

Approved: 3-23-11

Date

MINUTES OF THE FINANCIAL INSTITUTIONS & INSURANCE COMMITTEE

The meeting was called to order by Chairman Ruth Teichman at 9:30 a.m. on March 17, 2011, in Room 152-S of the Capitol.

All members were present.

Committee staff present:

Ken Wilke, Office of the Revisor of Statutes
Melissa Calderwood, Kansas Legislative Research Department
Heather O'Hara, Kansas Legislative Research Department
Beverly Beam, Committee Assistant

Conferees appearing before the Committee:

Kurt Knutson, Confirmation Hearing to State Banking Board

Others attending:

See attached list.

The Chair called the meeting to order.

Action on

HB 2056 – Financial institutions; trust examinations and annual assessments

Senator Olson moved **HB 2056** favorable for passage. Seconded by Senator Masterson. Motion passed.

Action on

HB 2124 – Certified public accountants; allowing professional corporations to practice in partnership with other corporations or individuals and register with the board of accountancy as a partnership

Senator Olson moved **HB 2124** favorable for passage. Seconded by Senator Schmidt. Motion carried.

Action on

HB 2139 – Workers compensation insurance rates

There were three amendments to **HB 2139** discussed. Senator Masterson moved to attached the balloon and allow for technical amendments to **HB 2139**. Senator Steineger seconded. Motion carried.

Senator Holland offered an amendment to delete new Section 1 of the Bill. It was seconded by Senator Schmidt. Following discussion on the amendment, the motion failed.

Senator Masterson moved the bill be passed out as amended. Senator Steineger seconded. Motion passed.

Confirmation Hearing

Kurt Knutson, State Banking Board, gave a brief report on his background, stating that he has been in banking since 1983.

Senator Merrick moved confirmation of Kurt Knutson to the State Banking Board to the full Senate for confirmation. Senator Masterson seconded. Motion passed. (Attachment 1)

Reappointment Hearing

Larry Williams, State Banking Board

Senator Masterson moved the reappointment of Larry Williams to the State Banking Board to the full Senate for approval. Senator Olson seconded. Motion passed. (Attachment 2)

CONTINUATION SHEET

The minutes of the Financial Institutions & Insurance Committee at 9:30 a.m. on March 17, 2011, in Room 152-S of the Capitol.

The Chair presented F I & I Minutes of March 7, 9, 10, 14, and 15 for Committee approval.

Senator Masterson moved to approve the minutes. Senator Olson seconded. Motion passed.

The meeting was adjourned at 10:30 a.m.

SENATE FINANCIAL INSTITUTIONS & INS. COMMITTEE GUEST LIST

DATE: 3-17-11

[illegible]

Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Sam Brownback

Appointee: Kurt Knutson

Position: Member, State Banking Board

Expiration Date: March 15, 2013,
to fill unexpired term

Term Length: Three years

Statutory Authority: K.S.A. 74-3004

Party Affiliation: R

- Statutory Geographic Representation Requirements:
Congressional District- of the six banker members,
the Governor shall appoint one from each Kansas
congressional district and the remainder from the
state.

County: Johnson County

Size Requirement: Nine members (six bankers and three public members)

Other, specify:

- Statutory party affiliation requirement: Not more than five members of the board shall be members of the same political party.
- Statutory industry or occupation requirements: Bankers must have at least five years of experience in a state bank in Kansas. Public members must not have any connection to banking. Non-banker members shall not concurrently serve as an officer or director in any state or national bank or trust company.

Salary: N/A

Predecessor: James O'Sullivan

Board Composition Prior to Confirmation of New Appointee:

District Term

1 Michael R. Downing, 2 James A. Needham, 3 Richard L. Fish, 4 Larry Williams

Public Interest

Andrew L. Bias, Melvin Minor, Dale Koch

Statewide At-Large

James O'Sullivan, Winton A. Winter, Jr., Edwin Splichal, State Bank Commissioner

*FI & I Committee
3-17-11
Attachment 1*

Kurt A. Knutson

Home: 913-685-2063
Mobile: 913-530-6842

13009 Ballentine
Overland Park, Kansas 66213

kknutson@ibankwithfreedom.com

EXPERIENCE

Freedom Bank Overland Park, Kansas

Chairman, President & CEO (February 2005 – Present)

