Approved:	5-9-11
	Date

MINUTES OF THE SENATE FEDERAL AND STATE AFFAIRS COMMITTEE

The meeting was called to order by Chairman Pete Brungardt at 10:30 a.m. on March 21, 2011, in Room 144-S of the Capitol.

All members were present.

Committee staff present:

Jason Long, Office of the Revisor of Statutes
Doug Taylor, Office of the Revisor of Statutes
Dennis Hodgins, Kansas Legislative Research Department
Julian Efird, Kansas Legislative Research Department
Connie Burns, Committee Assistant

Conferees appearing before the Committee:

Jennie Chinn, Kansas Historical Society
Timothy Shultz, Kansas Racing and Gaming Commission
Jay Shadwick, Kansas Racing and Gaming Commission
Dennis McKinney, Kansas Racing and Gaming Commission
Raymond Roberts, Kansas Department of Corrections

Others attending:

See attached list.

Confirmation Hearings:

Chairman Brungardt stated the committee would be conducting five appointment hearings for confirmation; four are new appointments: Raymond Roberts, Secretary of Corrections, Timothy Shultz, member of the Kansas Racing and Gaming Commission, Jay Shadwick, member of the Kansas Racing and Gaming Commission, Dennis McKinney, member of the Kansas Racing and Gaming Commission, and one for re-appointment: Jennie Chinn, Executive Director of the Historical Society.

Kansas Historical Society - Executive Director

The Chairman welcomed Jennie Chinn to the podium for comments. Ms. Chinn provided a brief biographical overview of her experiences, education and her work at the Kansas Historical Society. The Chairman called for questions regarding the re-appointment of confirmation. (Attachment 1)

The Committee thanked Ms. Chinn for her great work and service to the State of Kansas.

Secretary of Corrections

Raymond Roberts provided a brief biographical overview of his experience and education. The Chairman called for questions regarding the confirmation. (Attachment 2) The Committee asked if public safety was compromised with all the budget cuts in the Department of Corrections. The Secretary stated that the Department has had to get creative in using a collapsed post for guards, focus on the high risk area with good perimeters, the use of nonprofits and volunteers, and has had several visits with the Governor on the budget cuts to KDOC, and the Governor has stated that there might be the possibility of utilizing some year end monies.

Kansas Racing and Gaming Commission

Staff provided information on the duties and functions of the Kansas Racing and Gaming Commission and the Kansas Lottery; minutes from the February 17, 20ll meeting, the agenda for the March 11, 2011 Kansas Racing and Gaming Commission meetings, and K.S.A. 74-8804 Powers and duties of the commission. (Attachment 3)

The chairman called Dennis McKinney to the podium. Mr. McKinney provided a brief biographical overview of his experience, education, and his goals and views on the gaming business in Kansas. Mr.

CONTINUATION SHEET

The minutes of the Federal and State Committee at 10:30 a.m. on March 21, 2011, in Room 144-S of the Capitol.

McKinney stated that he did not vote for gaming when he was in the legislature, but he would uphold and administrate correctly the statute, and that is what he would do on the gaming laws of Kansas.(Attachment 4)

Jay Shadwick provided a brief biographical overview of his experience and education. The Chairman called for questions regarding the confirmation. (Attachment 5) The Committee asked if there were any changes he would propose in the current law; does he think Kansas should have the most restrictive regulations in the United States; and about certain areas of the state that have breeders of greyhounds and horses; and racing is not very active in the state as casinos have taken over the industry would Mr. Shadwick look at the state as a whole and what is best for the state. Mr. Shadwick stated that he is not a proponent or a fan of gambling; the greater issue is whether the state should be sponsoring gaming and that there is very good arguments on both sides.

Timothy Shultz provided a brief overview of his education and work experience. The Chairman called for questions and discussion regarding the confirmation. (Attachment 6) The Committee asked if Mr. Shultz had any moral or legal objections to gaming; would he change any of the laws that deal with gaming, should the commission get more involved in problem gambling, if he feels that since he is anti-gaming that is why he was appointed. Mr. Shultz stated that he felt that was not why he was appointed, and he had not been involved on the corporate side, but feels he has experience with regulation to be able to be of assistance to the commission.

The Chairman called for a motion on the confirmations.

Senator Abrams made a motion to move to the full senate the confirmation for appointment of Raymond Roberts, Secretary of Corrections, to serve at the pleasure of the Governor; Timothy Shultz, Member, Kansas Racing and Gaming Commission, which expires January 15, 2013; Dennis McKinney, Member, Kansas Racing and Gaming Commission, which expires January 15, 2015; Jay Shadwick, Member, Kansas Racing and Gaming Commission, which expires January 15, 2015; and re-appointment of Jennie Chinn, Executive Director, Kansas Historical Society, to serve at the pleasure of the Governor. Senator Owens seconded the motion. The motion carried.

The meeting was adjourned at 11:45 a.m.

$\frac{\text{SENATE FEDERAL AND STATE AFFAIRS COMMITTEE}}{\text{GUEST LIST}}$

DATE 3-21-11

NAME	REPRESENTING
JEREMY BARCLAY	KDOC
RAY ROBERTS	KDOC
Ajon Tucker	intern
Kim Borches	Gov.
Berend Koops	Hein Law Firm
Tim Shult 2	
Larry Region	
Nathan Lindsey	Keasney & Associates
George Wingert	Roffi Commin.
Day Shadwick	
Dennis Malines	
TIM TTREE	
Vicky the ley	KS State Historical Society, Inc.
Jennie Chinn	KS State Historical Society
Jeff Zehnder	WIBW-AM
John D. Pinegar	Pinegar, Smith + Associates, Que
John C. Friedas	Frieden VNRCIN Forhes & Figg.
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Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Sam Brownback

Appointee: Jennie Chinn

Position: Executive Director, Historical Society

Expiration Date: N/A

Term Length: POG

Statutory Authority: K.S.A. 75-2701

 Statutory geographic representation Requirements (insert any that apply) Party Affiliation: U

Congressional District: 2

County: Shawnee

Size Requirement (if any):

Other, specify:

- Statutory party affiliation requirement: N/A
- Statutory industry or occupation requirements: N/A

Salary: 87,441.67

Predecessor: herself

Board Composition Prior to Confirmation of Appointee:

Jennie A. Chinn, Executive Director and Secretary
David A. Haury, Associate Executive Director
Bob Keckeisen, Director of Museum Division
Terry Marmet, Director of Historic Sites Division, Facilities Manager
Matthew B. Veatch, State Archivist, Director Library/Archives Division
Richard D. Pankratz, Director of Cultural Resources Division

JENNIE A. CHINN

Personal Data

Business Address:

Kansas State Historical Society

6425 S.W. Sixth Avenue Topeka, Kansas 66615 (785) 272-8681 x202

Home Address:

1705 N.W. Belvoir Ct. Topeka, Kansas 66606

(785) 232-3802

Education

M.A., University of California, Los Angeles, 1985 (Folklore and Mythology).

California Teaching Credential (K-12, Multiple Subjects), California State University, Fullerton, 1975 – Active, to view go to teachercred.ctc.ca.gov/teachers/PublicDetailProxy?credential=1&teacher=0

B.A., University of California, Berkeley, 1973 (Humanities).

Professional Training (Selected):

Understanding By Design: Curriculum Development, 2002 Facilitator Training, 1997

Employment

November 2004 - Present

Executive Director, Kansas State Historical Society, Topeka,
Kansas. Duties involve directing a multifaceted state
agency with 134 full-time FTE and numerous part-time
employees. Oversees the state history museum, historic sites,
archives and library, education, and cultural resources functions
of the agency. Providing vision and leadership in the areas of
historic preservation economic development, transparency in
government through preservation and access of state records, K12 state history curriculum requirements, and community
economic development through historical tourism. Produces and
oversees an annual budget of approximately \$9 million and works

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with agency foundation to obtain private monies to supplement state budget. Works with Governor, Board of Directors, and Legislature, as well as the public.

February 1991 - November 2004

Director Education and Outreach, Kansas State Historical Society, Topeka, Kansas. Duties involved the overall administration of all education and curriculum, folklore and cultural diversity, interpretation, guest and volunteer relations, Capitol tour, publications, public relations and third-party use of facilities functions for the Society. The division had a fulltime staff of 15 and a part-time staff of 16 with responsibility for such diverse programs as Read Kansas!, History Day, KITES (Kansas Interpretive Traveling Exhibits Service), Traveling Resource Trunks, Tours, Kansas History, Kansas Heritage, and Kansas Kaleidoscope. Responsibilities included planning, policy development and implementation, development and allocation of budgets, fundraising, public relations, program development and evaluation, research and interpretation, and supervision of staff and contracts. Duties included participation in the Society's management team.

1986-2005

Adjunct Instructor, Department of English, Washburn University, Topeka, Kansas. Duties included teaching folklore classes in the English department and honors program.

March 2002 -June 2002 Interim Executive Director, Kansas State Historical Society Topeka, Kansas. Duties involved directing the agency and working with the Governor, Legislature, and Board of Directors.

August 1982 - February 1991

Kansas State Folklorist, Kansas State Historical Society, Topeka, Kansas. Duties involved creating and directing a statewide folklife program. Projects included organization of the State Folklife Festival, media programming, workshops and symposiums, exhibits, publications, and the Folk Art Apprenticeship program. Responsibilities included all aspects of program administration including planning, policy development, development and allocation of budgets, fundraising, public relations, program development and evaluation, research and interpretation, and staff and volunteer supervision.

April 1980 -July 1982 Project Director/Folklorist, Folk Roots Project, University for Man, Manhattan, Kansas. Duties included fieldwork, development and production of statewide educational programs involving traditional artists, scholars, and community residents.

Responsibilities also included project administration, fundraising, research and development.

October 1977 -March 1980 Librarian, Wayland D. Hand Library, Folklore Center, University of California, Los Angeles. Duties included cataloging, acquisitions, reference work and staff supervision.

September 1975 -June 1977

Teacher (Grades 6, 7, and 8), Beyer Middle School, San Ysidro, California. Classes included art, drama, oral expression, study skills, English, math and history. Duties included curriculum development, teaching, assessments and staff supervision.

Consultant (Selected)

1987 - Present	Series consultant to half-hour television series Sunflower Journeys, KTWU Television, Topeka, Kansas.
1998	Exhibit consultant, Ronald Reagan Presidential Library, Simi Valley, California. Developed exhibit script for special exhibit "America Remembers: Quilting the Twentieth Century."
1997	Oral history consultant, Association of Women Lawyers, Kansas.
1996	Faculty, Museum Education Program, American Association for State and Local History. Seminar held in Nebraska City, Nebraska.
1985 - 1988	Folklore consultant, National Endowment for the Arts, Washington D.C. Conducted site evaluations throughout the United States.

Professional Papers (Selected)

"Learning to Listen: What I Learned from Oral Histories" – at the Hall Center for the Humanities seminar "Learning to Hear the Stories" in 2010

"Finding the Balance: Textbooks and the Teaching of History" – at the Kansas Council for social studies in 2006.

"In Defense of Teaching History" at the Kansas Council for History Education in 2006

- "No Historical Society Left Behind: Educational Collaborations" at the American Association for State and Local History meeting in 2004.
- "Reading History: A Strategy Towards Meeting Both History and Reading Standards" at the Kansas Association of Teachers of English meeting in 2002.
- "Museums and Curricular Standards: Increasing Your School-Age Audience" at the Kansas Museums Association meeting in 2000.
- "Oral History in Museums: It's About More Than Telling a Story." at the American Association for State and Local History meeting in 1997.
- "African American Quilt Making Traditions: Some Assumptions Reviewed" at the international symposium "What is American about American Quilts," National Museum of American History, Smithsonian Institution in 1995.
- "Investing in Human Resources: Understanding and Respecting Others" at statewide (Kansas) Human Resources Management Conference in 1994.
- "Collecting Twentieth Century Artifacts for the Museum: Contemporary Folk Art" at the Kansas Museums Association meeting in 1993.
- "Expressions of Ethnicity in Kansas City, Kansas" at the American Folklore Society meeting in 1987.
- "Folklife Programming and the Small Historical Agency" at the American Association for State and Local History meeting in 1987.
- "The Nature and Scope of a State Folklorist's Responsibilities: An Overview" at the Twentieth Anniversary Symposium for the Folklore and Mythology Program at the University of California, Los Angeles in 1986.
- "Ethnic Revivalism in a Croatian Community: The Renewal of Community Identity" at the American Association for State and Local History meeting in 1985.
- "The Paintings of Marijana: Images of a Croatian Community" at the American Folklore Society meetings in 1984.
- "The Problem of the Polyester Quilt: Defining Folk Arts in the Field" at the American Folklore Society meeting in 1983.

"Wheat Weaving in Kansas: From Folk Art to Popular Culture" – at the Popular Culture Association meeting in 1983.

"Kansas Post Rock: Its Functional and Symbolic Use" – at the American Folklore Society meeting in 1980.

"Folk Arts and Folklife Survey of Kansas: A Report" – at the Ozark States Folklore Society meeting in 1980.

Publications (Selected)

Books:

The Kansas Journey (Gibbs-Smith, Salt Lake City, Utah, 2005), Author. 7th grade textbook.

Control Section

<u>Cowboy Boots: The Kansas Story</u> (Kansas State Historical Society, Topeka, Kansas, 1994), Editor and contributor.

Kansas Quilts and Quilters (University Press of Kansas, Lawrence, Kansas, 1993), Co-author.

"Don't Ask Me My History, Just Listen to My Music": An Exploration of Kansas Folklife (Kansas State Historical Society, Topeka, Kansas), Editor and contributor. 1992.

Images of Strawberry Hill: Works by Marijana (Kansas State Historical Society, Topeka, Kansas, 1986), Editor and contributor.

Articles:

"'Some Ladies Make Quilts, But They Aren't Quilt Maker's': Aesthetic Principles in Quilt Making," <u>Kansas History</u>, Volume 13, Number 1 (Spring 1990).

"The Second Adam and His Garden," in Daniel Franklin Ward (Ed.), Personal Places: Perspectives on Informal Art Environments, (Bowling Green, Ohio, Bowling Green State University Popular Press, 1984).

"There's a Star-Spangled Banner Waving Somewhere: Country-Western Songs of World War II." John Edwards Memorial Foundation Quarterly,

Volume XVI, Number 58 (Summer 1980).

Honors

2010	Excellence in Communication Leadership, International Association of Business Communicators, Topeka.
2006	Woman of Achievement, Zonta Club of Topeka.
2004	Ned N. Fleming Award for Excellence in Teaching, Washburn University, Topeka, Kansas.
2003	Friends of Social Studies Award, Kansas Council for the Social Studies.
1996	Leadership Luncheon Honoree, YWCA Tribute to Women and Employers, Topeka, Kansas.

Other Professional Activities

2003 – 2004	Co-chair of committee to revise <i>State Curricular Standards for History and Government</i> , Kansas State Board of Education, Topeka, Kansas. Standards adopted in 2004, to go into effect in 2006.
2001 – 2003	Trainer in Kansas history and critical thinking pedagogy for Summer Standards Academy, Kansas State Department of Education. Involves training approximately 80 teachers from around the state in content and pedagogy.
2001	Member of committee to write state standards in history for teacher licensing, Kansas State Department of Education, Topeka, Kansas.
1999 – 2001	Member, ExhibitsUSA Advisory Panel, Kansas City, Missouri. Advises organization on the development of national traveling exhibits.
2000	Reviewer, state assessments in history (K-12), University of Kansas and Kansas State Department of Education.

1988 – 2000 Editorial Board for <u>Southern Folklore Quarterly</u>. University Press of Kentucky.

