

MINUTES OF THE SENATE FINANCIAL INSTITUTIONS & INSURANCE COMMITTEE

The meeting was called to order by Chairman Ruth Teichman at 9:30 am. on February 3, 2011, in Room 152-S of the Capitol.

All members were present.

Committee staff present:

Ken Wilke, Office of the Revisor of Statutes
Melissa Calderwood, Kansas Legislative Research Department
Heather O'Hara, Kansas Legislative Research Department
Beverly Beam, Committee Assistant

Conferees appearing before the Committee:

Daniel Aaron Jack, Kansas Securities Commission, Confirmation Hearing

Others attending:

See attached list.

Chairman Teichman welcomed everyone to the meeting and presented for Committee approval, Minutes of January 11, 12, 13, 18, 19, 25, and 26. Senator Longbine moved to approve the Minutes. Senator Masterson seconded. Motion passed.

Confirmation Hearing

The Chair invited Aaron Jack to tell the Committee about his employment background. Mr. Jack said he began his consulting career in the financial services industry 12 years ago as a wholesaler for Jackson National Life Distributors, Inc. He said while residing in California, he consulted with over 400 financial planners as a Brokerage Manager. After his five-year stint with Jackson National and Travelers Insurance wholesaling investment products Mr. Jack said he joined New York Life where he worked for six years as part of the individual Annuity Department. He then represented New York Life as its West-Central Zone Divisional Director which included 15 states. He said he served one term in the Kansas House as Representative for the 99th District. He served on the Judiciary, Commerce and Labor and Health and Human Services Committees. (Attachment 1)

Senator Masterson moved confirmation of Aaron Jack to the Senate Floor. Senator Steineger seconded. Motion passed.

Bill introduction

Jim Hall, American Council of Life Insurance, introduced a bill to modernize the state's life insurance laws.

Senator Steineger moved introduction. Senator Masterson seconded. Motion passed.

Bill introduction

Lee Wright, Farmers Insurance, said similar legislation was passed by the Financial Institutions and Insurance Committee in 2009. He said the full Senate also passed the legislation that same year, however, the bill stalled out in the House. Mr. Wright said if an uninsured driver is involved in a vehicle accident, they are restricted to recovering only their economic damages against the at-fault driver. He said economic damages include expenses for past and future medical care, lost wages and property damage to the vehicle. He said the uninsured driver is not eligible to receive compensation for non-economic damages such as pain and suffering. The bill also would carry a provision that would preclude a driver involved in an accident and found guilty of DUI from recovering for non-economic damages, he said.

Senator Steineger moved introduction. Senator Longbine seconded. Motion carried.

The next meeting is scheduled for February 8, 2011.

The meeting was adjourned at 10:00 am.

SENATE FINANCIAL INSTITUTIONS & INS. COMMITTEE GUEST LIST

DATE: 2-3-11

[illegible]

Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Sam Brownback

Appointee: Daniel Aaron Jack

Position: Securities Commissioner

Expiration Date: N/A

Term Length: POG

Statutory Authority: K.S.A. 75-6301

Party Affiliation: R

- Statutory geographic representation Requirements (insert any that apply)

Congressional District: 4

County: Butler County

Size Requirement (if any):

Other, specify:

- Statutory party affiliation requirement: N/A
- Statutory industry or occupation requirements: N/A

Salary: 106,790

Predecessor: Marc Wilson

Board Composition Prior to Confirmation of New Appointee:

Marc Wilson, Securities Commissioner

*FI & I Committee
February 3, 2011
Attachment 1*

Representative Aaron Jack - Bio

Contact Information:

Aaron Jack, J.D., M.B.A.
1330 N. Robin Ct.
Andover, KS 67002
dajack87@gmail.com
316.616.5960 (mobile)



Aaron began his consulting career in the financial services industry 12 years ago as a wholesaler for Jackson National Life Distributors, Inc. While residing in Santa Monica, California, he consulted with over 400 financial planners as a Brokerage Manager. After his five-year stint with Jackson National and Travelers Insurance wholesaling investment products and large 401(k) plans, Aaron joined New York Life where he worked for six years as part of the Individual Annuity Department. Aaron represented New York Life as its West-Central Zone Divisional Director which included 15 states.

His responsibilities included promoting Annuity and Mutual Funds sales in the 31 General Offices that make up the West-Central Zone. His 31 general offices and 3,200 registered reps produced over \$1.5 billion in sales annually and he was the first director in the history of New York Life to raise \$1 billion in new assets for New York Life's Investment Management subsidiary; NYLIM.

His success led him to be named as the Individual Annuity Department's spokesperson on the Guaranteed Lifetime Income Product Development Committee in New York.