Founded bank. Raised in excess of \$9MM from the local community to capitalize the bank and to purchase real estate for the bank headquarters. Responsible for all aspects of the institution. Bank has grown to \$112MM in assets after 4 ½ years of operation and is operating in a safe and sound fashion. Achieved profitability after our third full year of operation (2009), during a very tumultuous time for the banking industry. Increased net income by 42% to 724M for FY 2010. 19 jobs have been created as a result of the start-up of the bank. We are a state, non-member bank. We focus on our local community, and privately held established businesses throughout the greater Kansas City metropolitan area.

Enterprise Bank & Trust Kansas City, Missouri

President – Plaza Bank (May 2003 – February 2005)

Responsible for all aspects of the operation of the Plaza Bank, a member of the legal bank board of directors, and a member of the credit committee of the legal bank board. Achieved asset growth of \$40MM during first eighteen months of employment, and a \$600M increase in net operating income of the Plaza Bank. Duties include the recruitment and training of personnel, business development activities in both commercial banking services, focused on the middle-market, wealth management services and the protection of the asset quality of the bank. Additional responsibilities include the recruitment and development of an advisory board of directors for the Plaza Bank. Recruited to this position.

FIRST NATIONAL BANK OF OLATHE Olathe, Kansas

Executive Vice President & Chief Lending Officer (March 2002 – May 2003)

Responsible for all facets of the commercial, SBA and consumer lending departments of the bank, credit administration, cash management and loan operations. Duties included strategic planning, establishing a middle-market commercial business development effort, and all aspects of human resource development (managing, training, motivating and attracting new staff as needed). During time of my employment, the Bank had over \$500 million in assets with 9 facilities throughout Johnson County, Kansas, with a loan portfolio exceeding \$310 million. Managed 15 direct reports, and 15 indirect reports. Recruited to this position.

UMB BANK, N.A. Kansas City, Missouri

Senior Vice President, Capital Markets/Corporate Finance (October 1998 – February 2002)

Established and lead a group to deliver non-core, fee generating, debt and equity products and advisory services to meet the capital and strategic planning needs of the bank's middle-market clients and prospects. Developed and maintained a broad array of fee-sharing relationships with non-bank financial services providers and intermediaries to deliver products such as factoring, asset-based working capital lines of credit, asset-based equipment loans, private placements of senior debt, commercial real estate private placements, mezzanine finance, equity, asset securitizations, leasing and mergers & acquisition advisory services. Worked directly with new business development officers and commercial lenders and their clients throughout the UMB six-state trade territory to develop an in-depth understanding of the client's needs to deliver tailored solutions to meet those needs. Recruited to this position.

BANK OF AMERICA / NATIONSBANK / BOATMEN'S FIRST NATIONAL BANK OF KANSAS CITY Kansas City, Missouri

Vice President – Financial Strategies Group (September 1996 – October 1998)

Developed and maintained, revenue generating, middle-market client relationships on a high-touch basis. Required a thorough understanding of the client's/prospects financial condition, competitive environment, and shareholder goals. The position required significant marketing, presentation, sales, and relationship building skills. Responsible for building relationships with middle-market clients as well as highly targeted prospecting of new middle-market clients, focusing on products offered through the commercial bank and investment bank. Developed an in-depth understanding of the client as well as knowledge of products and services to tailor solutions to the client's financial needs. Promoted to this position.

Vice President – Specialized Industry Group – Graphic Communications Industry (January 1990 – September 1996)

Developed a highly targeted marketing effort to provide commercial banking products and services to the graphic communications industry on a nationwide basis. Responsible for establishing relationships with national industry trade associations, attended and spoke at regional and national industry conferences and trade shows, authored numerous industry-specific financially oriented articles for industry trade publications, served on the curriculum planning committee for the National Association for Printing Leadership's Management Institute. Continue to participate annually as faculty for the Management Institute's Financial Management certification, held annually at Northwestern University. Recruited to this position.

