTRACTOR		
07/01/06 Payment*			4,264,263			EA EG		1,014,321		
Jul-06	11,986		25,083,278	2,093		5,776		4,577,374	792	
Aug-06	11,358		31,466,388	2,770		5,908		5,412,615		1
Sep-06	10,139		28,179,643	2,779		5,855		5,188,418		1
Oct-06	10,368		27,891,791	2,690		5,886		5,191,866		
Nov-06	10,436		30,869,032	2,958		5,848		5,663,696		
Dec-06	10,293		27,693,766	2,691		5,822		5,075,482	(1.072.22.00	1
Average/Subtotal	10,763		175,448,161	2,664		5,849		32,123,771	886	
			11							
2007 Gov. Rec.	10,729	-1.5%	349,700,000	2,683	10.0%	5,921	1.7%	63,541,152	894	8.50%
2008 Gov. Rec.	10,605	-1.2%	352,500,000	2,770	3.2%	6,013	1.6%	65,447,389	906	1.31%
2008 Gov. Rec.			•			HCBS-Ora	al Health	3,318,500		
20						G.				

^{*} The July 1, 2006 payment was reported in the Medical Assistant Report in SFY 2006 but paid in SFY 2007

Source: SRS and KDOA Medical Assistant Reports and KDOA 2007-2008 Budget/July 1, 2006 Payment-STARS

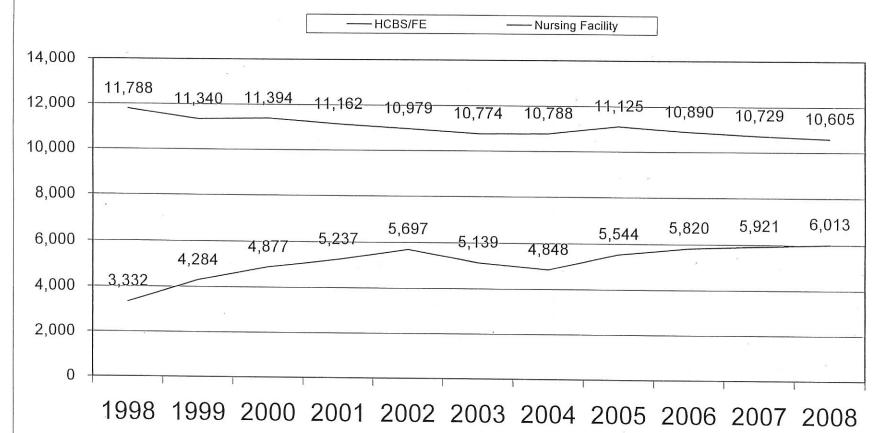
State Fiscal Years (SFY): Actual 1998 through December 2006 & Governor's Recommendation for SFY 2007 & 2008

Excel:NF Exp Trends:FY 1998-2008 NF Trends

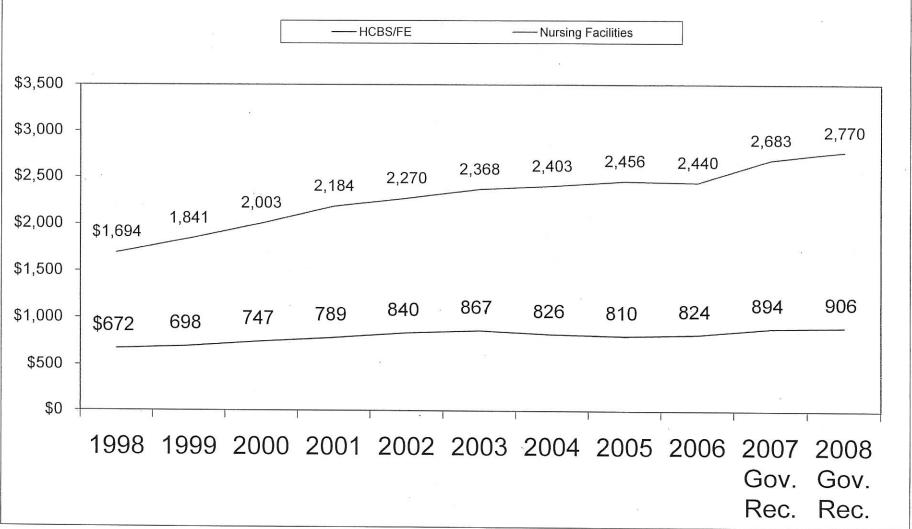
Gov. Gov.

Rec. Rec.