1998 – 1999 Co-chair of committee to write State Curricular Standards for Civics-Government, Economics, Geography and History, Kansas State Board of Education, Topeka, Kansas.

1994 – 1996 Board member and chair of personnel committee, Topeka Day Care, Inc., Topeka, Kansas.

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CONFIRMATION OVERSIGHT COMMITTEE

APPOINTMENT QUESTIONNAIRE

Full Name: Ms. Jennie Ann Chinn	•	
(please include title and middle name along with any names préviously used)		
Home Address: 1705 NW Beivair Ct.	Topeka, KS 66606	
Driver's License Number: (Street Address)	(City, State, Zip) Social Security Number:	
Position to which Appointed: Executive Director,		
Appointing Authority: Governor Brownback		
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^{*} Information on this page will not be made public but is used by the KBI and Department of Revenue.

(for Committee use only)		
KBI Check: N/A_	In-Process	Complete
DOR Check: N/A_	In-Process	Complete

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "\(\sigma\)" should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name; Ms. Jennie Ann Chinn	
Position to which Appointed: (please include title and middle name along with a Executive Director, Kansas State Historica	
Appointing Authority: Governor Brownback	
Home Address: 1705 NW Belvoir Ct.	Topeka, KS 66606
(Street Address) Business Name: Kansas State Historical Society	(City, State, Zip)
Business Address: 6425 SW Sixth Avenue	Topeka, KS 66615
Position Title: Executive Director	(City, State, Zip)
Home Phone: (785) 232-3802 Business Phone: (785) 272-8681	Cell Phone: (785) 249-7921
Fax Number: (785) 272-8682 E-Mail Address:	
Kansas resident? ☑Yes / ☐No Date of Birth: 05/03/1952	
Registered Voter? Yes Party Affiliati	· · · · · · · · · · · · · · · · · · ·
Congressional District: 2 Kansas Senate District: 18 K	
Do you have the legal right to live and work in the United States?	☑Yes / □No

Please answer the following questions numbered 1 – 43. Each question <u>MUST BE ANSWERED ON THIS ORIGINAL FORM.</u> If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

- 1. What is your educational background? See Resume
- 2. Describe your employment experience. Include any expertise related to the position to which you were appointed. See Resume

List any professional licenses that you have obtained and include the number for each license. California Teaching Credential 090052102 Why do you feel you are a good candidate for the position to which you have been appointed? 4. I have a passion for Kansas history and I have considerable experience in the areas assigned to the agency. What do you see as the purpose or mission of the role to which you have been appointed? 5. To provide vision and leadership to the programs of the Historical Society and to follow state statules. Military Service: List rank, date and type of discharge from active service. 6. ☑None Government Experience: List any experience or association with local, state or federal 7. government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service. None See Resume Elective Public Office: List all elective public offices sought and/or held with dates of service. 8. 9. Campaigns: Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement. ☑No □Yes Honors and Awards: List all scholarships, fellowships, honorary degrees, honorary society 10. memberships and any other special recognition for outstanding service or achievements. ☐None See Resume Organization Affiliations: List all civic, cultural, educational, charitable, or work-related 11. organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service. None American Association for State and Local History (Institutional Membership) Organization Restrictions: To your knowledge, is any organization listed above restricted on the 12. basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe. ☑No □Yes 13. Issues: Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe. ☑No □Yes 14. Submission of Views: Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe. ☑No □Yes Associations: Have you ever had any association with any person, group or business venture that 15. could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe. ☑No ☐Yes

3.

16.	Opposition: Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack. No Yes
17.	Miscellaneous: List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills. None See Resume
18.	Relationship to Governmental Employees: Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details. No Yes My husband works as the federal aid coordinator for Department of Wildlife and Parks. There is no conflict of Interest between his position and the executive director of the KSHS.
19.	Compensation: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain. No Yes Both my husband and I currently are employees of the State of Kansas.
20.	Business Relationships: Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state. None
21.	Transactions with Officials: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain. ☑No ☐Yes
22,	Spouse or Other Family Members: If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state. No \square Yes
23	Lobbying Activities: Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.
24.	Regulated Activities: Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state. None See Resume

25.	Other: Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state. None
26.	Conflict of Interest: How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise? I will avoid any conflict of interest in the future.
27.	Citations: Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details. No Tyes
28.	Convictions: Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain.
29.	U.S. Military Convictions: Have you ever been convicted by any military court? If so, please provide details. ☑No ☐Yes
30.	Imprisonment: Have you ever been imprisoned, been on probation or been on parole? If so, please provide details. ☑No □Yes
31.	Agency Proceedings/Civil Litigation: Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details. ☑No □Yes
32,	Agency Proceedings and Civil Litigation of Affiliates and Family: a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
	b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that

business.)
☑No □Yes

N.,	I
33.	Other Litigation: a.) Other than the litigation described in question 32, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe. ☑No ☐Yes b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe. ☑No ☐Yes
34.	Drivers License: Has your driver's license ever been suspended or revoked? If so, please describe. ☑No ☐Yes
35.	Parking Tickets: Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain. ☑No □Yes
36.	Security Clearance Denial: Have you ever been denied a military or other governmental clearance? If so, please explain. No Tyes
37.	Firings: a.) During the past ten years, have you been fired from a job for any reason? If so, please explain. ☑No □Yes
	 b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain. ☑No □Yes
	c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain. ☑No □Yes
38.	Alimony and Child Support: Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain ☑No ☐Yes
39.	Consumption of Alcohol: Have you ever or are you currently abusing alcohol? If so, please explain. ☑No □Yes
40.	Controlled Substances: Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain. ☑No ☐Yes
41.	Physical Examination: If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? ☐No ☑Yes

- 42. Governmental Delinquencies: Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.
- 43. Other: Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.

 ☑None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

REFERENCES Name: _____ Knows you how?: ____ professional colleague Ex Dir, KSHS, Inc. Address: _____ Topeka, KS 66615 (City, State, Zip) Name: ______ Knows you how?: _____ Board Member, KSHS, Inc Address: ______ 1433 SW Campbell Topeka, KS 66604 Home Phone: ______ (785) 234-5434 Home Phone: ______ Business Phone: _______ Name: E. Dean Carlson Knows you how?: Board President, KSHS, Inc. 2309 SW Mayfair Place Topeka, KS 66611 Home Phone: (785) 266-8571 Business Phone: Name: _____ Knows you how?: ____ Board Member, Historic Sites Board of Review 901 Wiley St. Address: ___ Wichita, KS 67203 (City, State, Zip) (City, State, Zi

AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.



CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

I,	acknowledge that as part of the
Senate Confirmation Oversight Committee proce	ss I will:
 be subject to a criminal records backgroun Investigation; and 	nd investigation by the Kansas Bureau of
have my tax records released by the Kansa	as Department of Revenue.
Such information will not be released to the generat the appropriate time by:	ral public, but will be made available for review
Myself;	
My appointing authority;	
Chairperson of the Senate Confirmation O	versight Committee; and
The Vice Chair of the Senate Confirmation	ns Oversight Committee.
By signing the "Authorization and Certification": Oversight Committee questionnaire, the Kansas Drelease my tax information and the Kansas Bureau a criminal background investigation on me and prindividuals.	epartment of Revenue will be authorized to of Investigation will be authorized to conduct
Signature Zuni	Date <u>/-/7-20/)</u> Form 08/08

1-17



STATE OF KANSAS



Sec. of St. bar code

KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

<u>INSTRUCTIONS</u>. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A.	IDENTIFICATION:	PLEASE TYPE OR PRINT	$(x_1, x_2, \dots, x_n) = x_1 + x_2 + x_3 + x_4 + x$
	Chinn	Jennie	A
	Last Name	First Name	MI
	Carl R. Magnuson		
	Spouse's Name 1705 NW Belvoir Ct		
	Number & Street Name, Apa	rtment Number, Rural Route, or P.O. Box	k Number
100	Topeka KS 66606	$\mu_{\rm sol} = 1.5 {\rm keV}^{-1} {\rm sol} (1.5 {\rm keV}^{-1}) {\rm sol} (1.5 {\rm keV}^{-1}) $	
	City, State, Zip Code		
	(785) 232-3802	(785) 27	72-8681
	Home Phone Number (includ	le area code) Businos:	s Phone Number (include area code)
	State Treasurer, S Education, or Dist 2. Appointed Memb 3. Appointed State F 4. Employee of a State 5. General Counsel of 6. Candidate for State	er of a State Board, Council, Commission osition is Subject to Senate Confirmation ate Agency or University; for State Office;	presentative, Member of State Board of
List Na	ame of Agency, Board, Univer	sity or Elected Position (You may use abb	previations but not acronyms)
	cal Society	*	Execulive Director
*	Agency Divi The last four digits of your s name on the computer list.	sion if applicable (May use acronyms) ocial security number will aid in identify This information is optional.	Position ing you from others with the same Rev. 3/2006

C. <u>OWNERSHIP INTERESTS</u>: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

2

If you have nothing to report in Section "C", check here

				,	
	BUSINESS NAME AND ADDRESS	Type of Business	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
t.	ING	Deferred Compensation	Retirement Funds	100%	self
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3,					
4.		-			
5.					
6.					
7.					
S.				•	
9.					
10.					

D. <u>GIFTS OR HONORARIA:</u> List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here 1.

333	NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED)数	ADDRESS	RECEIVED BY:
1.				
2.				
3.		اسبيا		

- E. <u>RECEIPT OF COMPENSATION</u>: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.
 - 1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE .

 If you have nothing to report in Section "E"1, check here .

40,000	NAME OF BUSINESS	SEARCE.	IA	DDRESS	TYPE OF BUSIN	388
1.	·				<u> </u>	
2.		:				

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1. Wildlife and Parks	1020 SW Kansas Ave	State Agency
2.		

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "F", check here.

	BUSINESS NAME AND ADDRESS		Position Held	HELD BY WHOM
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4.				7.
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G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here V.

P : : : :	NAME OF CLIENT / CUSTOMER		ADDRESS	RECEIVED BY
1.				
2.				
3.			· · · · · · · · · · · · · · · · · · ·	
4.				
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12.		· · · · · · · · · · · · · · · · · · ·		
13.				

н.	true, correct and complete stateme	, declare that this statement of substantial interests (including any ints) has been examined by me and to the best of my knowledge and belief is a ent of all of my substantial interests and other matters required by law. I illure to file this statement as required by law or intentionally filing a false or.
01/1	8/2011	Jennes Chini
	Date	Signature of Person Making Statement
NUM	MBER OF ADDITIONAL PAGES _	

Print Form

Reset Form

Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Sam Brownback

Appointee: Raymond Roberts

Position: Secretary, Corrections

Expiration Date: N/A

Term Length: POG

Statutory Authority: K.S.A. 75-5201

• Statutory geographic representation Requirements (insert any that apply)

Party Affiliation: R

Congressional District: 4

County: Butler

Size Requirement (if any):

Other, specify:

- Statutory party affiliation requirement: N/A
- Statutory industry or occupation requirements: N/A

Salary: 125,000

Predecessor: Roger Werholtz

Board Composition Prior to Confirmation of Appointee: N/A

Raymond Roberts

210 Wingate Court
El Dorado, KS 67042
316-322-7911
Work <u>RayR@kdoc.dc.state.ks.us</u>
Home Mistertee8477@sbcglobal.net

PROFESSIONAL SUMMARY

I have extensive experience in managing a variety of correctional facilities, inmate populations, and programs. I started my career as a correctional officer at the Mississippi State Penitentiary in 1975, and became a warden in ten years. I have also served in the capacity of a correctional counselor, staff development coordinator, deputy warden, director of faith-based ministry, warden, and deputy secretary of facility management. I have fourteen years of correctional experience in the state of Mississippi and twenty years in Kansas. During my career, I have served as warden of five correctional facilities and Deputy Secretary of Facility Management, for the KDOC, with responsibility for all eight correctional facilities in the state. I have achieved ACA Accreditation status at three correctional facilities, including the KS State Penitentiary which was opened in 1863. I am currently the Warden of the El Dorado Correctional Facility (EDCF) in El Dorado, KS. EDCF is unique in the Kansas Department of Corrections (KDOC) because it houses inmates assigned to long term involuntary segregation and serves as the reception and diagnostic center for all male inmates entering the system. I have a reputation for getting things done effectively and efficiently and working well with correctional stakeholders. I have received exceptional performance reviews from my supervisors for the past twenty years.

KEY STRENGTHS AND COMPETENCIES

Activating/Opening new facilities
Administering ACA Accreditation
Assessing & improving operational
deficiencies
Achieving goals and objectives
Assessing & changing institutional cultures
Budget administration
Coaching and mentoring staff
Communication skills
Damage control and recovery
Developing and managing inmate programs
Garnering public support for corrections

Getting things done
Loyalty
Modeling ethical behavior
Human resource management
Project planning
Resourceful
Security management
Seeing the big picture
Self awareness
Staff development
Time management
Visionary and team leadership

SIGNIFICANT ACCOMPLISHMENTS

- ✓ Opened the Central Mississippi Correctional Facility in Pearl, MS in 1985.
- ✓ Partnered with two non-profit organizations at the Ellsworth and El Dorado Correctional Facilities, in the State of Kansas, to raise funds and construct spiritual life centers at

- these locations. Six hundred thousand dollars was raised at Ellsworth and one million dollars was generated for the El Dorado Facility.
- ✓ Implemented a variety of correctional programs to include: regimented inmate discipline, adjustment unit, interchange freedom initiative (faith-based), behavior modification transitional segregation, wheels for world, bicycle refurbishing, community-based assist dog, inmate mentoring, cognitive restructuring, academic and vocational programs.
- Enhanced the security posture at EDCF in the past five years by installing over 300 digital cameras, installing package scanners and metal detectors, made various physical plant improvements, and provided specialized training for staff at a cost of more than \$1,000,000. These actions have resulted in reduced contraband, a safer environment for staff and inmates, and reduced potential for escape.
- ✓ Improved post orders, general orders, and emergency contingency plans at five correctional facilities.
- ✓ Initiated state-wide security audits and post analyses at each correctional facility in the state. I also implemented a validation process for security threat groups and central monitoring inmate management system, while serving as Deputy Secretary of Facility Management in the mid 1990s.
- ✓ Served on a variety of serious incident review panels, following major security breaches at various correctional facilities in the state of Kansas.
- ✓ Reduced the employee turnover rate from 40.5% to 19.5% at EDCF from Fiscal Year 2008 to FY 2010.
- ✓ Developed and presented a basic correctional officer and correctional supervisor training curriculum, as a staff development coordinator, at the MS Law Enforcement Officers Training Academy in Pearl, MS, 1978-83.
- ✓ Participated in a wide variety of correctional training programs in Kansas, Mississippi, and Texas. I have also participated in many leadership, security management, and emergency preparedness programs at the National Institute of Corrections. I have also presented leadership training programs for the past twenty five years.
- ✓ Mentored and trained many staff to assume correctional supervisor and management positions through the years.
- ✓ Provided testimony to legislative panels and committees on budget needs and other issues facing the field of corrections,

PROFESSIONAL EXPERIENCE

7 - 2003 Present	Warden, El Dorado Correctional Facility, El Dorado, KS (429 employees and 1290 inmates)
4 - 1998 7 - 2003	Warden, Ellsworth Correctional Facility, Ellsworth, KS (234 staff and 832 inmates)
9 - 1997 4 - 1998	Deputy Warden, Ellsworth Correctional Facility, Ellsworth, KS
2 - 19979 -1997	Director Inner Change Prison Ministry, Richmond, TX

7 - 1996 2 – 1997	Correctional Consultant Soli Deo Gloria Foundation, Jackson, N	
5 - 1995 7 - 1996	Superintendant, MS State Penitentiary, Parchman, MS (2,000 employees and 5,000 inmates)	
9 - 1991 5 — 1995	Deputy Secretary Facility Management, KS Department of Corrections, Topeka, KS	
3 - 1989 9 - 1991	Warden KS State Penitentiary, Lansing, KS (720 employees and 2400 inmates)	
3 – 1988 3 – 1989	Deputy Warden, KS State Penitentiary, Lansing, KS	
12 - 1985 3 - 1988	Correctional Superintendant, Central MS Correctional Facility, Pearl, MS (200 employees and 610 inmates)	
7 - 1983 12 - 1985	Deputy Warden, MS State Penitentiary, Parchman, MS	
7 - 1978 7 – 1983	Staff Development Instructor/Coordinator, MS Law Enforcement Officers Training Academy, Pearl, MS	
1 - 1976 7 - 1978	Correctional Counselor, MS State Penitentiary, Parchman, MS	
7 - 1975 1 – 1976	Correctional Officer, MS State Penitentiary, Parchman, MS	

EDUCATION

- ❖ Bachelor of Science, Criminal Justice, University of Southern Mississippi, Hattiesburg, MS - 1974
- Masters of Education, Counseling & Guidance, Delta State University, Cleveland, MS -1978.