JOHNSON COUNTY BANK, N.A. Prairie Village, Kansas

Senior Business Banking Officer (November 1986 – January 1990)

Developed and executed exit strategies for the Bank's classified assets. Utilized commercial credit, sales and negotiating skills in conjunction with detailed review of loan documentation and collateral valuations to recover the Bank's assets. Involved with voluntary and involuntary liquidations and bankruptcy situations with a variety of businesses including, but not limited, to wholesalers, restaurants, retail and commercial real estate. Bank's classified assets to capital were reduced from 165% to 60%. Recruited to this position.

BANKERS TRUST COMPANY Des Moines, Iowa

Commercial Banking Officer (November 1985 – November 1986)

Responsible for \$40 million branch retail and commercial operations. Duties included opening and closing the offices, oversight of tellers and personal bankers. Responsible for the development of new commercial accounts including loans and cross-selling cash management services. Additionally responsible for walk-in installment loan requests, safe deposit box rental and certificate of deposit sales. Promoted to this position.

Senior Credit Analyst (December 1983 – November 1985)

Conducted complete financial analyses on existing and prospective middle-market corporate customers, including analysis of earnings, leverage, liquidity, cash flow, management and competition. Recruited to this position.

RUSSELL STOVER CANDIES Denver, Colorado

Sales Representative (January 1983 – December 1983)

Responsible for the 300+ account Colorado and Southern Wyoming territory. Developed new accounts, merchandised accounts, sold seasonal programs, and sold point-of-purchase fixtures and displays. Educated dealers on inventory management, return on investment and profit per square foot. Consistently exceeded sales objectives by quarter.

EDUCATION

University of Iowa - Iowa City, Iowa (1978 – 1982)

Bachelor of Business Administration Major – Marketing

Robert Morris Associates

- Passed Commercial Loans to Businesses course
- Passed Cash Flow Analysis course
- Passed all sections of the RMA Commercial Lender Assessment

COMMUNITY

- Business partner and instructor for Blue Valley School District's Center For Advanced Professional Studies (CAPS)
- Past member of the Nicklaus Golf Club at LionsGate board of governors
- Past member of the PGA Nationwide Tour's Christmas in October Classic tournament committee
- Kansas City Tomorrow Class XVI – Leadership development program sponsored by the Kansas City Civic Council
- Past Assistant Division Chairman – United Way
- Past Board Member – American Heart Association
- Past Development Committee Chairman – American Heart Association
- Past Telemarketing Co-Chairman – Junior Achievement
- Past House Chairman – Christmas in October
- Past Board Member – Kansas City Chiefs Club
- Past Treasurer – Kansas City Chiefs Club
- Past Red Coater – Kansas City Chiefs

REFERENCES

Available upon request



Kansas Senate

CONFIRMATION OVERSIGHT COMMITTEE

APPOINTMENT QUESTIONNAIRE

Full Name: Kurt Anthony Knutson

(please include title and middle name along with any names previously used)

Home Address: 13009 Ballentine Street, Overland Park, Kansas 66213

(Street Address)

(City, State, Zip)

Driver's License Number: [REDACTED] Social Security Number: [REDACTED]

Position to which Appointed: Banking Commission

Appointing Authority: Governor

* Information on this page will not be made public but is used by the KBI and Department of Revenue.

(for Committee use only)

KBI Check: N/A ☐ In-Process ☐ Complete ☐

DOR Check: N/A ☐ In-Process ☐ Complete ☐

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "☐" should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name: Kurt Anthony Knutson

(please include title and middle name along with any names previously used)

Position to which Appointed: Banking Commission

Appointing Authority: Governor

Home Address: 13009 Ballentine Street, Overland Park, Kansas 66213

(Street Address)

(City, State, Zip)

Business Name: Freedom Bank

Business Address: 6640 West 143rd Street, Overland park, Kansas 66223

(Street Address)

(City, State, Zip)

Position Title: President and CEO

Home Phone: (913) 685-2063 Business Phone: (913) 563-5678 Cell Phone: (913) 530-6842

Fax Number: (913) 563-5601 E-Mail Address: kknutson@ibankwithfreedom.com

Kansas resident? ☒ Yes / ☐ No Date of Birth: 12/31/1959 Place of Birth: Waterloo, Iowa

Registered Voter? Yes Party Affiliation: Republican

Congressional District: 3rd Kansas Senate District: 37 Kansas Representative District: 29

Do you have the legal right to live and work in the United States? ☒ Yes / ☐ No

Please answer the following questions numbered 1 – 43. Each question **MUST BE ANSWERED ON THIS ORIGINAL FORM.** If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

1. What is your educational background? See resume.
2. Describe your employment experience. Include any expertise related to the position to which you were appointed. See resume.