MARCIA J. NIELSEN, PhD, MPH Executive Director

> ANDREW ALLISON, PhD Deputy Director

> > SCOTT BRUNNER Chief Financial Officer

Testimony on:

Caseload Estimate Update

presented to:

Senate Committee on Ways and Means

by:

Scott Brunner Chief Financial Officer

January 19, 2007

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ate ways and means

Senate Committee on Ways and Means January 19, 2007

Caseload Estimate Update

Good morning, Mr. Chairman, and members of the Committee. My name is Scott Brunner, and I am the Chief Financial Officer for the Kansas Health Policy Authority (KHPA). Thank you for this opportunity to provide you updated information on the Medicaid caseload estimate.

Consensus Caseload Process. Consensus Caseload Estimating occurs twice each year in March and November. At those times, representatives from agencies that have entitlement programs and assistance payments meet with staff from the Division of Budget and Legislative Research. The group reviews agency estimates to develop a consensus estimate for the specific programs included in the process. That consensus estimate becomes the official budget amount for the Governor's Budget Recommendation and for the final Legislative approved budget.

Many pieces of information go into making these estimates, including utilization of services by each population, expenditures for services provided to each population, the estimated impact of changes in covered services, revenues received by agencies, and the federal Medicaid matching rate. The Medicaid matching rate changes slightly from year to year and it varies for some Medicaid populations and services.

All Medicaid service expenditures are included in the consensus process. The responsibility for the estimates and the location of the Medicaid expenditures in the state budget vary depending on the agency. KHPA's consensus estimate includes those Medicaid funded services that would be part of a traditional health insurance program. The largest amounts are for inpatient and outpatient hospital payments, prescription drugs, and physician services. Also included are the payments we make for Medicaid beneficiaries that are covered by private managed care organizations and for Medicare buy in beneficiaries.

KHPA Consensus Caseload Estimates. The table attached to my testimony shows the amounts of the Spring estimate for Medicaid services provided through KHPA during FY 2007, the revised estimate for FY 2007 made in November 2006, and the initial estimate for FY 2008. For FY 2007, the current consensus estimate of is \$1,182.0 million from all funding sources. This amount is \$41.7 million less than the Spring estimate. However, between the Spring and Fall Consensus Caseload meetings, the State General Fund (SGF) portion of the FY 2007 Medicaid caseload increased by \$28.6 million, or 7.6 percent. This increase was due primarily to an updated federal match rate and a shortfall in revenue from prescription drug rebates.

The agreed upon federal match rate for all Medicaid programs used for the Spring 2006 estimate was 38.9 percent. After a more detailed analysis of populations and categories of service within KHPA, an updated rate of 40.5 percent was calculated, resulting in an increased need for state matching funds of approximately \$22.0 million. Each population and category of service has a different effective matching rate due to the mix of services at varying match rates. For example, the State Share for FY 2007 is 39.75 percent but the Pharmacy rate is 41.3 percent because of state only funded programs like MediKan. KHPA has refined our estimates to calculate the effective matching rate based on the population mix and types of services provided.

The other factor affecting the FY 2007 estimate is a revenue shortfall of \$45.0 million in the Medicaid Services Fee Fund. This fee fund receives proceeds from several sources, primarily drug rebates paid by pharmaceutical manufacturers as part of the Federal drug rebate and supplement drug rebate agreements. After Medicare Part D

Caseload Estimate Update

Kansas Health Policy Authority ♦ Presented on: 1/19/07

was implemented in January 2006 Kansas collections from drug rebates dropped by 43.0 percent. This impact lagged several months behind implementation of Part D in January 2006. The original rebate revenue projection for FY 2007 was \$88.0 million. After reviewing the actual collections for the last quarter of FY 2006 and the first few months of FY 2007 the revenue estimate was revised to \$43.4 million. Average monthly rebates were \$9 million prior to implementation of Medicare Part D, and are currently only \$5 million per month.

For FY 2008, the consensus estimate was \$63.0 million (5.3 percent) over FY 2007. This increase is due to a 2.4 percent increase in population estimates and a 4.8 percent increase in average costs. The growth in population is primarily in the disabled and children categories. The SGF portion for FY 2008 versus 2007 is projected to increase by \$35 million (8.6 percent). The population and cost increases contribute to the SGF increase, but there is also an anticipated drop in the federal Medicaid matching rate from 60.25 percent to 59.43 percent. The combined impact of the projected caseload increases and the decrease in the Federal Match rate is approximately \$30 million net increase in SGF needed. Drug rebate revenue is expected to continue dropping as additional drug utilization and market shift reduces drug rebate collections. The anticipated decrease in drug rebate revenue was \$4.9 million between FY 2007 and FY 2008.