REFERENCES

- ➤ Morris Thigpen, Executive Director for the National Institute of Corrections 320 1 Street NW Washington, DC 20534 202-307-3106
- ➤ Lora Cole, Deputy Commissioner of Corrections, MS Department of Corrections 723 North President Street Jackson, MS 39202 601-359-5600
- Chuck Simmons, Deputy Secretary of Facility Management, KS Department of Corrections, Landon State Office Building, 900 SW Jackson Street 5th Floor, Topeka, KS 66612-1284 785-296-0187

Kansas Senate

CONFIRMATION OVERSIGHT COMMITTEE

APPOINTMENT QUESTIONNAIRE

Full Name: Raymond Nollie Roberts, Jr.	Name: Raymond Nollie Roberts, Jr.				
	name along with any names previously used)				
Ray Roberts					
Home Address: 210 Wingate Court	El Dorado, KS 67042				
(Street Address)	(City, State, Zip)				
Driver's License Number:	Social Security Number:				
Position to which Appointed: Secretary of Corrections					
Appointing Authority: Governor					
	•				

^{*} Information on this page will not be made public but is used by the KBI and Department of Revenue.

(for Committee use only)					
KBI Check: N/A	In-Process	Complete			
DOR Check: N/A	In-Process	Complete			

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "\sum " should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name: Raymond Nollie Roberts Jr.	
(please include title and middle name along with any names previously used) Position to which Appointed: Secretary of Corrections	_
Appointing Authority: Governor	_
Home Address: 210 Wingate Court El Dorado, Kansas 67042	
(Street Address) (City, State, 21p)	
Business Name: Kansas Department of Corrections	_
Business Address: 900 Landon State Office Building, 4th Floor Topeka, Kansas	_
(Street Address) (City, State, Zip) Position Title: Secretary of Corrections	_
Home Phone: (316) 322-7911 Business Phone: (785) 296-0183 Cell Phone: (316) 244-4607	_
Fax Number: (785) 296-0014 E-Mail Address: RayR@kdoc.dc.state.ks.us	_
Kansas resident? Yes / No Date of Birth: Place of Birth: Pascagoula, Mississippi	-
Registered Voter? yes Party Affiliation: Republican	
Congressional District: 4 Kansas Senate District: 16 Kansas Representative District: 75	
Do you have the legal right to live and work in the United States? ☑Yes / ☐No	

Please answer the following questions numbered 1 – 43. Each question <u>MUST BE ANSWERED ON THIS ORIGINAL FORM</u>. If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

- 1. What is your educational background? Bachelor of Science Degree in Criminal Justice and Master of Education in Counseling and Guidance
- 2. Describe your employment experience. Include any expertise related to the position to which you were appointed. See attached resume

3.	List any professional licenses that you have obtained and include the number for each license.
4	Why do you feel you are a good candidate for the position to which you have been appointed?
5.	What do you see as the purpose or mission of the role to which you have been appointed? Jee
6,	Military Service: List rank, date and type of discharge from active service.
7,	Government Experience: List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service.
8,	Elective Public Office: List all elective public offices sought and/or held with dates of service. [None]
9. ·.	Campaigns: Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement. No Dyes
10.	Honors and Awards: List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements. None
11.	Organization Affiliations: List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service. [In one Ellsworth Chamber of Commerce - served as Vice President & Ambassador Associate from 12/1997 - 07/2003Kwanis - Mamber 01/1998 - 07/2003. El Darado Chamber of Commerce from 01/2004 - 01/2011
12.	Organization Restrictions: To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe. No DYes
13.	Issues: Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe. ☑No □Yes
14.	Submission of Views: Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe. No DYes
15.	Associations: Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe.

- Opposition: Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack.
- Miscellaneous: List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills.
- 18. Relationship to Governmental Employees: Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details. [Z]No []]Yes
- Compensation: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain.
- Business Relationships: Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.
- Transactions with Officials: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain.

 No Tyes
- Spouse or Other Family Members: If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state.
 No Lives
- Lobbying Activities: Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.
- Regulated Activities: Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state.

 [I]None

- Other: Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.

 [PNone
- 26. Conflict of Interest: How would you resolve any potential conflicts of interest that, while may be unforeseen at this point in time, could arise? The Attached parping
- Citations: Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.

 This I Yes
- Convictions: Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain.

 We say that the contender of t
- U.S. Military Convictions: Have you ever been convicted by any military court? If so, please provide details.

 ENO TYPES
- Imprisonment: Have you ever been imprisoned, been on probation or been on parole? If so, please provide details.

 No Dyes
- Agency Proceedings/Civil Litigation: Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.

 PNo TYes
- Agency Proceedings and Civil Litigation of Affiliates and Family: a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.

 No Tyes
 - b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)

☑No □Yes

33.	Other Litigation: a.) Other than the litigation described in question 32, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe.
	□No ☑Yes I hired a contractor to do some work at my house. See Attachment b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe. ☑No □Yes
34.	Drivers License: Has your driver's license ever been suspended or revoked? If so, please describe.
	☑No □Yes
35.	Parking Tickets: Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain. ☑No ☐Yes
	a second a military or other governmental
36.	Security Clearance Denial: Have you ever been denied a military or other governmental clearance? If so, please explain.
	☑No ☐Yes
37.	Firings: a.) During the past ten years, have you been fired from a job for any reason? If so, please
	explain. ☑No □Yes
	b.) During the past ten years, have you quit a job after being told that you would be fired? If so,
	please explain. ☑No □Yes
	c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain.
	☑No □Yes
38.	Alimony and Child Support: Are you now, or have you ever been, delinquent in the payment of
	alimony or child support? If so, please explain ☑No □Yes
39.	Consumption of Alcohol: Have you ever or are you currently abusing alcohol? If so, please
	explain. ☑No □Yes
40.	Controlled Substances: Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain ☑No ☐Yes
41.	Physical Examination: If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? □No ☑Yes

- Governmental Delinquencies: Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.

 ☑No ☐Yes
- Other: Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.

 None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

REFERENCES
Name: LARLY CHAWFORD Knows you how?: Prison Walunteer Friend
Address: 1780 SAJON Drive, EL Dorado, to 67042 (City, State, Zip)
Home Phone: 316-377-3373 Business Phone: 5Ame
Name: Jean Phummer Knows you how?: Jean is Active in the community of FL DorAdo. Ser Community of FL DorAdo. Ser Together Chain. Address: 221 N. Denver, FL DorAdo, K5 67042 (City, State, Zip)
Address: 221 N. Denver FL Dorndo, KJ 67042 (City, State, Zip)
Home Phone: 3/6-321-3502 Business Phone: 5900
Name: Linda Johly Knows you how? Economic Devolopment
Address: 2100 Pico Court, EL DorAdo, K5 67042 (City, State, Zip)
Home Phone: 3/6-64/-4/28 Business Phone: 3/6-32/-3/50
Name: Johnnie Goddard Knows you how?:
Address: 804 JPring Street ELLTWORTH FT 67429
Home Phone: 785 - 472 - 5673 Business Phone: 785 - 531 - 0336

AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

Date

Signature

(4) Why do you feel that you are a good candidate for the position to which you have been appointed?

I have extensive experience managing a variety of correctional facilities, inmate populations, and programs. I started my career as a correctional officer and became a warden in 10 years. I have served as warden of five correctional facilities and served three and one half years as a deputy secretary of facility management in the early 1990's. My most recent assignment as Warden of the El Dorado Correctional Facility provided tremendous insight into system-wide issues because of the location of the Reception and Diagnostic Center and the long term involuntary segregation unit at this facility. I have a reputation for getting things done effectively and efficiently and working well with correctional stakeholders. I am honest and work very hard to garner the respect of those who I impact in the workplace and community. My insight into security operations will enable me to ensure good public safety in the State of Kansas. I also understand budgets and know how to manage efficiently. Finally, it takes a visionary to anticipate and plan for current and future needs, particularly during these times of unprecedented change.

(5) What do you see as the purpose or mission of the role to which you have been appointed?

- Provide for public safety by maintaining safe correctional facilities, effectively supervising parolees, and supporting community corrections to ensure proper supervision of probationers.
- Comply with all laws, regulations, and policies governing the KDOC
- Oversee the development, implementation, and revision of agency policy
- Promote positive change that is beneficial to the agency and the State
- Embrace diversity, promote inclusiveness, respect and dignity
- Provide quality service to correctional stakeholders
- Be available to the citizens of the State of Kansas
- · Be trustworthy, honest, and straightforward
- Promote and provide growth producing evidence based programming and services to offenders with the goal of reducing recidivism by leading them to become law abiding citizens
- Ensure that facilities, central office staff, and field services work together to accomplish our mission

- Provide for fair and humane treatment of offenders in state facilities and those supervised in field services
- Be sensitive and responsive to crime victims
- Provide fair and humane treatment of offenders in state facilities and field services.
- Be sensitive and responsive to crime victims

(26) How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise?

The best way to resolve any potential conflicts of interest that could arise is to disclose any actual or perceived conflict of interest as soon as the hint of it may arise. Beyond disclosures, recusing me from decisions that could create a conflict of interest or even an appearance of such is the next best option. Finally, a third-party evaluation is a good tool to forgo any conflict of interest. This is a common method for my office and is one of reasons why we set independent layers in our agency to gain a second opinion and outlook. One such example is the development of the population projections released by sentencing commission. I am a member but not a controlling voice.

33. The contractor hired a plumber. I realized he was over charging me quite a bit. I refused to pay him until he corrected the invoice. He became angry and went to small claims court. Prior to court I paid the full amount just to end the matter. In court, the judge dismissed the case and scolded the plumber.



- CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

(print name)	I,	RAY	(print name)	acknowledge that as part of t
(mint name)	I,	RAS		acknowledge that as part of

Senate Confirmation Oversight Committee process I will:

- be subject to a criminal records background investigation by the Kansas Bureau of Investigation; and
- have my tax records released by the Kansas Department of Revenue.

Such information will not be released to the general public, but will be made available for review at the appropriate time by:

- Myself;
- My appointing authority;
- Chairperson of the Senate Confirmation Oversight Committee; and
- The Vice Chair of the Senate Confirmations Oversight Committee.

By signing the "Authorization and Certification" section (on page 8) of the Senate Confirmation Oversight Committee questionnaire, the Kansas Department of Revenue will be authorized to release my tax information and the Kansas Bureau of Investigation will be authorized to conduct a criminal background investigation on me and provide that information to the appropriate individuals.

Signature Pay Solonias Date 1-29_1/

Form 08/08

STATE OF KANSAS



KANSAS GOVERNMENTAL ETHICS COMMISSION

ELECTRONIC STATEMENT OF SUBSTANTIAL INTERESTS FORM

<u>INSTRUCTIONS</u>: This statement must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION:

Roberts	Raymond		N	
Last Name	First Name		MI	
Anna Jean Roberts		an a		, v
Spouse's Name				
210 Wingate Court				
Number & Street Name, Apartment Number,	Rural Route, or P.O	. Box Number		
El Dorado, KS 67401				
City, State, Zip Code				
(316) 322-7911			(316) 322-	2020
Home Phone Number			Business Phor	ne Number

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:

(check one or more of the following)

	1.	State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
	2.	Appointed Member of a State Board, Council, Commission or Authority;
V	3.	Appointed State Position is Subject to Senate Confirmation;
	4.	Employee of a State Agency or University;
	5.	General Counsel for a State Agency;
	6.	Candidate for State Office.
	7	Other (Contractor / Member of Compact)

Kansas Department of Corrections

List the Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

Secretary of Corrections

interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section. If you have nothing to report in Section "C", check here BUSINESS NAME AND ADDRESS TYPE OF BUSINESS OF INTERESTS MHELD DESCRIPTION PERCENT OF HELD OWNERSHIP BY WHOM 1 D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months. If you have nothing to report in Section "D", check here NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED ADDRESS RECEIVED BY 1. E. RECEIPT OF COMPENSATION: (Part 1) List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns. 1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE NAME OF BUSINESS ADDRESS TYPE OF BUSINESS If you have nothing to report in Section "E"1, check here NAME OF BUSINESS ADDRESS TYPE OF BUSINESS TYPE OF BUSINESS If you have nothing to report in Section "E"2, check here ADDRESS NAME OF BUSINESS ADDRESS TYPE OF BUSINESS	Kansas Secretary of State - Statement of	Dubstantial Intorests.			1	age 2 or	
* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional. * C. OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific slocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equilable interest exceeding \$5.000 or \$\$, whichever is less. If you or your spouse has owned within the preceding 12 months a legal or equilable interest exceeding \$5.000 or \$\$, whichever is less. If you have nothing to report in Section "C", check here \$\$\tilde{V}\$ BUSINESS NAME AND ADDRESS TYPE OF BUSINESS DESCRIPTION OF INTERESTS OWNERSHIP INTERESTS HELD OWNERSHIP	4	Decition.					
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	1. City of El Dorado, Kansas	El Dorado, Ks 67401		City governmer	nt		

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.			

G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.		

H. <u>DECLARATION:</u> I declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

Date Electronically Filed:

02/01/2011

Name of Person Making Statement: Raymond N. Roberts jr.

Duties and Functions of the Kansas Racing and Gaming Commission and the Kansas Lottery

Kansas Racing and Gaming Commission

Responsible for regulation and oversight of all parimutuel racing at racetrack facilities in the all lottery games in the state such as instant State of Kansas. These now closed facilities include the Woodlands, Wichita Racetrack. Anthony Downs, and Camptown racetracks.

or licensed under the Racing and Gaming retailers, and the method of compensation for Commission. They are closed due to the prize winners. inability to generate sufficient revenue.

(KELA), which outlines the provisions dealing (KELA), the Kansas Lottery is the first step in with state owned and operated destination the approval procedures for destination casino casinos, the Racing and Gaming Commission contract negotiations. Once the Lottery is responsible for oversight and regulation of all lottery gaming facilities.

Kansas Racing and Gaming Commission is background checks for the final approval of The Lottery Commission has ownership potential state owned and operated destination authority for the electronic gaming machines casino managers and employees. Initially, the placed at casinos and racetrack facilities. To Kansas Lottery approves all the potential date, no electronic games are operating at casino contracts and then forwards them to racetrack facilities. the Lottery Gaming Review Board which selects one potential contract and then forwards that contract to the Kansas Racing and Gaming Commission for final approval.

Kansas Lottery

Responsible for the regulation and oversight of lottery tickets, mega million lottery tickets and keno. The Lottery is responsible among other provisions, for locations and types of businesses where lottery tickets are sold At present, none of these tracks are operating (convenience stores), qualifications of lottery

Under the Kansas Expanded Lottery Act Under the Kansas Expanded Lottery Act determines that proposed casino contracts meet the requirements of KELA, the Lottery then approves those contracts and forwards Included in these responsibilities of the them to the Lottery Gaming Review Board.