1-5

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List any professional licenses that you have obtained and include the number for each license.
See resume.

4. Why do you feel you are a good candidate for the position to which you have been appointed?
I have founded a bank and have gone through the application process within the past 6 years.
5. What do you see as the purpose or mission of the role to which you have been appointed?
Ensure the integrity of regulated providers of financial services, proactively & fair to protect consumers.
6. **Military Service:** List rank, date and type of discharge from active service.
☒None
7. **Government Experience:** List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service.
☒None
8. **Elective Public Office:** List all elective public offices sought and/or held with dates of service.
☒None
9. **Campaigns:** Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement.
☒No ☐Yes
10. **Honors and Awards:** List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements.
☒None
11. **Organization Affiliations:** List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service.
☒None Blue Valley School District Center for Advanced Professional Studies (CAPS) business partner & instructor 2009 - present; Board of Governors for the Nicklaus Golf Club at LionsGate 2007-2009;
12. **Organization Restrictions:** To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe.
☒No ☐Yes
13. **Issues:** Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe.
☒No ☐Yes
14. **Submission of Views:** Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe.
☒No ☐Yes
15. **Associations:** Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe.
☒No ☐Yes

16. **Opposition:** Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack.
☒No ☐Yes
17. **Miscellaneous:** List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills.
☒None I am an entrepreneur and an achiever, I am not intimidated by challenges.
18. **Relationship to Governmental Employees:** Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details.
☒No ☐Yes
19. **Compensation:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain.
☒No ☐Yes
20. **Business Relationships:** Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.
☒None
21. **Transactions with Officials:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain.
☒No ☐Yes
22. **Spouse or Other Family Members:** If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state.
☒No ☐Yes
23. **Lobbying Activities:** Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.
☒None
24. **Regulated Activities:** Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state.
☒None I am an entrepreneur and an achiever, I am not intimidated by challenges.

Other: Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.

☒None

26. **Conflict of Interest:** How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise?
I would recuse myself in any and all matters related to a potential conflict.
27. **Citations:** Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.
☒No ☐Yes
28. **Convictions:** Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain.
☒No ☐Yes
29. **U.S. Military Convictions:** Have you ever been convicted by any military court? If so, please provide details.
☒No ☐Yes
30. **Imprisonment:** Have you ever been imprisoned, been on probation or been on parole? If so, please provide details.
☒No ☐Yes
31. **Agency Proceedings/Civil Litigation:** Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
☒No ☐Yes
32. **Agency Proceedings and Civil Litigation of Affiliates and Family:** a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
☒No ☐Yes
- b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)
☒No ☐Yes

Other Litigation: a.) Other than the litigation described in question 32, have you or any business which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe.

☐No ☒Yes Nothing more than ordinary course of business issues. \ see next page

b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe.

☒No ☐Yes

34. **Drivers License:** Has your driver's license ever been suspended or revoked? If so, please describe.

☒No ☐Yes

35. **Parking Tickets:** Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain.

☒No ☐Yes

36. **Security Clearance Denial:** Have you ever been denied a military or other governmental clearance? If so, please explain.

☒No ☐Yes

37. **Firings:** a.) During the past ten years, have you been fired from a job for any reason? If so, please explain.

☒No ☐Yes

b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain.

☒No ☐Yes

c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain.

☒No ☐Yes

38. **Alimony and Child Support:** Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain

☒No ☐Yes

39. **Consumption of Alcohol:** Have you ever or are you currently abusing alcohol? If so, please explain.

☒No ☐Yes

40. **Controlled Substances:** Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain.