The level of SGF is influenced by many factors. In this latest estimate, the delayed impact of Medicare Part D on rebate revenues is the driving force causing an increase in projected SGF spending. Thank you for the opportunity to provide this information. I am happy to answer any questions.

Medicaid Caseload Estimate

	FY 2007 Spring Consensus		FY 2007 Fall Consensus			FY 2008 Fall Consensus						
	All Funds	General Funds	All Funds	General Funds	Diff Gen Funds	% Diff	All Funds	General Funds	Diff Fed Funds	% Diff	Diff Gen Funds	% Diff
Below the line	233,048,946	113,697,852	173,637,196	78,356,876	(35,340,976)	-31.1%	162,721,230	77,254,717	(10,915,966)	-6.3%	(1,102,159)	-1.4%
Populations	990,651,054	385,580,855	1,008,362,804	408,343,124	22,762,269	5.9%	1,082,278,770	439,545,283	73,915,966	7.3%	31,202,159	7.6%
ADAP		2,000,000		2,000,000				2,000,000				
Total Caseload	1,223,700,000	501,278,707	1,182,000,000	488,700,000	(12,578,707)	-2.5%	1,245,000,000	518,800,000	63,000,000	5.3%	30,100,000	6.2%
					-							
Revenue		124,879,636		83,700,000	(41,179,636)	-33.0%		78,800,000			(4,900,000)	-5.9%
CIF		3,000,000		3,000,000	÷	0.0%		3,000,000				
Fee Fund		88,489,636		43,400,000	(45,089,636)	-51.0%		38,500,000			(4,900,000)	-11.3%
Provider Assessment		33,390,000		37,300,000	3,910,000	11.7%		37,300,000				
GBR	1,223,700,000	376,399,071	1,182,000,000	405,000,000	28,600,929	7.6%	1,245,000,000	440,000,000	63,000,000	5.3%	35,000,000	8.6%

Means

2007 SENATE WAYS AND MEANS SUBCOMMITTEE ASSIGNMENTS

Logislative and Elected Officials	Subcommittee	Analyst	Final Committee Action
Legislative and Elected Officials Legislative Agencies Governor Lt. Governor Attorney General Secretary of State Insurance Commissioner State Treasurer Health Care Stabilization Fund Board of Governors	Emler Betts	Scott Robinson Robinson Robinson O'Hara Klaassen O'Hara	February 15 March 13
Aging Department on Aging	Schodorf Schmidt Betts	Deckard	March 12
SRS—Hospitals Larned State Hospital Osawatomie State Hospital Rainbow Mental Health Facility Parsons State Hospital Kansas Neurological Institute	Umbarger Wysong McGinn Schodorf Betts	Kannarr	March 8 March 8 March 8 March 8 March 8
Department of Administration/KCC Department of Administration (Including Public Broadcasting)	<u>Teichman</u> Taddiken Steineger	Efird	February 28
Governmental Ethics Commission Human Rights Commission Kansas Corporation Commission Citizens Utility Ratepayer Board	McGinn Steineger Emler	Spurgin Klaassen Krahl Krahl	March 7 March 9 March 5 March 5
Department of Education	Umbarger Emler Teichman Schodorf Steineger	Alishahi	February 20
Capital Improvements	Umbarger Wysong Emler Barone	Staff Senate 1-19-07 Attachm	March 6 Ways and ent 6

Other Education School for the Blind School for the Deaf Historical Society Kansas Arts Commission State Library	Subcommittee Wysong Schodorf Steineger	Analyst Alishahi Alishahi Klaassen Klaassen Klaassen	Final Committee Action February 12 February 12 February 12 February 12 February 12 February 12
SRS Department of SRS Health Policy Authority	Umbarger Teichman McGinn Schodorf Betts	Kannarr Kannarr	March 14 March 8
Kansas Guardianship Program	<u>Schodorf</u> Kelly	O'Hara	March 9
KPERS Budget	<u>Morris</u> Wysong Kelly	Efird	March 12
Judicial Judicial Council Board of Indigents' Defense Services Judicial Branch	<u>Emler</u> Taddiken Barone	Spurgin Spurgin Spurgin	March 1 March 1 March 1
Public Safety Beloit Juvenile Correctional Facility Atchison Juvenile Correctional Facility Larned Juvenile Correctional Facility Kansas Juvenile Correctional Facility Juvenile Justice Authority	Emler Schmidt Betts	Steiner Steiner Steiner Steiner Steiner	February 15 February 15 February 15 February 15 February 15
Parole Board KBI	<u>Teichman</u> Schmidt Barone	Cussimanio VanHouse	March 2 March 2
EMS Sentencing Commission Fire Marshal	McGinn Schmidt Steineger	Klaassen Cussimanio VanHouse	March 2 March 2 March 2
Highway Patrol Adjutant General (including Civil Air Patrol)	Schmidt McGinn Steineger	VanHouse VanHouse	March 2 March 2