- (f) The terms of members who are serving on the commission on the effective date of this act shall expire on January 15, of the year in which such member's term would have expired under the provisions of this section prior to amendment by this act. Thereafter, members shall be appointed for terms of four years and until their successors are appointed and confirmed.
- (g) A vacancy on the commission shall be filled for the unexpired term by appointment by the governor.
- (h) The commission shall meet at such times and places within this state as the chairperson or a majority of the commission members determines. A majority of the members shall constitute a quorum for the conduct of commission business.
- (i) The governor shall designate a member of the commission as chairperson of the commission, to serve in that capacity at the pleasure of the governor. The members of the commission annually shall elect a vice-chairperson and secretary from the membership of the commission.
- (j) Members of the commission shall receive such compensation as determined by the governor, subject to the limitations of appropriations therefor, and, when attending meetings of the commission, or a subcommittee meeting thereof approved by the commission, shall be paid subsistence allowances, mileage and other expenses as provided in K.S.A. 75-3223 and amendments thereto.

History: L. 1987, ch. 112, § 3; L. 1992, ch. 262, § 14; L. 1995, ch. 241, § 19; L. 1995, ch. 255, § 7; L. 1996, ch. 256, § 20; July 1.

74-8803a: Same; renamed. (a) On the effective date of this act the Kansas racing commission shall be and is hereby officially designated as the Kansas racing and gaming commission.

- (b) On and after the effective date of this act, whenever the Kansas racing commission, or words of like effect, is referred to or designated by a statute, contract or other document, created before the effective date of this act, such reference or designation shall mean and apply to the Kansas racing and gaming commission.
- (c) Nothing in this act shall be construed as abolishing or reestablishing the Kansas racing commission.

History: L. 1996, ch. 256, § 18; July 1.



74-8804: Powers and duties of commission. (a) During race meetings, the commission and its designated employees may observe and inspect all racetrack facilities operated by licensees and all racetracks simulcasting races to racetrack facilities in Kansas, including but not limited to all machines, equipment and facilities used for parimutuel wagering.

- (b) Commission members and presiding officers may administer oaths and take depositions to the same extent and subject to the same limitations as would apply if the deposition was in aid of a civil action in the district court.
- (c) The commission may examine, or cause to be examined by any agent or representative designated by the commission, any books, papers, records or memoranda of any licensee, or of any racetrack or business involved in simulcasting races to racetrack facilities in Kansas, for the purpose of ascertaining compliance with any provision of this act or any rule and regulation adopted hereunder.
- (d) The commission may issue subpoenas to compel access to or for the production of any books, papers, records or memoranda in the custody or control of any licensee or officer, member, employee or agent of any licensee, or to compel the appearance of any licensee or officer, member, employee or agent of any licensee, or of any racetrack or business involved in simulcasting races to racetrack facilities in this state, for the purpose of ascertaining compliance with any of the provisions of this act or any rule and regulation adopted hereunder. Subpoenas issued pursuant to this subsection may be served upon

6

individuals and corporations in the same manner provided in K.S.A. 60-304 and amendments thereto for the service of process by any officer authorized to serve subpoenas in civil actions or by the commission or an agent or representative designated by the commission. In the case of the refusal of any person to comply with any such subpoena, the executive director may make application to the district court of any county where such books, papers, records, memoranda or person is located for an order to comply.

- (e) The commission shall allocate equitably race meeting dates, racing days and hours to all organization licensees and assign such dates and hours so as to minimize conflicting dates and hours within the same geographic market area.
- (f) The commission shall have the authority, after notice and an opportunity for hearing in accordance with rules and regulations adopted by the commission, to exclude, or cause to be expelled, from any race meeting or racetrack facility, or to prohibit a licensee from conducting business with any person:
- (1) Who has violated the provisions of this act or any rule and regulation or order of the commission;
- (2) who has been convicted of a violation of the racing or gambling laws of this or any other state or of the United States or has been adjudicated of committing as a Juvenile an act which, if committed by an adult, would constitute such a violation; or
- (3) whose presence, in the opinion of the commission, reflects adversely on the honesty and integrity of horse or greyhound racing or interferes with the orderly conduct of a race meeting.
- (g) The commission shall review and approve all proposed construction and major renovations to racetrack facilities owned or leased by licensees.
- (h) The commission shall review and approve all proposed contracts with racetracks or businesses involved in simulcasting races to racetrack facilities in Kansas.
- (I) The commission may suspend a horse or greyhound from participation in races if such horse or greyhound has been involved in any violation of the provisions of this act or any rule and regulation or order of the commission.
- (j) The commission, within 72 hours after any action taken by a steward or racing judge and upon appeal by any interested party or upon its own initiative, may overrule any decision of a steward or racing judge, other than a decision regarding disqualifications for interference during the running of a race, if the preponderance of evidence indicates that:
 - (1) The steward or racing judge mistakenly interpreted the law;
 - (2) new evidence of a convincing nature is produced; or
 - (3) the best interests of racing and the state may be better served.

A decision of the commission to overrule any decision of a steward or racing judge shall not change the distribution of parimutual pools to the holders of winning tickets. A decision of the commission which would affect the distribution of purses in any race shall not result in a change in that distribution unless a written claim is submitted to the commission within 48 hours after completion of the contested race by one of the owners or trainers of a horse or greyhound which participated in such race and a preponderance of evidence clearly indicates to the commission that one or more of the grounds for protest, as provided for in rules and regulations of the commission, has been substantiated.

- (k) The commission, after notice and a hearing in accordance with rules and regulations adopted by the commission, may impose a civil fine not exceeding \$5,000 for each violation of any provision of this act, or any rule and regulation of the commission, for which no other penalty is provided.
 - (I) The commission shall adopt rules and regulations specifying and regulating:

- (1) Those drugs and medications which may be administered, and possessed for administration, to a horse or greyhound within the confines of a racetrack facility; and
- (2) that equipment for administering drugs or medications to horses or greyhounds which may be possessed within the confines of a racetrack facility.
- (m) The commission may adopt rules and regulations providing for the testing of any licensees of the commission, and any officers, directors and employees thereof, to determine whether they are users of any controlled substances.
- (n) The commission shall require fingerprinting of all persons necessary to verify qualification for employment by the commission or to verify qualification for any license, including a simulcasting license, issued pursuant to this act. The commission shall submit such fingerprints to the Kansas bureau of investigation and to the federal bureau of investigation for the purposes of verifying the identity of such persons and obtaining records of criminal arrests and convictions.
- (o) The commission may receive from commission security personnel, the Kansas bureau of investigation or other criminal justice agencies, including but not limited to the federal bureau of investigation and the federal internal revenue service, such criminal history record information (including arrest and nonconviction data), criminal intelligence information and information relating to criminal and background investigations as necessary for the purpose of determining qualifications of licensees of the commission, employees of the commission, applicants for employment by the commission, and applicants for licensure by the commission, including applicants for simulcasting licenses. Upon the written request of the chairperson of the commission, the commission may receive from the district courts such information relating to juvenile proceedings as necessary for the purpose of determining qualifications of employees of and applicants for employment by the commission and determining qualifications of licensees of and applicants for licensure by the commission. Such information, other than conviction data, shall be confidential and shall not be disclosed except to members and employees of the commission as necessary to determine qualifications of such licensees, employees and applicants. Any other disclosure of such confidential information is a class A misdemeanor and shall constitute grounds for removal from office, termination of employment or denial, revocation or suspension of any license issued under this act.
- (p) The commission, in accordance with K.S.A. 75-4319 and amendments thereto, may recess for a closed or executive meeting to receive and discuss information received by the commission pursuant to subsection (o) and to negotiate with licensees of or applicants for licensure by the commission regarding any such information.
- (q) The commission may enter into agreements with the federal bureau of investigation, the federal internal revenue service, the Kansas attorney general or any state, federal or local agency as necessary to carry out the duties of the commission under this act.
- (r) The commission shall adopt such rules and regulations as necessary to implement and enforce the provisions of this act.

History: L. 1987, ch. 112, § 4; L. 1988, ch. 315, § 3; L. 1992, ch. 27, § 3; L. 1992, ch. 286, § 2; L. 1993, ch. 76, § 1; L. 1994, ch. 146, § 3; L. 1995, ch. 255, § 8; L. 1996, ch. 262, § 2; L. 2004, ch. 145, § 30; July 1, 2007.

74-8805: Executive director and other personnel of commission; requirements prior to appointment or employment. (a) (1) The governor shall appoint, subject to confirmation by the senate as provided by K.S.A. 75-4315b, and amendments thereto, an executive director of the commission, to serve at the pleasure of the governor and under the direction and supervision of the commission. Except as provided by K.S.A. 46-2601, and amendments thereto, no person appointed as executive director shall exercise any power, duty or function as executive director until confirmed by the senate. Before appointing any

KANSAS RACING AND GAMING COMMISSION

MINUTES - FEBRUARY 17, 2011

CALL TO ORDER: (A.)

Chair Falstad called the February 17, 2011, meeting to order at 10:00 am at 700 SW Harrison, Auditorium B, Suite 460, Topeka, Kansas. Commissioners Falstad, Braun, Sader, Schwan, and McKechnie were all present at the meeting. Others present included Interim Executive Director Neysa Thomas; Assistant Attorney General Patrick Martin; Director of Security Don Brownlee; Director of Audit/Electronic Security Charles LaBoy; Director of Administration Don Cawby; Recording Secretary Linda Pendarvis and other staff.

MOTION, APPROVE AMENDED AGENDA: (B.) Commissioner Braun (Schwan) moved to approve the amended agenda. Motion passed unanimously.

MOTION, APPROVE JANUARY 14, 2011, MEETING MINUTES: (C.) Commissioner Sader (McKechnie) moved to approve the minutes of the January 14, 2011, commission meeting as submitted. Motion passed unanimously.

MOTION, APPROVE CONSENT AGENDA: (D.) Commissioner Sader suggested that Item D.4. be pulled from the consent agenda and added to an executive session for further discussion. Commissioner Schwan (Braun) moved to approve the consent agenda with that change noted. Motion passed unanimously.

KANSAS LOTTERY COMMISSION REPORT: (E.) The commission heard Keith Kocher, director of gaming facilities for the Kansas Lottery, report on the current status of casinos. Mr. Kocher noted that:

- Kansas Star is currently working to determine which of their two building sites will be used.
- He pointed out that the possibility exists that both the Kansas Star and the Hollywood casino might open at or near the same time in early 2012. The Lottery has requested that both casinos notify them no later than April 1, 2011, as to what their earliest opening date is going to be, in order to allow time for both the Lottery and KRGC to gear up for the openings.
- Boot Hill Casino and Resort has nearly reached the \$10 million mark in money given to generated for the state.
- The new deadline for applications to be submitted for the Southeast Zone is April 21, 2011.

LOTTERY GAMING FACILITY REPORTS/ITEMS: (F.1.)

Mark Kashuda, general manager of the Boot Hill Casino and Resort, reported that:

 Boot Hill Casino and Resort recorded a solid January, improving performance by 5% over January 2010. February Kansas Racing and Gaming Commission February 17, 2011 Page 2

- is projected to finish about 12% higher than February 2010.
- BHCR received a very favorable letter from the Kansas Department of Revenue concerning their ability to refuse access of minors to the facility.
- The United Wireless Arena opened to the public last week. The first concert will be tonight (February 17, 2011). Miranda Lambert is scheduled to perform on April 8, 2011.

LOTTERY GAMING FACILITY REPORTS/ITEMS: (F.2.) Marty Naumann, general manager for Hollywood Casino at the Kansas Speedway, reported:

• He distributed the monthly report from Turner Construction and reported that construction continues to go well.

LOTTERY GAMING FACILITY REPORTS/ITEMS: (F.3.)

Brent Stevens, CEO of Peninsula Gaming <u>Partners</u>, <u>LLC</u>, reported on progress at the Kansas Star Casino:

- He introduced Scott Cooper, who will be General Manager of the Kansas Star Casino; and Wendy Runde, Assistant General Manager.
- He gave an overview of Peninsula Gaming and their operating philosophy. Prospective vendors and employees may apply online; to date over 800 employment applications have been received.

DISCUSSION, SEMI-ANNUAL REPORT OF BINGO OPERATIONS: (G.1.) The commission heard Patsy Congrove, administrator of charitable gaming for the Department of Revenue, present the semi-annual activity report of inspections and investigations of bingo operations for the period July 1 to December 31, 2010. The commission acknowledged receipt of the report.

DISCUSSION, KHA REIMBURSEMENT FROM KANSAS BRED PROGRAM: (G.2.) The commission heard Neysa Thomas discuss the Kansas Horsemen's Association (KHA) request for reimbursement from the Kansas Bred Program for expenses for November 2009 in the amount of \$337.50, subject to the ability of funds.

MOTION, APPROVE KHA REQUEST FOR REIMBURSEMENT OF EXPENSES: (G.2.) Commissioner McKechnie (Braun) moved to approve the KHA-request for reimbursement from the Kansas Bred Program for November 2009 in the amount of \$337.50, subject to the availability of funds. Motion passed unanimously.

DISCUSSION, "WAVE FIVE" GAMING REGULATIONS: (G.3.) The commission heard Patrick Martin discuss "Wave Five" gaming regulation revisions as follows:

K.A.R. 112-101-6. Disqualification criteria

K.A.R. 112-102-8 - Disqualification criteria

K.A.R. 112-103-2 – License levels

K.A.R. 112-103-4 – Application for a license K.A.R. 112-103-5 – Applicant identification

K.A.R. 112-103-8. Disqualification criteria for a level I, level II, or

Page 3

level III license

K.A.R. 112-103-15 – License mobility; limitations

K.A.R. 112-104-1 – Definitions; internal control system

K.A.R. 112-104-8 – Retention, storage, and destruction of records

K.A.R. 112-104-13 - Patron deposits

K.A.R. 112-104-14 - Cage and main bank

K.A.R. 112-104-15 - Countroom and main bank requirements

K.A.R. 112-104-16 – Accounting controls for the cage and main bank

K.A.R. 112-104-32 – Unclaimed winnings

K.A.R. 112-105-1 - Security department

K.A.R. 112-105-2 - Security plan

K.A.R. 112-105-3 – Emergency operations plan

K.A.R. 112-106-1 – Surveillance system

K.A.R. 112-106-2 – Surveillance system plan

K.A.R. 112-106-5 - Surveillance room

K.A.R. 112-106-6 - Monitoring

K.A.R. 112-107-3 - Submission for testing and approval

K.A.R. 112-107-5. Transportation of LFGs

K.A.R. 112-107-10 - Master list of approved gaming machines

K.A.R. 112-107-21 - Progressive LFG's

K.A.R. 112-107-22 – Wide-area progressive system

K.A.R. 112-108-18 – Tournament chips and tournaments

K.A.R. 112-108-36. Required personnel for specific table games

K.A.R. 112-108-55. Shipment of table games and table game mechanisms

K.A.R. 112-110-3 – Central computer system security

K.A.R. 112-112-1. Office of responsible gambling

K.A.R. 112-112-3 – Responsible gambling plan

K.A.R. 112-112-4. Self-exclusion list

K.A.R. 112-112-7 – Confidentiality of the self-exclusion list

K.A.R. 112-112-9. Procedure for removal from the self-exclusion list

MOTION, REVISIONS TO "WAVE FIVE" GAMING REGULATIONS: (G.3.)

Commissioner Sader (McKechnie) moved to approve the revised regulations as presented. On a roll call vote, the motion passed unanimously.