☒No ☐Yes

41. **Physical Examination:** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test?

☐No ☒Yes

a.) Other than the litigation described in question 32, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe.

Freedom Bank, a Kansas state chartered bank for which I currently serve as President, CEO and Chairman of the Board, has been involved in a limited number of civil lawsuits and a party to a limited number of collection actions since its inception in 2006. All of the lawsuits, which have numbered under a dozen in total, have been associated with Freedom Bank's ordinary course of business as a depository and lending institution. For example, Freedom Bank has been a named defendant as a second mortgage holder in several civil lawsuits filed by the first mortgage holders on real estate being foreclosed upon. Freedom Bank will typically, as a named defendant, also file a counter-claim to foreclose its second mortgage. These types of civil lawsuits, as well as other similar collection actions, occur in the ordinary course of operating a bank. Banks for which I have served as an officer and director for in the past would also have been a party to similar lawsuits and collection actions.

b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe.

On February 17, 2011 Freedom Bank was served a summons in a civil action and was listed as the defendant. The plaintiff is alleging violations of the Electronic Funds Transfer Act ("EFTA") for failure to display the required on-machine notice on ATMs. The plaintiff is seeking class certification.

Freedom Bank has retained counsel on this matter, and intends to offer a vigorous defense. At this point in the proceedings, no discovery has been taken and it is difficult to ascertain the outcome of the litigation.

This suit appears to be one of scores of suits filed by several plaintiffs' counsel throughout the United States alleging technical violations of the EFTA and is not unique to Freedom Bank.

42. **Governmental Delinquencies:** Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.

☒No ☐Yes

43. **Other:** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.

☒None

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

REFERENCES

Name: Randall R. Reinhardt Knows you how?: Business and socially

Address: 9609 West 144th Street Overland Park, Kansas 66221
(City, State, Zip)

Home Phone: (913) 685-8938 Business Phone: (816) 289-8649

Name: Dennis M. Wilson Knows you how?: Business

Address: 11925 Gillette Overland Park, Kansas 66213
(City, State, Zip)

Home Phone: (913) 897-5656 Business Phone: (913) 449-8200

Name: Kurt H. Mueller Knows you how?: Business

Address: 309 Seaport Circle Liberty, Missouri 64068
(City, State, Zip)

Home Phone: (816) 781-6362 Business Phone: (816) 547-0209

Name: Peter E. Powell Knows you how?: Business

Address: 5318 Sunset Drive Kansas City, Missouri 64112
(City, State, Zip)

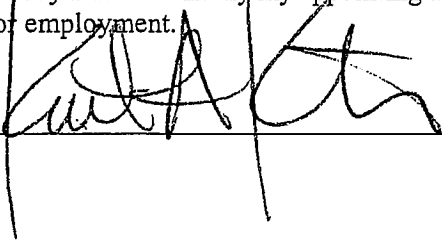
Home Phone: (816) 363-2737 Business Phone: (816) 678-5869

AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

Signature



Date

1/27/2011



CONFIRMATION OVERSIGHT COMMITTEE

Acknowledgment of Release of Tax and Criminal Records Information Form

I, KURT A. KNUTSON acknowledge that as part of the
(print name)

Senate Confirmation Oversight Committee process I will:

- be subject to a criminal records background investigation by the Kansas Bureau of Investigation; and
- have my tax records released by the Kansas Department of Revenue.

Such information will not be released to the general public, but will be made available for review at the appropriate time by:

- Myself;
- My appointing authority;
- Chairperson of the Senate Confirmation Oversight Committee; and
- The Vice Chair of the Senate Confirmations Oversight Committee.

By signing the "Authorization and Certification" section (on page 8) of the Senate Confirmation Oversight Committee questionnaire, the Kansas Department of Revenue will be authorized to release my tax information and the Kansas Bureau of Investigation will be authorized to conduct a criminal background investigation on me and provide that information to the appropriate individuals.

Signature

A handwritten signature in black ink, appearing to read "Kurt A. Knutson", written over a horizontal line.

Date

1/27/2011

Form 08/08

1-13



STATE OF KANSAS



Sec. of St. bar code

KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. **IDENTIFICATION:**

PLEASE TYPE OR PRINT

Knutson

Kurt

A.