Hoolike and Environment/House	Subcommittee	Analyst	Final Committee Action
Health and Environment/Human Resources Department of Health and Environment	Schmidt Schodorf Kelly	VanHouse	February 14
Department of Labor	Wysong Taddiken Barone	O'Hara	March 7
Veterans Affairs/Soldiers' & Veterans' Home	<u>Taddiken</u> Kelly	O'Hara	February 8
Agriculture Department of Agriculture Animal Health Dept Kansas State Fair Conservation Commission Water Office	<u>Taddiken</u> McGinn Kelly <u>McGinn</u> Taddiken	Krahl Krahl Krahl Krahl Krahl	February 9 March 5 March 5 February 9 February 9
Wildlife and Parks <u>Transportation</u>	Steineger <u>Umbarger</u> Teichman Steineger	Efird VanHouse	February 9 March 7
Lottery/Racing and Gaming Lottery Commission Racing and Gaming Commission	Schodorf Emler Barone	Efird Efird	March 12 March 12

	Subcommittee	Analyst	Final Committee Action
Fee Boards			
Abstracters' Board of Examiners	<u>Teichman</u>	Steiner	February 16
Board of Accountancy	Wysong	O'Hara	February 16
Bank Commissioner	Kelly	Holm	February 16
Board of Barbering		Spurgin	February 16
Behavioral Sciences Regulatory Board		Klaassen	February 16
Board of Healing Arts		Klaassen	February 16
Board of Cosmetology		Spurgin	February 16
Department of Credit Unions		O'Hara	February 16
Kansas Dental Board		Klaassen	February 16
Board of Mortuary Arts		Spurgin	February 16
Board of Hearing Aid Examiners		Klaassen	February 16
Board of Nursing		Klaassen	February 16
Board of Examiners in Optometry		Klaassen	February 16
Board of Pharmacy		O'Hara	February 16
Real Estate Appraisal Board		Steiner	February 16
Real Estate Commission		Steiner	February 16
Securities Commissioner		O'Hara	February 16
Board of Technical Professions		Steiner	February 16
Board of Veterinary Medical Examiners		Steiner	February 16
KPERS Issues	Morris Wysong Kelly	Efird	March 12
Corrections			
Department of Corrections	<u>Taddiken</u>	Cussimanio	March 9
Topeka Correctional Facility	Schmidt	Cussimanio	March 9
Norton Correctional Facility	Teichman	Cussimanio	March 9
El Dorado Correctional Facility	Betts	Cussimanio	March 9
Winfield Correctional Facility		Cussimanio	March 9
Hutchinson Correctional Facility		Cussimanio	March 9
Larned Correctional Mental Health Facility		Cussimanio	March 9
Lansing Correctional Facility		Cussimanio	March 9
Ellsworth Correctional Facility		Cussimanio	March 9
Higher Education			
KU	<u>Morris</u>	Dunkel	March 6
KSU		Dunkel	March 6
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KSU – Vet. Med	Emler	Dunkel	March 6
KSU – Extension	Schodorf	Dunkel	March 6
Wichita State University	Barone	Dunkel	March 6
Board of Regents		Dunkel	March 6
KUMC		Dunkel	March 6
Emporia State University		Dunkel	March 6
Fort Hays State University		Dunkel	March 6
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Pittsburg State University Regents Systemwide	Subcommittee	Analyst Dunkel Dunkel	Final Committee Action March 6 March 6
Commerce/Revenue			
Department of Revenue	Schodorf Schmidt Teichman Betts	Deckard	February 13
Board of Tax Appeals	<u>Schmidt</u> Taddiken Kelly	Deckard	February 20
Department of Commerce Kansas Inc. Kansas Technology Enterprise Corporation	Wysong McGinn Emler Betts	Deckard Deckard Deckard	February 19 February 19 February 19