DISCUSSION, 2010 ANNUAL REPORT: (G.4.) The commission heard Mr. Cawby present the KRGC 2010 Annual Report.

MOTION, APPROVE 2010 ANNUAL REPORT: (G.4.) Commissioner Schwan (Braun) moved to approve the Annual Report as presented. Motion passed unanimously.

PUBLIC COMMENTS: (H.)

Chair Falstad called for public comments and the following people spoke:

• Richard Baldwin, Acting Executive Director of the State Gaming Agency, announced that he will be retiring on March 4, 2011. A replacement has not yet been named.

Kansas Racing and Gaming Commission February 17, 2011 Page 4

STAFF REPORT, THOMAS: (I.1.)

The commission heard a staff report from Ms. Thomas:

 Ms. Thomas announced that the budget has been accepted by the Governor with very little change. It has been reviewed and accepted in the Senate Ways and Means Committee and is currently being reviewed in the House. Minimal changes are anticipated.

RESPONSIBLE GAMBLING REPORT, SPIKER: (I.2.) The commission heard a responsible gambling report from Carol Spiker, Responsible Gambling Coordinator, who reported that:

• 25 voluntary exclusions exist to date, which represents a full calendar year's data. Data largely parallels national figures with the exception of the ethnicity represented in the Dodge City area. Several exclusions have been received from the Kansas City area in anticipation of the Kansas Star opening.

STAFF REPORT, CAWBY: (I.3.)

The commission heard a staff report from Mr. Cawby.

MOTION, EXECUTIVE SESSION: (J.1.)

Commissioner Schwan (Sader) moved that the commission take a five-minute break, followed by an executive session for a period of 25 minutes from 11:35 am to 12:00 pm for the purpose of receiving confidential information. Those included in the executive session were the commission, Ms. Thomas, and Mr. Martin. No action is to be taken in executive session and the subjects discussed are to be limited as previously described. A full record of this motion is to be maintained as a part of the permanent record of the Kansas Racing and Gaming Commission. At the conclusion of the executive session, the meeting is to be continued in open session. Motion passed unanimously.

RECONVENE:

The commission meeting reconvened at 12:00 pm with all members present as previously noted.

MOTION, APPROVE DISCIPLINARY REVIEW BOARD SETTLEMENTS: (J.1.) Commissioner Sader (McKechnie) moved that the commission approve the disciplinary review board settlement agreements in matters 2010-004 and 2010-005. Motion passed unanimously.

MOTION, EXECUTIVE SESSION: (J.2.)

Commissioner Schwan (McKechnie) moved that the commission adjourn into executive session for a period of one hour, from 12:00 pm until 1:00 pm, for the purpose of reviewing confidential background reports. Those included in the executive session were the commission, Ms. Thomas, Mr. Martin, and Mr. Brownlee. No action is to be taken in executive session, and the subjects discussed are to be limited as previously described. A full record of this motion is to be maintained as a part of the permanent record of the Kansas Racing and Gaming Commission. At the conclusion of the executive

session, the meeting is to be continued in open session. Motion passed unanimously.

RECONVENE:

The commission meeting reconvened at 1:00 pm with all members present as previously noted.

MOTION, APPROVE LICENSES: (K.)

Commissioner Braun (Schwan) moved to approve the following backgrounds, certificates or licenses:

A. ShuffleMaster employees:			
i. Michael Boley	Level 2		
ii. John Brassfield	Level 2		
iii. Brian Finton	Level 2		
iv. Gregory Walser	Level 2		
B. Konami employee:			
i. Paul Vick	Level 2		
C. WMS Employee			
i. Joseph Lewis	Level 2		
D. Penn National employee:	•		
i. Steven Snyder	Level 1		
E. Spielo/GTECH employee:			
i. Serge Allah	Level 2		
ii. Christopher Hawk	Level 3		
F. Bowe Bell & Howell empl	oyee:		
i. Bryan Phipps	Level 2		
G. Butler National employee:	·		
i. Sharon Stroburg	Level 1		
H. KRGC employees:			
i. Holly Anderson	Level 1		
ii. Corbin Spellman	Level 1		
I. Boot Hill Casino and Resort employees:			
i. Stacy Bush	Level 2		
ii. Emma Cecil	Level 2		
iii. Christopher Conrardy	Level 2		
iv. Jessica Dominguez	Level 2		
v. Kathy Konrade	Level 2		
vi. Larry Lemaster	Level 2		
vii. Souk Luangchia	Level 2		
viii. Terry Lucas	Level 2		
ix. James Myers	Level 2		
x. Dominique Owens	Level 2		
xi. Jimmy Parks	Level 2		
** ** 1 T . 1	T 10		

Level 2

xii. Raymond Ritchey

Kansas Racing and Gaming Commission February 17, 2011 Page 6

xiii.	Kayla Schriner	Level 2
xiv.	Renata Troncoso	Level 2
xv.	Ricardo Correa	Level 3
xvi.	Leonardo Garcia	Level 3
xvii.	Sandra Ventura	Level 3

Motion passed unanimously.

MOTION, DENY LICENSE:

Commissioner Braun (Schwan) moved to deny the license requested for:

(K.)

I. Boot Hill Casino and Resort employee:

i. Sheena Gingrich

Level 2

Motion passed unanimously.

ADJOURN:

Commissioner Braun (McKechnie) moved to adjourn at 1:02 pm.

Motion passed unanimously.

SUBMITTED BY:

Barry L. Schwan Secretary

APPROVED BY:

William Falstad

Chair

AGENDA

KANSAS RACING AND GAMING COMMISSION 10:00 am, Friday, March 11, 2011

AUDITORIUM B

Dwight D. Eisenhower State Office Building
700 SW Harrison, Suite 460

Topeka, Kansas

- A. CALL TO ORDER
- B. APPROVAL OF AGENDA
- C. APPROVAL OF MINUTES
 - 1. Minutes of February 17, 2011
- D. CONSENT AGENDA

Items listed on the consent agenda are routine in nature. If requested by a commissioner, an item may be removed from the Consent Agenda and placed under Commission Items for further discussion and consideration.

- 1. Approvals and revocations for certain lottery facility games and related components
 - a. GLI approvals/revocations
- 2. Internal control amendment approvals
 - a. Boot Hill Casino and Resort internal control amendment approvals
- E. KANSAS LOTTERY COMMISSION REPORT
- F. LOTTERY GAMING FACILITY REPORTS/ITEMS
 - 1. Boot Hill Casino and Resort
 - a. February 2011 Gaming Revenue Report
 - 2. Hollywood Casino at Kansas Speedway
 - a. March 2011 Progress Report
 - 3. Kansas Star Casino
- G. COMMISSION ITEMS
 - 1. KHA Reimbursement from Kansas Bred Program

Commission Action:

Commission review and discussion

Staff Presentation:

Neysa Thomas, Interim Executive Director

Staff Recommendation:

Staff recommends approval

- a. Staff Memo
- b. KHA Letter
- c. Kansas Bred Registry Income and Cash Flow

2. Preliminary Approval of KRGC Gaming Regulation Changes

Commission Action:

Commission review and discussion

Staff Presentation:

Patrick Martin, Assistant Attorney General

Staff Recommendation:

Staff recommends approval

- a. Staff Memo
- b. K.A.R. 112-102-2 Gaming supplier and non-gaming supplier defined
- c. K.A.R. 112-104-5 Standard financial reports
- d. <u>K.A.R. 112-104-6 Annual audit; other reports; currency transaction reporting; suspicious transaction reporting</u>
- e. K.A.R. 112-104-42 Purchasing (new)
- f. K.A.R. 112-108-23 Dice: receipt, storage, inspections, and removal from use
- g. K.A.R. 112-110-1 Adoptions by reference
- h. <u>K.A.R. 112-110-14 Procedures for resolving EGM breaks in communication with the central computing system (new)</u>

H. PUBLIC COMMENTS

I. STAFF REPORTS

- 1. Interim Executive Director
- 2. Responsible Gambling Coordinator
 - a. Voluntary Exclusion Report
- 3. Director of Administration
 - a. Commission Calendar

J. EXECUTIVE SESSIONS

The Commission conducts executive sessions in accordance with the Kansas Open Meetings Act and all discussions are limited to the specified purposes listed in K.S.A. 75-4319. The Commission utilizes executive sessions to consult with the Commission's attorney, to discuss personnel matters, to protect the confidentiality of necessarily closed information, and to protect the integrity of gaming and finances.

- 1. Attorney-client communications
- 2. Background reports

K. OTHER BUSINESS/FURTHER COMMISSION ACTION

- 1. Consideration of proposed gaming licenses and renewals
 - a. Boot Hill Casino and Resort employee license renewals:

i.	Larry Honeycutt	Level 1
ii.	Kerry Kaiser	Level 1
iii.	Mark Kashuda	Level 1
iv.	Araceli Calderon	Level 2
٧.	Jeffrey Jacobson	Level 2

vi.	Sylvia Suarez	Level 3
b. 6	GTECH employee license renewals:	,
i.	Marcus Collette	Level 2
ii.	Derek Sparks	Level 2
iii.	Ronald Babineau	Level 3
c. E	Butler National employee license <i>re</i>	newal:
i.	Michael Tamburelli	Level 3
d. E	Bally employee licenses:	
i.	Kevin Connaughton	Level 3
ii.	Lakshmi Datla	Level 3
iii.	Obed Garza	Level 3
iv.	Joseph Johnson	Level 3
٧.	Edward MacGillivray	Level 3
vi.	Jesse Porchas	Level 3
vii.	Mathew Preslin	Level 3
viii.	Shirley Russell	Level 3
ix.	Mark White	Level 3
e. 6	STECH employee licenses:	
i.	Jason Apodaca	Level 2
ii.	John May	Level 2
iii.	Luc Aube	Level 3
f. B	Boot Hill Casino and Resort employe	ee licenses
i.	Juan Baez, Jr.	Level 2
ii.	Ruth Hammer	Level 2
iii.	Mathew Heinz	Level 2
iv.	Della Johnson	Level 2
٧.	Richard Martin	Level 2
vi.	Zam Marvilla	Level 2
vii.	James Morton	Level 2
viii.	Carlie Rouse	Level 2
ix.	Dulce Ruiz-Lerma	Level 2
х.	Jason Ward	Level 2
xi.	Martina Aguirre De Sandez	Level 3
xii.	Miriam Guillen	Level 3
xiii.	Jessica Marrufo	Level 3
xiv.	Daniel Martinez	Level 3
XV.	Yanerys Morejon-Cruz	Level 3
xvi.	Adelina Ventura	Level 3

L. ADJOURNMENT

Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Sam Brownback

Appointee: Dennis McKinney

Position: Member, Racing and Gaming

Commission

Expiration Date: January 15, 2015

Term Length: 4 years

Statutory Authority: K.S.A. 74-8803

• Statutory geographic representation Requirements (insert any that apply)

Party Affiliation: D

Congressional District: 1

County: Kiowa

Size Requirement (if any):

Other, specify:

- Statutory party affiliation requirement: N/A
- Statutory industry or occupation requirements: N/A

Salary: N/A

Predecessor: Barry Schwan

Board Composition Prior to Confirmation of New Appointee:

William Falsted- Chair

Carol Sader, Glen Braun, Kristine McKechnie, Barry Schwan

Kansas Senate

CONFIRMATION OVERSIGHT COMMITTEE

APPOINTMENT QUESTIONNAIRE

along with any names previously used)
Greensburg, KS 67054
(City, State, Zip)
F

* Information on this page will not be made public but is used by the KBI and Department of Revenue.

(for Committee)			
KBI Check:	N/A	In-Process	Complete
DOR Check:	N/A	In-Process	Complete

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "\sum " should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name: Dennis McKinney	
(please include title and middle name along	with any names previously used)
Position to which Appointed: Racing & Gaming Commission	
Appointing Authority: Governor	
Home Address: 1220 S. Main	Greensburg, KS 67054
(Street Address)	(City, State, Zip)
Business Name: Dennis McKinney Farm	
Business Address: See home address	
(Street Address)	(City, State, Zip)
Position Title: Owner	<u> </u>
Home Phone: (620) 723-2129 Business Phone:	Cell Phone: (620) 546-3678
Fax Number: (620) 723-2129 E-Mail Add	ress: flying7@sbcglobal.net
Kansas resident? ☐Yes / ☐No Date of Birth: 7-24-1	160Place of Birth: COLDWATER KS
Registered Voter? TES Party Affi	iliation: DEMOCRAT
Congressional District: Kansas Senate District: 33	Kansas Representative District: <u>Π</u>
Do you have the legal right to live and work in the United Sta	tes? ☑Yes / □No

Please answer the following questions numbered 1-43. Each question <u>MUST BE ANSWERED ON THIS ORIGINAL FORM</u>. If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

- 1. What is your educational background? Master of Urban Affairs (Public Administration), Wichita State University. BA, Political Science, Wichita State University.
- 2. Describe your employment experience. Include any expertise related to the position to which you were appointed. Crop & Livestock Production; familiar with livestock, including equine, nutritional requirements, illnesses, and treatments. Familiar with both business and government accounting.

st any professional licenses that you have obtained and include the number for each license. None. 4. Why do you feel you are a good candidate for the position to which you have been appointed? Good listener and consensus builder. 5. What do you see as the purpose or mission of the role to which you have been appointed? Impartial regulation of racing & gaming industry to insure proper, fair, and legal operations. 6. Military Service: List rank, date and type of discharge from active service. ☑None 7. Government Experience: List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service. None In graduate school worked as a management intern in the Department of Administration for the City of 8. Elective Public Office: List all elective public offices sought and/or held with dates of service. None Kansas State Treasurer, Jan. 2009 - Dec. 2010. State Representative, June 1992 - Dec. 2008. Kiowa County Commissioner, Jan. 1989 - May 1992. 9. Campaigns: Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement. As House Minority Leader assisted with a number of legislative races with indirect support and □No ☑Yes advice. 10. Honors and Awards: List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements. None A number of minor recognitions, nothing major. Best awards were 2007 Friend of 4-H award by Barber County 4-H Council and 2009 Friend of 4-H award by Comanche County 4-H Council. 11. Organization Affiliations: List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service. None First United Methodist Church of Greensburg with 3 years as Chairman of the Pastor-Parish Committee, will be returning to that role. Board member, Care & Share Thrift Store (owned by Kiowa County Churches). 12. Organization Restrictions: To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe. ☑No □Yes Issues: Have you ever been publicly identified, in person or by organizational membership, with a 13. particularly controversial national or local issue? If so, please describe. ☑No □Yes 14. Submission of Views: Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe. ☐No ☑Yes Don't remember when but probably have at some point in the past. 15. **Associations:** Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe. My brother-in-law, Wil Goering of Madison, Indiana, is an attorney who occasionally does legal □No ☑ Yes work for Pinnacle Entertainment. Services are provided in Indiana only. I have friends in Southern Kansas who raise horses. I personally own a somewhat broken down quarter horse (cattle work). Form 08/08 - Page 3

16/	Opposition: Do you know of any person or group who might take overt or covert steps to accept, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack No Tyes Can't think of any. But after 20 years in public office I am guessing there could be someone who would.
17.	Miscellaneous: List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills. None Member of the Board of Directors of Peoples Bank, Coldwater, KS. Member of the Board of Directors of
18.	Pratt Regional Medical Center (just started). Relationship to Governmental Employees: Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details. No Tyes
19.	Compensation: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain. ☑No □Yes
20.	Business Relationships: Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state. None I have done campaign fundraising, all of which is publicly disclosed. I do not believe any of this presents a conflict or appearance of conflict of interest.
21.	Transactions with Officials: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain. □No ☑Yes Jointly own a residence with Rep. Bob Grant, Rep. Eber Phelps, Rep. Jerry Williams through College Hill Residential, LLC.
22.	Spouse or Other Family Members: If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state. ☑No □Yes
23.	Lobbying Activities: Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.
24.	Regulated Activities: Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state. None Member of the Board of Directors of Peoples Bank, Coldwater, KS. Member of the Board of Directors of Pratt Regional Medical Center (just started).
	Form 08/08 - Page 4

25.	ther: Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state. None
26.	Conflict of Interest: How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise? As an elected official I periodically consulted with the Ethics Commission to prevent and avoid problems. Same.
27.	Citations: Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.
28.	Convictions: Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain. ☑No ☐Yes
29.	U.S. Military Convictions: Have you ever been convicted by any military court? If so, please provide details. ☑No ☐Yes
30.	Imprisonment: Have you ever been imprisoned, been on probation or been on parole? If so, please provide details. ☑No ☐Yes
31.	Agency Proceedings/Civil Litigation: Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details. ☑No ☐Yes
32.	Agency Proceedings and Civil Litigation of Affiliates and Family: a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
	b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.) ☑No □Yes

÷ ,	which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe. No Yes State Treasurer named as one of the defendants in recent school finance lawsuit. b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe. No Yes
34.	Drivers License: Has your driver's license ever been suspended or revoked? If so, please describe. ☑No ☐Yes
35.	Parking Tickets: Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain. ☑No □Yes
36.	Security Clearance Denial: Have you ever been denied a military or other governmental clearance? If so, please explain. ☑No ☐Yes
37.	Firings: a.) During the past ten years, have you been fired from a job for any reason? If so, please explain. No Tyes Did lose an election in November 2010.
•	 b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain. ☑No ☐Yes
	c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain. ☑No □Yes
38.	Alimony and Child Support: Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain ☑No □Yes
39.	Consumption of Alcohol: Have you ever or are you currently abusing alcohol? If so, please explain. ☑No □Yes
40.	Controlled Substances: Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain. No Yes Tried marijuana when of high school age. Quickly decided no way.
41.	Physical Examination: If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? ☐No ☐Yes If there is a clear reason for doing so.