Last Name

First Name

MI

Leanne C.R. Knutson

Spouse's Name

13009 Ballentine Street

Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

Overland Park, Kansas 66213

City, State, Zip Code

(913) 685-2063

(913) 563-5678

Home Phone Number (include area code)

Business Phone Number (include area code)

B. **THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:**

(check one or more of the following)

- ☐ 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education, or District Attorney);
- ☒ 2. Appointed Member of a State Board, Council, Commission or Authority;
- ☐ 3. Appointed State Position is Subject to Senate Confirmation;
- ☐ 4. Employee of a State Agency or University;
- ☐ 5. General Counsel for State Office;
- ☐ 6. Candidate for State Office;
- ☐ 7. Other (Contractor / Member of Compact).

List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

Banking Commission

Agency

Division if applicable (May use acronyms)

Position

* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.

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Rev. 3/2006

1-14

- C. **OWNERSHIP INTERESTS:** List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here ☐.

	BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1.	Freedom Bancshares, Inc.	Bank	Common Stock	see attached	joint
2.	Freedom Real Estate Partners	Real Estate	membership interest	5.0412%	Kurt
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					

- D. **GIFTS OR HONORARIA:** List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here ☒.

	NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.			
2.			
3.			

- E. RECEIPT OF COMPENSATION:** List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ☐.
If you have nothing to report in Section "E"1, check here ☐.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	Freedom Bank (employee)	Overland Park, Kansas	Bank
2.	Clements International (paid board member)	Washington DC	Insurance Agency

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.

If you have nothing to report in Section "E"2, check here ☒.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

- F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS:** List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
If you have nothing to report in Section "F", check here ☐.

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.	Freedom Bancshares, Inc. 6640 West 143rd Street, Overland Park, Kansas 66223	Chairman and President	Kurt
2.	Freedom Bank 6640 West 143rd Street, overland Park, Kansas 66223	Chairman, President and CEO	Kurt
3.	Corporate Real Estate LLC	President	Kurt
4.	Roanoke Partners LLC	President	Kurt
5.	Freedom Real Estate Partners, LLC	Member	Kurt
6.	Clements International One Thomas Circle, Washington DC	Director	Kurt
7.			
8.			

- G. RECEIPT OF FEES AND COMMISSIONS:** List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here ☒.

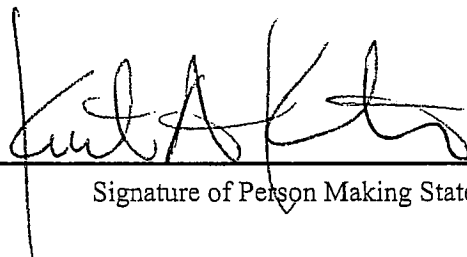
	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. DECLARATION:

I, Kurt A. Knutson, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

01/27/2011

Date



Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES 1.

Return your completed statement to the Secretary of State, Elections Division, Memorial Hall, First Floor, 120 SW 10th, Topeka, Kansas 66612-1594.

Print Form

Reset Form

Senate Confirmation Information Summary

Prepared and Submitted by the Office of Governor Sam Brownback

Appointee: Larry Williams

Position: Member, Banking Board

**Expiration Date: March 13, 2013,
to fill an unexpired term**

Term Length: Three years

Statutory Authority: K.S.A. 74-3004

Party Affiliation: R

- Statutory geographic representation Requirements (insert any that apply)

Congressional District: 4

County: Harvey

Size Requirement (if any):

Other, specify:

- Statutory party affiliation requirement: N/A
- Statutory industry or occupation requirements: District Banker

Salary: N/A

Predecessor: himself

Board Composition Prior to Confirmation of New Appointee:

District Members

- 1 Michael R. Downing
- 2 James A. Needham
- 3 Richard L. Fish
- 4 Larry Williams

Public Interest

Andrew L. Bias, Melvin Minor, Dale Koch

Statewide At-Large

James O'Sullivan, Winton A. Winter, Jr.