Currently, Aaron resides in Andover, KS with his wife of 9 years, Anne, and his 3 year-old daughter, Kate. Anne is a Certified Registered Nurse Anesthetist in Wichita and serves on the Board of Directors for the Kansas Association of Nurse Anesthetists. Anne also served as KANA's Federal Political Director in 2009.

Aaron is serving his first term in the Kansas House of Representatives as the Representative for the 99th District. The 99th District encompasses East Wichita and Andover. He is privileged to serve on the Judiciary, Commerce and Labor, and Health and Human Services Committees.

Education:

University of Kansas, BGS - History, 1998
Friends University, Master of Business Administration, 2007
Washburn University School of Law, Juris Doctor, 2009

Professional Memberships:

National Association of Insurance and Financial Advisors (NAIFA)
Kansas Livestock Association
Farm Bureau
National Rifle Association
Federalist Society

Jackson National Life Insurance Company
Brokerage Manager

Los Angeles, CA
1998 – 2001

- Organized a 24% increase in production from independent financial planners.
- Increases in all annuity product categories including income, fixed, variable and index.

Senior Internal Wholesaler

- Conducted sales meetings and presentation training.
- Actively recruited and interviewed internal wholesaler candidates.

New England Financial

Wichita, KS
1998

Registered Insurance and Investment Representative

- Individual producer for various insurance and investment products.

LEGISLATIVE EXPERIENCE

Kansas House of Representatives
Representative – 99th District

Topeka, KS
2009 – Current

Committee Assignments

- Judiciary (2009-2010) Federal and State Affairs (2009)
- Commerce and Labor (2010) Health and Human Services (2010)

National Conference of State Legislatures (NCSL)
Standing Committee Member

Washington, D.C.
2009 – Current

Assignment

- Communications, Financial Services and Interstate Commerce

POLITICAL CAMPAIGN EXPERIENCE

Pompeo For Congress, Inc.

Wichita, KS
2009

Campaign Manager

- Instituted campaign plan, strategy and message development.
- Offered survey drafting analysis, voter identification and targeting.
- Outlined early and advanced voting tactics.
- Executed significant fundraising outreach program.

Republican House Campaign Committee (RHCC)

Topeka, KS
2010 – Current

Member – Fourth Congressional District

- Facilitate electing republicans to the Kansas House of Representatives.
- Assist with candidate recruitment, training and campaign support.

EDUCATION

Washburn University School of Law
Juris Doctor

Topeka, KS
2009

Friends University
Master of Business Administration

Wichita, KS
2007

University of Kansas
Bachelor of General Studies - History

Lawrence, KS
1998

LICENSING

Professional Licenses

Insurance: Kansas Life and Health

Securities: NASD/FINRA Series 6 and 63 (Inactive)

Kansas Senate

CONFIRMATION OVERSIGHT COMMITTEE APPOINTMENT QUESTIONNAIRE

Full Name: State Representative Daniel Aaron Jack, JD, MBA

(please include title and middle name along with any names previously used)

Home Address: 1330 N. Robin Ct.

(Street Address)

Andover, KS, 67002

(City, State, Zip)

Driver's License Number: [REDACTED]

Social Security Number: [REDACTED]

Position to which Appointed: Securities Commissioner

Appointing Authority: Governor

* Information on this page will not be made public but is used by the KBI and Department of Revenue.

Form 08/08 - Page 1

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(for Committee use only)

KBI Check: N/A In-Process Complete

DOR Check: N/A In-Process Complete

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "☐" should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name: State Representative Daniel Aaron Jack, JD, MBA

(please include title and middle name along with any names previously used)

Position to which Appointed: Securities Commissioner

Appointing Authority: Governor

Home Address: 1330 N. Robin Ct.

(Street Address)

Andover, KS, 67002

(City, State, Zip)

Business Name: Heritage Consulting, LLC

Business Address: 1330 N. Robin Ct.

(Street Address)

Andover, KS, 67002

(City, State, Zip)

Position Title: President

Home Phone: 316.733.6600

Business Phone: 316.616.5960

Cell Phone: 316.616.5960

Fax Number: N/A

E-Mail Address: dajack87@gmail.com

Kansas resident? ☒ Yes / ☐ No Date of Birth: 10-20-1975 Place of Birth: Wichita, KS

Registered Voter? Yes Party Affiliation: Republican

Congressional District: 4th Kansas Senate District: 16th Kansas Representative District: 99th

Do you have the legal right to live and work in the United States? ☒ Yes / ☐ No

Please answer the following questions numbered 1 – 43. Each question **MUST BE ANSWERED ON THIS ORIGINAL FORM.** If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

1. What is your educational background? JD, Washburn School of Law
MBA, Friends Univ.
BGS, Univ. Of Kansas
2. Describe your employment experience. Include any expertise related to the position to which you were appointed. See Resume and I believe my years in the securities industry and my education background give me the necessary training for this office.