42. Gamental Delinquencies: Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.

☑No □Yes
43. Other: Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.

☑None
Please include resume and completed Statement of Substantial Interest not more than twelve months old.
REFERENCES

	REFERENCES	to the last of the second
Name:	Knows you how?: Friend & Neighbor	**************************************
Address: 621 S. Spruce, Greensburg, KS 67054	i in entresión de e	
Home Phone: (620) 723-2991		(City, State, Zip)
•		
Name:	_ Knows you how?:	d together
Address:	• .	
Home Phone: (620) 635-4448	Business Phone:	(City, State, Zip)
·		
Name:	Knows you how?:	r Januarya ya kata wa kata wa kata a
Address: 721 W. lowa, Greensburg, KS 67054		
Home Phone: (620) 723-3054	Business Phone: (620) 388-14	(City, State, Zip) 12
The state of the s	and the same of th	
Name: Dale Hayse	Knows you how?:	/ Leader
Address: 14058 17th Ave.	Mullinville, KS	67102
Home Phone: (620) 548-2569	'	(City, State, Zip)

AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

Signature	Dan' my	Date 1 (15) 11	
			

Kansas

Senate

CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

Dennis McKinney I,	acknowledge that as part of the
(print name)	<u> </u>
Senate Confirmation Oversight Committee process I will	
 be subject to a criminal records background investigation; and 	stigation by the Kansas Bureau of
• have my tax records released by the Kansas Depa	artment of Revenue.
Such information will not be released to the general publ at the appropriate time by:	ic, but will be made available for reviev
• Myself;	
 My appointing authority; 	
Chairperson of the Senate Confirmation Oversight	at Committee; and
The Vice Chair of the Senate Confirmations Over	rsight Committee.
By signing the "Authorization and Certification" section Oversight Committee questionnaire, the Kansas Departmenter release my tax information and the Kansas Bureau of Invacriminal background investigation on me and provide to individuals.	ent of Revenue will be authorized to restigation will be authorized to conduct
Signature Om: mrk	Date
	Form 08/0



STATE OF KANSAS



Sec. of St. bar code

KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and Definition" section provided with this form for additional assistance in

	IDENTIFICATION:	PLEASE TYPE OR P	RINT			
	Makinner	Jennis				
	Last Name	First Name	e		MI	
	Jean					
	Spouse's Name					
	1220 S. Main					
	Number & Street Name, Apartm	ent Number, Rural Route, o	r P.O. Box 1	Number		
	Greenshire	cs 67054				
	City, State, Zip Code					
	124 523 2426		100 5	46 36	78	
	Home Phone Number (include a	rea code)	Business I	hone Numb	er (include area code	
	THIS FORM IS REQUIRED (check one or m 1. State Elected Official)	FO BE FILED BECAUSE nore of the following) (Governor, Lt. Governor, A	YOU ARE	ieral, Comm	nissioner of Insurance	,
	THIS FORM IS REQUIRED (check one or m (check one or m 1. State Elected Official State Treasurer, Secre Education, or Districe 2. Appointed Member of 3. Appointed State Posi 4. Employee of a State 5. General Counsel for 6. Candidate for State of 7. Other (Contractor / M	TO BE FILED BECAUSE nore of the following) I (Governor, Lt. Governor, Actary of State, State Senator Attorney); of a State Board, Council, Cotion is Subject to Senate Co Agency or University; State Office; Member of Compact).	YOU ARE. Attorney Ger , State Repres	eral, Commesentative, M	nissioner of Insurance Member of State Board	,
st N	THIS FORM IS REQUIRED (check one or m (check one or m 1. State Elected Official State Treasurer, Secre Education, or District 2. Appointed Member of 3. Appointed State Posi 4. Employee of a State 5. General Counsel for 1 6. Candidate for State of 7. Other (Contractor / M Caras	IO BE FILED BECAUSE nore of the following) I (Governor, Lt. Governor, Actary of State, State Senator Attorney); of a State Board, Council, Cotion is Subject to Senate Co Agency or University; State Office; Member of Compact).	YOU ARE Attorney Ger , State Repre ommission on infirmation;	eral, Commesentative, Mar Authority;	nissioner of Insurance Iember of State Board	,
st N	THIS FORM IS REQUIRED (check one or m (check one or m 1. State Elected Official State Treasurer, Secre Education, or Districe 2. Appointed Member of 3. Appointed State Posi 4. Employee of a State 5. General Counsel for 6. Candidate for State of 7. Other (Contractor / M	IO BE FILED BECAUSE nore of the following) I (Governor, Lt. Governor, Actary of State, State Senator Attorney); of a State Board, Council, Cotion is Subject to Senate Co Agency or University; State Office; Member of Compact).	YOU ARE Attorney Ger , State Repre ommission on infirmation;	eral, Commesentative, Mar Authority;	nissioner of Insurance, Iember of State Board t not acronyms)	,
	THIS FORM IS REQUIRED (check one or m (state Treasurer, Secre (Education, or District (Education) (Educat	IO BE FILED BECAUSE were of the following) I (Governor, Lt. Governor, Actorney); If a State Board, Council, Cotion is Subject to Senate Co Agency or University; State Office; Member of Compact). In if applicable (May use acrial security number will aid	Attorney Ger , State Represommission on infirmation;	neral, Commesentative, Mor Authority; eviations but	issioner of Insurance Iember of State Board t not acronyms)	of

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SS	I of:	1	 	 		

C. OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

2

If you have nothing to report in Section "C", check here

		<u></u>		
BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1. Denois makinger 1220 s. mai Greenshus	FARM	Agricultural	100-70	Dfmr 3
2 College Hill Residential Le	•	Residential Replication	16.47%	D613
3. Percht Coop Asin. Wright, 125	As. Coop	Atropse	٠,	Dennis
4. Fromos Coop, Hardand, 165	As- Loop	Patriase Stock	>,	Sinon S.
5. Southern Plains Coop Lewis, KS	As. coep	Patronase Stock		Dennis
6. Fiplolity Frols Boston, MA	Mohal	strole		Dennis
7. Wells Farso SAM Francisco, CA	nutrel	Stocke		Dennis
8. U.S. Premim Beet Kansas City, Mo	Beef Processions	(Product)		Dannis
9.				
10.				

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.		
2.		
3.		

		3 SSI o	of:
E.		or spouse received \$2,000 or more in return for services rendered, or deral income tax returns. YMENT OR OTHER BUSINESS AS SECTION "B", CHECK HE	in compensation (salary, thing of r to be rendered), which was IN THE PRECEDING
1.57	NAME OF BUSINESS	ADDRESS TOpelce	State Treasurer
	2. SPOUSE'S PLACE(S) OF EMP CALENDAR YEAR. If you have nothing to report in	LOYMENT OR OTHER BUSIN	ESS IN THE PRECEDING
	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1. 50	ith Central 165 Special Ed. Coop	Pratt, Ks	Special Ed. Coop
2.			
F.	OFFICER OR DIRECTOR OF AN Obusiness in which you or your spouse he the time of filing, irrespective of the aminsert additional page if necessary to configure the section of the section.	old a position of officer, director, a count of compensation received for mplete this section—	associate, partner or proprietor at

BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1. Peoples Banks P.O.Box 727 Coldwater, US 0	Director Director	Donnis
Doub Door 1 a land Co tio		Dennis
2. FERTI TEESTMAN MEDITAL CENTURY Prattiles 3. Care -n- share thrift store, No councid by livera Comb Ministerial 1	a Aprit Director	Denno
owned by illowa Comby Ministerial !	Thank, Girknsburs	
4.		
5.		
6.		
7.		
8.		

G.	RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section. If you have nothing to report in Section "G", check here						
	if you have nothing to report in Section	G, check he	ic IX_I.	•			
	NAME OF CLIENT / CUSTOMER		ADDRES	s	RECEIVED BY		
1.							
2.	and the second s						
3.							
4.				Company of the Company of the Compa			
5. 6.							
7.				<u> </u>			
8.							
9.							
10.							
11.							
13.							
Н.	I, Denois Mck. was accompanying pages and statements) has true, correct and complete statement of understand that the intentional failure to statement is a class B misdemeanor.	is been exami all of my subs	stantial interests and o	best of my know other matters requ	ledge and belief is a nired by law. I		
	Date		Signature of Person N	laking Statemen	t :		
			3				
NUN	BER OF ADDITIONAL PAGES		And the second s	4 ** - 2 *			
	rn your completed statement to the Secreta ka, Kansas 66612-1594.	ary of State, E	lections Division, Me	morial Hall, Firs	t Floor, 120 SW 10		
	Print Form				Reset Form		

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Kansas Legislative Research Department

SSI of:

Appointments and Confirmations Handbook

4-14



Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Sam Brownback

Appointee: Jay Shadwick

Position: Member, Racing and Gaming Commission

Expiration Date: January 15, 2015

Term Length: 4 years

Statutory Authority: K.S.A. 75-4323

 Statutory geographic representation Requirements (insert any that apply) Party Affiliation: R

Congressional District: 3

County: Johnson

Size Requirement (if any):

Other, specify:

- Statutory party affiliation requirement: N/A
- Statutory industry or occupation requirements: N/A

Salary: N/A

Predecessor: Carol Sader

Board Composition Prior to Confirmation of Appointee:

William Falsted-Chair

Carol Sader, Glen Braun, Kristine McKechnie, Barry Schwan

JAY T. SHADWICK

10807 W. 140th Terrace Overland Park, Kansas 66221 (913) 897-0664 home (913) 488-0998 cell jshadwick@kc-dsdlaw.com

EDUCATION:

Salina Central High School - Salina, Kansas (1980)

University of Kansas - Secondary Education, B.S. (1984) University of Arkansas School of Law - Juris Doctor (1987)

EMPLOYMENT:

Attorney with Duggan, Shadwick, Doerr & Kurlbaum, P.C. (1994-present)

Attorney with Armstrong, Teasdale, Schlafly & Davis (1987-1993)

· Licensed to practice in Missouri, Kansas and the United States Supreme Court, specializing in real estate, banking, and business transactions

- Licensed Mediator in Kansas (possibly not current)
- · Licensed Sports Agent/Advisor in the State of Kansas with LS Sports Management, LLC

PROFESSIONAL ASSOCIATIONS: Kansas Bar Association; The Missouri Bar; Johnson County Bar Association;

Kansas City Metropolitan Bar Association

COMMUNITY INVOLVEMENT: Johnson County Airport Commission (4th District) (2002-2005)

Johnson County Republican Party (Chairman 1996-1998)

Advisory, Board: FCA National Baseball Ministry (2003-2007)

Elder Chairman: Christ Community Evangelical Free Church (2004-2008) Board of Trustees: The Clapham Institute (Annapolis, Md) (2006-2010)

Board Member: CrossFirst Holdings, LLC (present) Board Member: CrossFirst Bank Leawood (present)

Guest Columnist - Kansas City Star

PERSONAL:

Dianne Wife:

Mary (19), Graham (17), Blake (13) Children:

August 2, 1962 (Great Bend, Kansas) Rirth Date:

Business Address:

Duggan, Shadwick, Doerr & Kurlbaum, P.C. 11040 Oakmont, Overland Park, Kansas 66201 (913) 498-3536 phone/(913) 498-3538 fax

Kansas Senate

CONFIRMATION OVERSIGHT COMMITTEE

APPOINTMENT QUESTIONNAIRE

Full Name:	Thomas Jay 電気	radwick			
	(please polude title and mi	iddle name along with any names previous!	y used)		
Home Address: 10807	W. 140th Terr.	Overland fair f	" Ks 66	771	
Driver's License Number:	(Street Address)	Social Security Number		ate, Zip)	
Position to which Appointed	i: MEMBER	RACIWA & GAM	11NG C	DMMIS	SION
Appointing Authority:	Governor				
	•				

* Information on this page will not be made public but is used by the KBI and Department of Revenue.