*F I ; I Committee
3-17-11
Attachment 2*



Kansas Senate

CONFIRMATION OVERSIGHT COMMITTEE APPOINTMENT QUESTIONNAIRE

Full Name: Larry Kent Williams
(please include title and middle name along with any names previously used)

Home Address: 326 Spruce Halstead KS 67056
(Street Address) (City, State, Zip)

Driver's License Number: [REDACTED] Social Security Number: [REDACTED]

Position to which Appointed: State Banking Board

Appointing Authority: Governor

* Information on this page will not be made public but is used by the KBI and Department of Revenue.

Larry K. Williams
303 East Fifth
Halstead KS 67056

BUSINESS

The Halstead Bank

OFFICE

Chairman of the Board
Director
President
Executive Vice President
Vice President
Cashier
Assistant Cashier

TERM

2005-present
1962-present
1972-2005
1969-1972
1966-1969
1965-1966
1961-1965

Kansas Bankers Association

Chairman of Governing Council
President
President Elect
Various Committees and
State Chairman of Education Committee

1995-1996
1994-1995
1993-1994

American Bankers Association

Gov't Relations Council
Community Bankers Council
Center for Community Development
Steering Committee

1990-1993
1995-1999
1996-2000

Kansas State Bank Board

Member

2008-present

Harvey County Bankers Association President

1974

Halstead Hospital, Inc

Chairman of the Board
Board Member

1977-1980
1974-1980

Halstead Hospital Foundation

Chairman
Member

1982-1993

Hertzler Research Foundation

Board of Directors

1991-present

Prairie View Mental Health Center

Board of Directors

1996-1999

CIVIC

Hesston College President's
Advisory Council

Member

1984-1993

Health Planning Council of Harvey County

1970-1975

Health Planning Council of South Central Kansas

1975-1977

Halstead Lions Club

President

1967

Halstead Chamber of Commerce

President

1974

CIVIC - con't

Kansas Sports Hall of Fame	Board of Directors Member Selection Committee	2008- 1998-present
Kansas State High School Activities Association	Investment Committee	1999-2005
KSU Endowment Association	Board Member	2002-2008

(Numerous Boards, Offices and Committees through the years)

GOVERNMENTAL

Jobs Development Council of Harvey County	Chairman Member	1990 1986-1993
Kansas Rural Development Council (Governor appointment)	Member	1993-1999
Kansas, Inc. (Governor appointment)	Member and Co-chairman	1995-2001
Equus Beds Groundwater Management	Chairman of the Board Member of the Board	1982-1983 1979-1983
Board of Education - USD #440	President of the Board Member of the Board Treasurer	1975-1977 1973-1977 1970-1973
City of Halstead	Treasurer	1965-1970

FRATERNAL

Halstead Masonic Lodge	Master	1970
Sigma Chi Fraternity	Chapter V.P.	1960

EDUCATION

Public Schools at Bentley, Kansas		1956
Kansas State University - BS Degree in Business Administration		1956-1960

(for Committee use only)

KBI Check: N/A___ In-Process___ Complete___

DOR Check: N/A___ In-Process___ Complete___

This Questionnaire is to be fully completed by each appointee appearing before the Senate Confirmation Oversight Committee (Committee) and returned to the Committee Chairman's Office. A meeting of the Committee to consider an appointee will not be scheduled until a completed questionnaire and other forms are received by the Chairman. Please answer each question completely to the best of your knowledge. Should a question not be applicable, please so state. Hand-written responses are strongly discouraged. If filling out this form electronically, "□" should be replaced with "X" by the appropriate response on the form. Please contact your appointing authority if you have questions when completing the form.