3. List any professional licenses that you have obtained and include the number for each license.
My KS Insurance License # is 2926311 and my securities licenses are inactive.
4. Why do you feel you are a good candidate for the position to which you have been appointed?
My professional experience and education both match this position.
5. What do you see as the purpose or mission of the role to which you have been appointed?
To balance between Investor Protection and Capital Formation
6. **Military Service:** List rank, date and type of discharge from active service.
☒ None
7. **Government Experience:** List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service.
☒ None
8. **Elective Public Office:** List all elective public offices sought and/or held with dates of service.
☒ None State Representative - 99th House District from January 2009 to Present.
9. **Campaigns:** Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement.
☐ No ☒ Yes Mike Pompeo in the KS-04 congressional race as a campaign manager from April 2009 to December 2009.
10. **Honors and Awards:** List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements.
☒ None
11. **Organization Affiliations:** List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service.
☒ None Volunteer at His Helping Hands in Wichita, KS.
12. **Organization Restrictions:** To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe.
☒ No ☐ Yes
13. **Issues:** Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe.
☒ No ☐ Yes
14. **Submission of Views:** Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe.
☒ No ☒ Yes NO
15. **Associations:** Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe.
☒ No ☐ Yes

16. **Opposition:** Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack.
☒ No ☐ Yes
17. **Miscellaneous:** List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills. *I spent ten years in a variety of management roles as a registered, licensed securities agent. My experience leading in a Fortune 100 financial company, along with my J.D. and M.B.A. will be critical to the job.*
☐ None
18. **Relationship to Governmental Employees:** Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details.
☒ No ☐ Yes
19. **Compensation:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain.
☒ No ☐ Yes
20. **Business Relationships:** Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.
☒ None
21. **Transactions with Officials:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain.
☒ No ☐ Yes
22. **Spouse or Other Family Members:** If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state.
☒ No ☐ Yes
23. **Lobbying Activities:** Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.
☐ None *My wife has never been a registered lobbyist at the federal or state level. However, she served on the KANA board of directors in 2008-10 and in 2009 was their federal political director. That position required her to schedule one educational meeting for her group in April 2009 with KS' 4 congressman and 2 senators in D.C.*
24. **Regulated Activities:** Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state.
☒ None

25. **Other:** Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.
☒None
26. **Conflict of Interest:** How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise?
I would seek the appropriate legal advice to make certain the unforeseen conflict is resolved in the best interest of the people of Kansas.
27. **Citations:** Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.
☒No ☐Yes
28. **Convictions:** Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain.
☒No ☐Yes
29. **U.S. Military Convictions:** Have you ever been convicted by any military court? If so, please provide details.
☒No ☐Yes
30. **Imprisonment:** Have you ever been imprisoned, been on probation or been on parole? If so, please provide details.
☒No ☐Yes
31. **Agency Proceedings/Civil Litigation:** Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
☒No ☐Yes
32. **Agency Proceedings and Civil Litigation of Affiliates and Family:** a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
☒No ☐Yes
- b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)
☒No ☐Yes

33. **Other Litigation:** a.) Other than the litigation described in question 32, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe.
☒No ☐Yes
 b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe.
☒No ☐Yes
34. **Drivers License:** Has your driver's license ever been suspended or revoked? If so, please describe.
☒No ☐Yes
35. **Parking Tickets:** Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain.
☒No ☐Yes
36. **Security Clearance Denial:** Have you ever been denied a military or other governmental clearance? If so, please explain.
☒No ☐Yes
37. **Firings:** a.) During the past ten years, have you been fired from a job for any reason? If so, please explain.
☒No ☐Yes
 b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain.
☒No ☐Yes
 c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain.
☐No ☒Yes Left Travelers Insurance in Dec. 2001 after the 9/11 attacks wiped out the large 401(k) and 403(b) markets. The income wasn't going to be sufficient so we parted ways.
38. **Alimony and Child Support:** Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain.
☒No ☐Yes
39. **Consumption of Alcohol:** Have you ever or are you currently abusing alcohol? If so, please explain.
☒No ☐Yes
40. **Controlled Substances:** Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain.
☒No ☐Yes
41. **Physical Examination:** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test?
☐No ☒Yes

42. **Governmental Delinquencies:** Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.
☒No ☐Yes
43. **Other:** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.
☒None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

REFERENCES

Name: Matt Scholfield Knows you how?: Lifelong Friend

Address: 11656 S. Monroe St. Olathe, KS, 66061
(City, State, Zip)