(for Committee use only) KBI Check: N/A	 Complete
DOR Check: N/A	

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "\(\sigma\)" should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

TOTILL.
Full Name: Jay Thomas Shadwick Full Name: Jay Thomas Shadwick Full Name along with any names praying years)
bless mind coming coming coming coming
Position to Which Appointed.
Appointing Authority: Goodnor Dund Apply V4 hhzzi
10007 [1] 1411 1 PN NUBIQUE 100 FIFT OVE -1
Appointing Authority: Home Address: 10807 W. 140 to Ten Overland Park K3 66221 Business Name: Auggan, Shadwick, Doert Kuchalim, P.C. Business Name: Deland Ock K3 66210
Business Name: Diggan, Thadwith, Dolly & Nut realism, 12
Business Address: 1540 Varmon Volume Fur (City, State, Zip)
Attamer / Shareholder
7. \ Z z
10. 2 444-2520 E-Mail Address: 13 NadWicker Road laws worth
Fax Number: (47) 110 000
in the Fiver / The Date of Bitth: 72 & Vo 11100 01211
Party Affiliation: Party Affiliation:
Congressional District: 3.4 Kansas Senate District: 37 Kansas Representative District: 48
Congressional District: Ransac Solates Ransac Solates Ransac Solates
Do you have the legal right to live and work in the United States? Yes / No
MUST BE ANSWERED ON

Please answer the following questions numbered 1 – 43. Each question <u>MUST BE ANSWERED ON THIS ORIGINAL FORM.</u> If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

1 \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	nat is vour educa	tional backgrou	nd? ,	12 . 14 s.	1 and Schanl	- Univ. of Arkan	दंबर
High	Gool - Salma	Central	College -	anv expertise re	elated to the position	Univ. of Av Kan on to which you - 1943)	• • •
2. J De	scribe your emp re appointed.	Armstrand	Teasdale	lawfirm - F	Horney (1987.	- 1993)	
	Duo	our Shadwill	E, et.al -	Altorney (19	194-present)	. Form 08/08 - Page 2	
		74.1				TI-wilhook -	

3. Kans 4. Lx 5.	List any professional licenses that you have obtained and include the number for each license. 345 Bar #13487 M55ar. Bar #137227 Kg Sports Agent (\$115/09) Why do you feel you are a good candidate for the position to which you have been appointed? Usal Dacker and Teal estate record from the position to which you have been appointed? What do you see as the purpose or mission of the role to which you have been appointed? Oursight and Implementation of regulatory process Military Service: List rank, date and type of discharge from active service.
7.	Government Experience: List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service. \[\textsquare \tex
8.	Elective Public Office: List all elective public offices sought and/or held with dates of service. None
9.	Campaigns: Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement. No Eyes Johnson County Republican Party Chairman (1996-1998)
10.	Honors and Awards: List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements. ☑None
11.	Organization Affiliations: List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service. — Charist Community Evangulical Free Church (Elder None — The Charlest Road of Tratest (2006-2010)
12.	Organization Restrictions: To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe. No Yes
13.	Issues: Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe.
14.	Submission of Views: Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe. No Types I wrote columns for KC Star between 1996-2009 but Associations: Have you ever had any association with any person, group or business venture that
15.	Associations: Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe. No \(\sum \text{Yes}\)

16.	Opposition: Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack. ☑No ☐Yes
17.	Miscellaneous: List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills. Usry good at leading small groups or participating in board in board. One disussibile. I also have a sansble worldvilw and government phil
18.	Relationship to Governmental Employees: Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details. No \(\subseteq\text{No }\subseteq\text{Yes}\)
19.	Compensation: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain.
20.	Business Relationships: Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.
21.	Transactions with Officials: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain.
22.	Spouse or Other Family Members: If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state.
23.	Lobbying Activities: Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.
24.	Regulated Activities: Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state. INone I am a shareholder member and director of Cosstruct Hiddays, LLC which pums Cosstruct Bank and Cosstruct Form 08/08 - Page 4

25.	Other: Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.
26.	Conflict of Interest: How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise? I would immediately notify the bourner and partitions: Have you ever been cited for a breach of ethics for unprofessional conduct, or been cited for a breach of ethics for unprofessional association, disciplinary
27.	Citations: Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.
28.	Convictions: Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain. No Zyes I had a reckless driving citation at 16 yrs old
29.	U.S. Military Convictions: Have you ever been convicted by any military court? If so, please provide details. ☑No □Yes
30.	Imprisonment: Have you ever been imprisoned, been on probation or been on parole? If so, please provide details. ÑNo □Yes
31.	Agency Proceedings/Civil Litigation: Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details. No Yes
32.	Agency Proceedings and Civil Litigation of Affiliates and Family: a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details. No \(\subseteq \text{Yes} \)
	b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)

33 1.5.	Other Litigation: a.) Other than the litigation described in question 32, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe. Cross First Bank is involved in Ityation from time to him. No XYes
	b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe. No Yes
34.	Drivers License: Has your driver's license ever been suspended or revoked? If so, please describe. ☑No ☐Yes
35.	Parking Tickets: Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain.
36.	Security Clearance Denial: Have you ever been denied a military or other governmental clearance? If so, please explain. XNo XYes
27	Firings: a.) During the past ten years, have you been fired from a job for any reason? If so, please
37.	explain. No UYes
	b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain. 区No □Yes
	c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain. ∠No □Yes
38.	Alimony and Child Support: Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain No IYes
20	Consumption of Alcohol: Have you ever or are you currently abusing alcohol? If so, please
39.	explain.
40.	Controlled Substances: Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain No Tyes
41.	Physical Examination: If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test?
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- Governmental Delinquencies: Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.
- Other: Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.

 None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

DEFEDENCES

IGH ERGINGES
Name: Ron Baldysin Knows you how?: Involvement with Coss First Bud
Address: 11775 College Blvd, Suite 150, Werland Park, K3 66210 (City, State, Zip) Home Phone: (913) 787-3696 (ell Business Phone: (913) 754-9702
Home Phone: (913) 787-3696 (ell Business Phone: (913) 754-9702
Name: Tom Nelson Knows you how?: Pastor
Address: 14200 Kenneth Road, Leawood, Ks 66204
Address: 14200 Kenneth Road, Leawood, K5 66204 Home Phone: (913) 908-3813 (all Business Phone: (913) 685-1161
Name: Tyler Oliver Knows you how?: Client
Address: 13386 Meteal & Ave., Overland Park Ks 6623 (City, State, Zip)
Home Phone: (913) 706 - 4745 (all Business Phone: (913) 498-9900
Name: Brian Dolr Knows you how?: Parther and Friend (30 yrs
Address: 11040 Oakmost Overland Park, KS 66210
Name: Brian Dolor Knows you how?: Parther and Friend (30 yrs Address: 1040 Oakmost Overland Part, K3 66210 Home Phone: (913) 486-8560 Cell Business Phone: (913) 498-3536

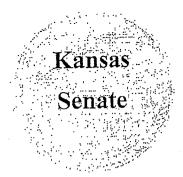
AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

Signature

Date



CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

I, Jay T. Shadwill acknowledge that as part of	acknowledge that as part of the
--	---------------------------------

Senate Confirmation Oversight Committee process I will:

- be subject to a criminal records background investigation by the Kansas Bureau of Investigation; and
- have my tax records released by the Kansas Department of Revenue.

Such information will not be released to the general public, but will be made available for review at the appropriate time by:

- Myself;
- My appointing authority;
- Chairperson of the Senate Confirmation Oversight Committee; and
- The Vice Chair of the Senate Confirmations Oversight Committee.

By signing the "Authorization and Certification" section (on page 8) of the Senate Confirmation Oversight Committee questionnaire, the Kansas Department of Revenue will be authorized to release my tax information and the Kansas Bureau of Investigation will be authorized to conduct a criminal background investigation on me and provide that information to the appropriate individuals.

Signature Date 1/12/11

Form 08/08



K.S.A. 46-247 and 46-248 and amendments thereto, requires the following individuals to file a written Statement of Substantial Interests within 15 days of appointment and annually thereafter between April 15th and April 30th, inclusive, so long as the act applies to the individual:

(a) Legislators.

(b) Individuals holding an elected office.

(c) All state employees listed as designees by their agency head.

(d) All board members listed as designees by their agency head.

(e) All general counsels for state agencies.

(f) Any individual whose appointment to office is subject to confirmation by the senate.

(g) Private consultants under contract with any agency of the state.

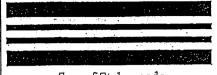
(h) Any faculty member who receives an annual salary of \$50,000 or more, who is employed by a state education institution as defined by K.S.A. 76-711.

Also, K.S.A. 46-248 requires candidates for nomination or election to a state office to file a written Statement of Substantial Interests on June 10, 2006 or within 10 days thereafter, unless within that period the candidacy is officially declined or rejected.

Please print and read the Guide before completing your Statement of Substantial Interests.

You may complete this form (next 4 pages) using your computer, print it and mail it in or you can complete and file your Statement of Substantial Interests form electronically through and with the Kansas Secretary of State's Election Division at: http://www.kssos.org/elections/ssi_online.asp

GO TO NEXT PAGE(s) for FORM







Sec. of St. bar code

KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the

IDE	ENTIFICATION:	PLEAS	E TYPE OR PRINT	<u>.</u>
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D	Figure Shad	with		w
Spo	ouse's Name			
	0807 W. 12			·
Nu	mber & Street Name,	Apartment Number	, Rural Route, or P.O. Box N	Number
	Werland Park			
Cit	y, State, Zip Code			
	(9,3) 897-1	664	(913)49	8-35 <i>36</i>
	ome Phone Number (i.			Phone Number (include area code)
	(check	one or more of the	ED BECAUSE YOU ARE following) Lt. Governor, Attorney Ger	
	1. State Elected State Treasu Education, c 2. Appointed M 3. Appointed S 4. Employee o 5. General Cou 6. Candidate fo	one or more of the jail Official (Governor rer, Secretary of Stair District Attorney); Member of a State Betate Position is Subjain a State Agency or insel for State Office	following) The Covernor, Attorney General Representation of the State Senator, State Representation, Commission of the Council, Commission of the Council Representation; University; The Council Representation of the Council Representation; University;	neral, Commissioner of Insurance, esentative, Member of State Board o
List Name	1. State Elected State Treasu Education, c 2. Appointed Management State Treasu Education, c 2. Appointed Management State Treasu Education, c 3. Appointed Management State Treasu Education, c 4. Employee o 5. General Could State for Candidate for Control Contro	one or more of the part of a State But of a State Agency or consel for State Office; ractor / Member of the part o	following) c, Lt. Governor, Attorney Gere, State Senator, State Representation, Commission of lect to Senate Confirmation; University; c; Compact). 1. Position (You may use abbuttle (May use acronyms) In number will aid in identifyite.	neral, Commissioner of Insurance, esentative, Member of State Board of Authority; reviations but not acronyms)

C. <u>OWNERSHIP INTERESTS</u>: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1. Duggen, Shadwith Doerr + Kurlbaum, F	C Law Frm	13 shareldde	1/3	Jay Shadurk
2. DSD Development, LLC	Real Estate Dunership	1/3 Member	1/3	Tay Shedunk
3. HGS Developers, LLC	Raul Estate Duraeshy	1/3 Member	1/3	Real Est the LL
4. LS Sports Management, LLC	Sports Agency	1/2 Member	1/2	Ladure K
s. A Mare Civil Society, LLC	Public Interest	100% Member	188%	Juy Strudente L
a. Cross First Holdings, LLC	Sur Holding Canomy, LLC	25,000 Un.75	less than	Jayr Dia ne Shadusch
7. Woogie World, Inc.	_ Education	30,000 shares	restigible	Jay Shidurk
8. American Funds (Simple/SEP TRA)	Mritigal Funds	\$231,599 as of 12/31/10	100%	Juy Shadwek
9. Lincoln Tonumetal Advisors (IRA)	Mutual Terrols	\$47,652 as 0 € 12/31/10	100%	Foy Shadwik
10.				

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here

.7 .	NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED		ADDRESS	RECEIVED BY:
1.		 		
2.		 		
3.		 <u> </u>		

- E. RECEIPT OF COMPENSATION: List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.
 - 1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE _____. If you have nothing to report in Section "E"1, check here _____.

T- 455 - 1, 5 2 2	127		TYPE OF BUSINESS
NAME OF BUSINESS	477	ADDRESS ADDRESS	
1. Diggan Shadwick Poerry Kirls	aun Pil	11940 Oaknort, Norrland Park 1360210	Lew Firm-Salary
2 Crossfort Holdings Nie		11225 College Blad Switc 150	But - Directors tees
2-1977110 2 1300 122		Overland Park, RS 66210	

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.

If you have nothing to report in Section "E"2, check here ...

NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
THOME OF DOCUMENT		
1.		
1 2.	 	

F. OFFICER OR DTRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section. If you have nothing to report in Section "F", check here.

BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1. Duggan, Shindwith, Doerr & Hur Dum, P. C.	V.P., Sweetholder,	Joy Shadwith
11040 Dakmint, Overland Park, Ke 5520	Director	
2 Coass First Bank Leavond	Director	Jay Shadwick
11225 College Grod, Sent 250, Welland Book Ks 6620		•
3. Cross First Holdings. LLC	Director	Ing Shadwill
11225 College Blod. Oberland Park, K360210	10 10 100	Jay Studentil
4. Sportan Rem Estate, inc	Owner I Member	Jay Jasan
HO40 Daknert Overland Park K3 66200	and Don the	Jay Shadurck
5. Herpson A Mare Civil Society, ENC	Proper President	say mader w
11040 Dakmont, Beland Parks Rs 66260	Owner/Munager	Jay Shadust
6. L9 Sparts Management, Und	Johnson, manager	Jey manner
1011 Ouknest Dustand Park K& 66210		
7. 1960		
8.		

CCT	of.	
207	ه مدانيا	State of the state

RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or G. commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

3334	NAME OF CLIENT / CUSTOMER		<u> </u>	ADDRESS		RECEIVED BY
HANANA	MAME OF CLIENT/ COSTOMER MANUSCHUCKSTONER Cross First Ho	Idwas LLC	11225 bolled	e Blod. Si	te150	Jay Sheden
1. 12044	- Director Fee	7'	Overland	d Park. K	\$ 66210	
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3.						
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13.					<u> </u>	l a W

H.	DECLARATION:
	I, Joy Holdwith, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false
	statement is a class B misdemeanor.

NUMBER OF ADDITIONAL PAGES

Return your completed statement to the Secretary of State, Elections Division, Memorial Hall, First Floor, 120 SW 10th, Topeka, Kansas 66612-1594.

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Dr	nt Form
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Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Sam Brownback

Appointee: Timothy Shultz

Position: Member, Racing and Gaming Commission

Expiration Date: January 15, 2013,

to fill an unexpired term

Term Length: four years

Statutory Authority: K.S.A. 74-8803

 Statutory geographic representation Requirements (insert any that apply) Party Affiliation: R

Congressional District: 2

County: Shawnee

Size Requirement (if any):

Other, specify:

- Statutory party affiliation requirement: N/A
- Statutory industry or occupation requirements: N/A

Salary: N/A

Predecessor: Kristine McKechnie

Board Composition Prior to Confirmation of Appointee:

Glenn R. Braun, Jan. 15, 2012 William J. Falstad, Jan. 15. 2012 Kristine A.K. McKechnie, Jan. 15, 2009 Carol Sader, Chair, Jan. 15, 2011 Barry L. Schwan, Jan. 15, 2011



Timothy Shultz

Originally from Dodge City, Tim graduated from Washburn University with a Bachelor of Arts Degree including a double major in History and Political Science and a minor in English Literature. While at Washburn, he was inducted into the Honorary History Society and was named an All American Scholar. He received his Juris Doctor degree from Washburn University School of Law in 1993 and was admitted to the Kansas Bar the same year.

After graduation, Tim practiced law in Dodge City, Manhattan and Topeka. In 1993 Tim completed mediation training with CDR Associates in Boulder, Colorado. In 2002, Tim joined Parker & Hay, LLP. He is admitted to practice in the state courts of Kansas and Nebraska, United States District Courts for the Districts of Kansas, Nebraska, the Eastern and Western Districts of Arkansas, the Western District of Missouri and the Tenth Circuit Court of Appeals.

His practice includes business, banking and transactional law, insurance and professional liability defense litigation in the areas of lender liability, financial institution bonds, professional liability, UCC negotiable instruments law, employment and civil rights law; construction law; and general insurance defense and litigation.

Tim is a member of the Topeka Bar Association, Kansas Bar Association, American Bar Association, the Kansas Association of Defense Counsel and the Nebraska State Bar Association.

TIMOTHY A. SHULTZ

4426 NW Green Hills Rd., (785) 286-2381 Home

Topeka, KS 66618 (785) 806-3599 Cell

WORK EXPERIENCE

Parker & Hay, LLP (Topeka, KS)

Attorney/Partner

2002 - Present

-Primary areas of practice are business litigation and business and transactional law. Practice includes business, banking and transactional law, insurance and professional liability defense litigation in the areas of lender liability, financial institution bonds, professional liability, UCC negotiable instruments law, employment and civil rights law, and general insurance defense and litigation.

Gehrt & Roberts, Chtd. (Topeka, KS)

Attorney

1999-2002

-Primary areas of practice were general business and transactional law, business litigation, and insurance defense.

Morrison, Frost & Olsen, P.A. (Manhattan, KS)

Attorney

1999

-Primary areas of practice were municipal legal representation for the City of Manhattan, general business law and litigation, as well as general practice and litigation.