Home Phone: N/A Business Phone: 913-481-3762

Name: Lora Siegrist Knows you how?: Friend and former teacher

Address: 606 W. Point Circle Andover, KS, 67002
(City, State, Zip)

Home Phone: 316-733-1929 Business Phone: Retired

Name: Derek Woods Knows you how?: Worked with me at New York Life

Address: 7133 S. Flat Rock Ct. Aurora, CO, 80016
(City, State, Zip)

Home Phone: N/A Business Phone: 303-362-3728

Name: Dwight Keen Knows you how?: Fmr. Securities Comm. and my MBA professor

Address: #1 Tam-O-Shanter Winfield, KS, 67156
(City, State, Zip)

Home Phone: 620-221-6267 Business Phone: 620-218-0167

Kansas Senate

CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

I, State Representative Daniel Aaron Jack, JD, MBA acknowledge that as part of the
(print name)

Senate Confirmation Oversight Committee process I will:

- be subject to a criminal records background investigation by the Kansas Bureau of Investigation; and
- have my tax records released by the Kansas Department of Revenue.

Such information will not be released to the general public, but will be made available for review at the appropriate time by:

- Myself;
- My appointing authority;
- Chairperson of the Senate Confirmation Oversight Committee; and
- The Vice Chair of the Senate Confirmations Oversight Committee.

By signing the "Authorization and Certification" section (on page 8) of the Senate Confirmation Oversight Committee questionnaire, the Kansas Department of Revenue will be authorized to release my tax information and the Kansas Bureau of Investigation will be authorized to conduct a criminal background investigation on me and provide that information to the appropriate individuals.

Signature D.A. Jack Date November 19, 2010

Form 08/08

STATE OF KANSAS



KANSAS GOVERNMENTAL ETHICS COMMISSION

ELECTRONIC STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS: This statement must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION:

Jack

Last Name

Daniel

First Name

A

MI

Andrea Marie Jack

Spouse's Name

1330 N. Robin Ct.

Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

Andover, KS 67002

City, State, Zip Code

(316) 616-5960

Home Phone Number

Business Phone Number

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:*(check one or more of the following)*

- ☒ 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
- ☐ 2. Appointed Member of a State Board, Council, Commission or Authority;
- ☒ 3. Appointed State Position is Subject to Senate Confirmation;
- ☐ 4. Employee of a State Agency or University;
- ☐ 5. General Counsel for a State Agency;
- ☐ 6. Candidate for State Office.
- ☐ 7. Other (Contractor / Member of Compact)

Securities Commissioner Designee

List the Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

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Division if applicable (May use acronyms)

Position

* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional. *

| | | | |
|--|--|--|--|
| | | | |
|--|--|--|--|

C. OWNERSHIP INTERESTS: List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here ☐

| | BUSINESS NAME AND ADDRESS | TYPE OF BUSINESS | DESCRIPTION OF INTERESTS HELD | PERCENT OF OWNERSHIP INTERESTS | HELD BY WHOM |
|----|--|------------------|-------------------------------|--------------------------------|--------------|
| 1. | Heritage Consulting 1330 N. Robin Ct., Andover, KS, 67002 | LLC | Consulting | 100% | self |

D. GIFTS OR HONORARIA: List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here ☒

| | NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED | ADDRESS | RECEIVED BY |
|----|--|---------|-------------|
| 1. | | | |

E. RECEIPT OF COMPENSATION: (Part 1) List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ☐

If you have nothing to report in Section "E"1, check here ☐

| | NAME OF BUSINESS | ADDRESS | TYPE OF BUSINESS |
|----|------------------|---------------------------------------|--------------------------------------|
| 1. | State of Kansas | 1330 N. Robin Ct., Andover, KS, 67002 | State Representative - 99th District |

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.

If you have nothing to report in Section "E"2, check here ☐

| | NAME OF BUSINESS | ADDRESS | TYPE OF BUSINESS |
|----|--------------------------------|-----------------------------------|---------------------|
| 1. | Anesthesia Consulting Services | P.O. Box 2897, Wichita, KS, 67201 | Anesthesia Provider |

F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS: List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.

1-13

If you have nothing to report in Section "F", check here ☐

| BUSINESS NAME AND ADDRESS | | POSITION HELD | HELD BY WHOM |
|---------------------------|--|---------------|--------------|
| 1. | Heritage Consulting 1330 N. Robin Ct., Andover, KS, 67002 | President | self |

G. RECEIPT OF FEES AND COMMISSIONS: List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here ☒

| NAME OF CLIENT / CUSTOMER | ADDRESS | RECEIVED BY |
|---------------------------|---------|-------------|
| 1. | | |

H. DECLARATION: I declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

Date Electronically Filed: 11/20/2010

Name of Person Making Statement: Daniel Aaron Jack

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