Capital Financial Strategies (Topeka, KS)

Attorney/Financial Investments 199

-General compliance issues and investment advising.

Commercial Federal Bank (Dodge City, KS)

Attorney/Bank Manager

1997-1998

-Provided general oversight of banking staff, as well as managed branch and loan officer.

Shultz & Shultz, Chtd. (Dodge City, KS)

Attorney

1993-1997

-Primary areas of practice were general business and transactional law, general practice and litigation.

ASSOCIATIONS, ADMISSIONS, AND MEMBERSHIPS

PROFESSIONAL ASSOCIATIONS:

-Kansas Bar Association

1993-Present

-Nebraska Bar Association

2002-Present

-American Bar Association

COURT ADMISSIONS:

-Kansas State Courts	1993-Present		
-US Dist. Court, District of KS	1993-Present		
-US Dist. Court, Western Dist. Of MO	2001-Present		
-Tenth Circuit Court of Appeals	2002-Present		
-Nebraska State Courts	2003-Present		
-US Dist. Court, District of NE	2002-Present		
-US Dist. Court, East./West. Dist. AR	2004-Present		
-US Dist. Court, District of CO	2009-Present		
-US Dist. Court, Western Dist. OK	2009-Present		
-Various other State courts via pro hac admission.			

GENERAL ASSOCIATIONS/MEMBERSHIPS:

- -Kansas Livestock Association
- -American Angus Association
- -Kansas Angus Association
- -Kansas Association of Defense Counsel

COMMUNITY INVOLVEMENT and NON-LEGAL BUSINESS AFFILIATIONS

- -Topeka Bible Church, Topeka, KS
 - -Past Elder; Sunday School Teacher for Young Marrieds; prior Small Groups coordinator.
- -First Southern Baptist Church, Dodge City, KS
 - -Youth Director; leader of various small groups.
- -Triple Cord Cattle Company, LLC

Member

- -Small Angus cow-calf operation with a friend.
- -Mediation training from CDR Associates in Boulder, CO in 1993.

Kansas Senate

CONFIRMATION OVERSIGHT COMMITTEE

APPOINTMENT QUESTIONNAIRE

es previously used)
Topeka, KS 66618
(City, State, Zip)
Number:

•

(for Committee u	ise only)		į
KBI Check:	N/A	In-Process	Complete
DOR Check:	N/A	In-Process	Complete

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "\(\sigma\)" should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name: Timothy Aaron Shultz				
(please include title and middle name along with any names previously used) Position to which Appointed: Kansas Racing and Gaming Commission				
•				
Appointing Authority: Governor				
Home Address: 4426 NW Green Hills Road	Topeka, KS 66618			
(Street Address) Business Name: Parker & Hay, LLP	(City, State, Zip)			
Business Address: 400 S. Kansas Ave., Ste. 200, Topeka, KS 66603				
(Street Address) Position Title: Partner/Attorney	(City, State, Zip)			
Home Phone: (785) 286-2381 Business Phone: (785) 228-5736	Cell Phone: (785) 806-3599			
Fax Number: (785) 228-5741 E-Mail Address: tshult	z@parkerhaylaw.com			
Kansas resident? ☑Yes / ☐No Date of Birth: 03/04/1967 Place	of Birth: Dodge City, KS			
Registered Voter? Yes Party Affiliation: Re	epublican			
Congressional District: 2 Kansas Senate District: 18 Kansas	Representative District: 50			
Do you have the legal right to live and work in the United States? ☑Yes / ☐No				

Please answer the following questions numbered 1 – 43. Each question <u>MUST BE ANSWERED ON THIS ORIGINAL FORM</u>. If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

- 1. What is your educational background? Dodge City High School; Washburn University BS History & Political Science; Washburn Law School JD. See Resume
- 2. Describe your employment experience. Include any expertise related to the position to which you were appointed. Practice of law for past 18 years. See Resume.

4.	List any professional licenses that you have obtained and include the number for each licenses. 25324; KS Sup. Ct. #: 16060; Nebraska Bar #: 22533 Why do you feel you are a good candidate for the position to which you have been appointed?
5.	Because I am neither an opponent of nor advocate for gaming. What do you see as the purpose or mission of the role to which you have been appointed? To oversee gaming activities in Kansas in a responsible manner for the benefit of Kansas.
6.	Military Service: List rank, date and type of discharge from active service. None
7.	Government Experience: List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service. None
8.	Elective Public Office: List all elective public offices sought and/or held with dates of service. None
9.	Campaigns: Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement. ☑No ☐Yes
10.	Honors and Awards: List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements. None Academic scholarships in college; Honorary History Society; All American Scholar.
11.	Organization Affiliations: List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service. None KS Bar Association; NE Bar Association; KS Assoc. of Defense Counsel; American Bar Assoc.; KS Livestock Assoc.; American Angus Assoc.; KS Angus Assoc.
12.	Organization Restrictions: To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe. ☑No ☐Yes
13.	Issues: Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe. ☑No ☐Yes
14.	Submission of Views: Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe. No Yes In law school I presented a statement to the KS Legislature in favor of reducing the BAC level for DUI conviction from .10 to .08.
15.	Associations: Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe.

16.	Opposition: Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack. ☑No ☐Yes
17.	Miscellaneous: List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills. None I have extensive experience in reading and analyzing laws and regulations relating to businesses, and insuring compliance therewith.
18.	Relationship to Governmental Employees: Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details. No LiYes
19.	Compensation: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain. ☑No □Yes
20.	Business Relationships: Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state. None
21.	Transactions with Officials: During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain. ☑No □Yes
22.	Spouse or Other Family Members: If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state. No Tyes
23.	Lobbying Activities: Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.
24.	Regulated Activities: Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state. None! have extensive experience in reading and analyzing laws and regulations relating to businesses, and insuring compliance therewith.
	Form 08/08 - Page 4

F-5

25.	Other: Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state. None
26.	Conflict of Interest: How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise? Each conflict would be evaluated and appropriate action taken to remedy any conflict.
27.	Citations: Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details. No Yes In mid-1990's a court appointed criminal defense client filed a complaint with the Disciplinary Administrator's office. After investigation the Disciplinary Administrators office dismissed the action.
28.	Convictions: Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain. No Yes
29.	U.S. Military Convictions: Have you ever been convicted by any military court? If so, please provide details. ☑No □Yes
30.	Imprisonment: Have you ever been imprisoned, been on probation or been on parole? If so, please provide details. ☑No ☐Yes
31.	Agency Proceedings/Civil Litigation: Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details. No Tyes
32.	Agency Proceedings and Civil Litigation of Affiliates and Family: a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details. No Tyes
	b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.) No Yes
	Form 08/08 - Page 5

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33	Other Litigation: a.) Other than the litigation described in question 32, have you or any business which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe. ☑No ☐Yes b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe.
	✓ No □Yes
34.	Drivers License: Has your driver's license ever been suspended or revoked? If so, please describe. ☑No ☐Yes
35.	Parking Tickets: Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain. ☑No ☐Yes
36.	Security Clearance Denial: Have you ever been denied a military or other governmental clearance? If so, please explain. ☑No □Yes
37.	Firings: a.) During the past ten years, have you been fired from a job for any reason? If so, please explain. ☑No □Yes
	b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain. ☑No □Yes
	c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain. ☑No ☐Yes
38.	Alimony and Child Support: Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain ☑No ☐Yes
39.	Consumption of Alcohol: Have you ever or are you currently abusing alcohol? If so, please explain. ☑No □Yes
40.	Controlled Substances: Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain. ☑No ☐Yes
41.	Physical Examination: If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test? □No ☑Yes

- Governmental Delinquencies: Are you delinquent in the payment of any obligation owed to federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.
- 43. Other: Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.

 ☑None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

	REFERENCES	
Name:	Knows you how?: Friend	
Address: 4130 NW Green Hills Road	Topeka, K	
	Business Phone:	(City, State, Zip)
Ron Martinek Name:	Knows you how?: Friend/Law Partn	er
258 Ponderosa Point Address:	Alma, KS 6	66401
Home Phone: (785) 449-2790	Business Phone: (785) 226	(City, State, Zip) 3-5736
Name:	Knows you how?:	
Address: 1025 SW Filmore St.	Topeka, K	S 66604
	Business Phone:	(City, State, Zip)
	Knows you how?:	
1717 SW Overland Court Address:		
	Business Phone:	(City, State, Zip)
		Form 08/08 - Page 7

AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

		, /
Signature	Date	2/4/2011
		, , ,

Kansas

Senate

CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

Timothy Aaron Shultz	acknowledge that as part of the
(print name)	solido Woode that as part of the
Senate Confirmation Oversight Co	ommittee process I will:
be subject to a criminal rec Investigation; and	cords background investigation by the Kansas Bureau of
have my tax records releas	ed by the Kansas Department of Revenue.
Such information will not be relea at the appropriate time by:	sed to the general public, but will be made available for review
• Myself;	
• My appointing authority;	
Chairperson of the Senate	Confirmation Oversight Committee; and
The Vice Chair of the Sena	ate Confirmations Oversight Committee.
Oversight Committee questionnair release my tax information and the	Certification" section (on page 8) of the Senate Confirmation e, the Kansas Department of Revenue will be authorized to Kansas Bureau of Investigation will be authorized to conduct on on me and provide that information to the appropriate
Signature	Date 2/4/2011 Form 08/08



STATE OF KANSAS



Sec. of St. bar code

KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and Definition" section provided with this form for additional assistance in

	IDENTIFICATION:	PLEASE	TYPE OR PRINT		
:	Shultz _.		Timoth	$h = e_{\mathbf{A}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}}^{-1} = e_{\mathbf{A}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}}^{-1} = e_{\mathbf{A}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}}^{-1} = e_{\mathbf{A}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i}}^{-1} = e_{\mathbf{A}_{i}, \mathbf{B}_{i}, \mathbf{B}_{i$	* A *************
	Last Name		First Name		e e MI
	Melissa C. Shultz				; #
	Spouse's Name		:		
	4426 NW Green Hills Road				
	Number & Street Name, Apar	tment Number, l	Rural Route, or P.O. I	Box Number	
	Topeka, KS 66618				
	City, State, Zip Code				
	(785) 286-2381		(785)	228-5736	
	Home Phone Number (include	a area code)	Rucir	ess Phone Numbe	er (include area code)
	THIS FORM IS REQUIRE (check one of the check of the chec	D TO BE FILE r more of the folicial (Governor, I ecretary of State, rict Attorney);	D BECAUSE YOU A Mowing) Lt. Governor, Attorney , State Senator, State I	ARE: General, Commi Representative, M	issioner of Insurance,
	THIS FORM IS REQUIRE (check one of 1. State Elected Office State Treasurer, Se	D TO BE FILE r more of the fol- cial (Governor, I ceretary of State, rict Attorney); or of a State Boar osition is Subject te Agency or Ur or State Office; e Office;	D BECAUSE YOU A Mowing) Lt. Governor, Attorney, State Senator, State I rd, Council, Commiss at to Senate Confirmat diversity;	ARE: General, Commi Representative, M ion or Authority;	issioner of Insurance,
st N	THIS FORM IS REQUIRE (check one of the check of the ch	D TO BE FILE r more of the fol- sial (Governor, I ceretary of State, rict Attorney); or of a State Boar osition is Subject te Agency or Ur or State Office; e Office; / Member of Co	D BECAUSE YOU A Mowing) Lt. Governor, Attorney, State Senator, State I rd, Council, Commisset to Senate Confirmation versity;	ARE: General, Commi Representative, M ion or Authority; ion;	issioner of Insurance, ember of State Board o
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	THIS FORM IS REQUIRE (check one of Check on	D TO BE FILE r more of the folial (Governor, I ceretary of State, rict Attorney); er of a State Boar osition is Subject te Agency or Ur or State Office; e Office; / Member of Co sity or Elected Po- sion if applicable ocial security no	D BECAUSE YOU A llowing) Lt. Governor, Attorney, State Senator, State I rd, Council, Commiss at to Senate Confirmat viversity; Impact). Osition (You may use the May use acronyms) aumber will aid in iden	ARE: General, Commic Representative, Maion or Authority; ion; abbreviations but Co	issioner of Insurance, ember of State Board of not acronyms) emmissioner

C. <u>OWNERSHIP INTERESTS:</u> List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here

BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1. Parker & Hay, LLP	Law Firm	Partner	20%	TAS
400 S. Kansas Ave., Topeka KS 66603				}
2. Triple Cord Cattle Company, LLC	Small angus cow/calf	Member	50%	TAS
4426 NW Green Hills Road, Topeka, KS 66618	operation			
3.				
·				
4.				
5.				
6.				
7.				
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8.	·			
	1			
9.				
10.				

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here

NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1		
2.		
3.		

		3	SSI of	E:
E.	RECEIPT OF COMPENSATION other businesses from which you or value, or economic benefit conferred reportable as taxable income on you 1. YOUR PLACE(S) OF EMPI CALENDAR YEAR. IF SA	your spouse receif on in return for rederal income to COYMENT OR OME AS SECTION	ived \$2,000 or more is services rendered, or tax returns. OTHER BUSINESS IN "B", CHECK HER	in compensation (salary, thing to be rendered), which was IN THE PRECEDING
	If you have nothing to report	in Section "E"1,	check here	
	NAME OF BUSINESS		ADDRESS	TYPE OF BUSINESS
1. Pa	arker & Hay, LLP	400 S. Kansas A	Ave., Topeka, KS 66603	Law Firm
	2. SPOUSE'S PLACE(S) OF E	MPLOYMENT (OR OTHER BUSINE	SS IN THE PRECEDING
	CALENDAR YEAR. If you have nothing to report	in Section "E"2,	check here 🔽.	
	NAME OF BUSINESS		ADDRESS	TYPE OF BUSINESS
1. 2.				
₹.	OFFICER OR DIRECTOR OF A business in which you or your spous the time of filing, irrespective of the insert additional page if necessary to If you have nothing to report in Section 19 to	e hold a position amount of compe complete this sec	of officer, director, as ensation received for ction—	ssociate, partner or proprietor
	BUSINESS NAME AND ADDRESS		POSITION HELD	HELD BY WHOM
1.	Parker & Hay, LLP		Partner	TAS
	400 S. Kansas Ave., Topeka, KS 66	603		
2,	Triple Cord Cattle Company, LL		Member	TAS
	4426 NW Green Hills Road, Topeka, K	3 66618		
3.	man Carlotta and the state of t		-	
4		<u> </u>		
4.			†	

G.	commissions to a business or comb spouse received an aggregate of \$2, customer" relates only to businesses partner's proportionate share of the	ination of business 000 or more in the s or combination o	ses from which fees or commiss preceding calendar year. The p f businesses. In the case of a pa	phrase "client or artnership, it is the
	expenses of the partnership. An incommissions is generally not requir necessary to complete this section. If you have nothing to report in Section	lividual who received to report under	ves a salary as opposed to portion this provision. Please insert ad	ons of fees or
	NAME OF CLIENT / CUSTOMER		ADDRESS	RECEIVED BY
1. Ve	rious clients of law firm which is confidential in	formation		
2.				
3.				
4.				
6.				
7.				
8.				
9.				
10.				
11.				
12. 13.			:	
н.	DECLARATION: Timothy A. Shultz I,accompanying pages and statements true, correct and complete statement understand that the intentional failur statement is a class B misdemeanor.) has been examine of all of my substa	antial interests and other matters	knowledge and belief is a srequired by law. I
02/03/2	2011			
	Date	Si	gnature of Person Making State	ment
NUMI	BER OF ADDITIONAL PAGES 0	<u></u> '		
	your completed statement to the Secra, Kansas 66612-1594.	retary of State, Ele	ctions Division, Memorial Hall,	First Floor, 120 SW 10th,
P	rint Form			Reset Form