Full Name: Larry Kent Williams
(please include title and middle name along with any names previously used)

Position to which Appointed: State Banking Board

Appointing Authority: Governor

Home Address: 326 Spruce Halstead KS 67056
(Street Address) (City, State, Zip)

Business Name: The Halstead Bank

Business Address: 314 Main Halstead KS 67056
(Street Address) (City, State, Zip)

Position Title: Chairman of Board

Home Phone: 316-830-2844 Business Phone: 316-835-2226 Cell Phone: 316-772-8352

Fax Number: 316-835-2158 E-Mail Address: Lwilliams@halsteadbank.com

Kansas resident? ☒ Yes / ☐ No Date of Birth: 6/18/1938 Place of Birth: Wichita KS

Registered Voter? yes Party Affiliation: Republican

Congressional District: 4th Kansas Senate District: 31st Kansas Representative District: 74th

Do you have the legal right to live and work in the United States? ☒ Yes / ☐ No

Please answer the following questions numbered 1 – 43. Each question **MUST BE ANSWERED ON THIS ORIGINAL FORM.** If the answers the question are provided on your resume, please state "See Resume" or if you supply additional attachment(s) with answers, please state "See Attachment(s)" on this form.

1. What is your educational background? B.S. degree--Kansas State University
2. Describe your employment experience. Include any expertise related to the position to which you were appointed. officer of The Halstead Bank for 50 years serving as President for 34 years

3. List any professional licenses that you have obtained and include the number for each license.
N/A
4. Why do you feel you are a good candidate for the position to which you have been appointed?
50 years of community bank experience
5. What do you see as the purpose or mission of the role to which you have been appointed?
To work closely with the Bank Commissioner in monitoring the "health" of our state chartered banks.
6. **Military Service:** List rank, date and type of discharge from active service.
☐None Air Force National Guard--medical discharge
7. **Government Experience:** List any experience or association with local, state or federal government (exclusive of elective public office but including advisory, consulting, honorary, appointed or other part-time service or positions) and include dates of service.
☐None see resume
8. **Elective Public Office:** List all elective public offices sought and/or held with dates of service.
☐None Halstead School Board 1973-1977
Kansas Senate 1992 (lost)
9. **Campaigns:** Have you ever played a role or held a position in a political campaign? If so, please identify the candidate(s), the dates of the campaign and describe your involvement.
☒No ☐Yes
10. **Honors and Awards:** List all scholarships, fellowships, honorary degrees, honorary society memberships and any other special recognition for outstanding service or achievements.
☐None various over 50 years in one community
11. **Organization Affiliations:** List all civic, cultural, educational, charitable, or work-related organizations that you have been associated with in the past ten years. Include any position held in the organization and the dates of service.
☐None See resume
12. **Organization Restrictions:** To your knowledge, is any organization listed above restricted on the basis of race, color, religion, sex, national origin, disability, marital status or veteran status? If so, please describe.
☒No ☐Yes
13. **Issues:** Have you ever been publicly identified, in person or by organizational membership, with a particularly controversial national or local issue? If so, please describe.
☒No ☐Yes
14. **Submission of Views:** Have you ever submitted oral or written views to any governmental authority, whether executive or legislative, or to the news media on any particularly controversial issue other than in an official governmental capacity? If so, please describe.
☒No ☐Yes
15. **Associations:** Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for the position to which you seek to be appointed? If so, please describe.
☒No ☐Yes

16. **Opposition:** Do you know of any person or group who might take overt or covert steps to attack, even unfairly, your appointment? If so, please identify and explain the basis for the potential attack.
☒No ☐Yes
17. **Miscellaneous:** List any factors, other than the information provided above, which particularly qualifies you or is relevant to the position to which you are seeking appointment? Include any special skills.
☒None
18. **Relationship to Governmental Employees:** Are you or your spouse or other close family members related to any state governmental official or employee? If so, please provide details.
☒No ☐Yes
19. **Compensation:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with the State of Kansas? If so, please explain.
☒No ☐Yes
20. **Business Relationships:** Describe any business relationship, dealing or financial transaction which you have had during the last five years, whether for yourself, on behalf of a client or acting as an agent, which you believe may constitute an appearance of impropriety or result in a potential conflict of interest in the position to which you want to be appointed. If none, please so state.
☒None
21. **Transactions with Officials:** During the past five years, have you or your spouse or other close family members received any compensation or been involved in any financial transaction with any state government official? If so, please explain.
☒No ☐Yes
22. **Spouse or Other Family Members:** If the nature of employment for your spouse or other close family member is related in any way to the position to which you have been appointed, please indicate the employer, the position and the length of time it has been held. If not, please so state.
☒No ☐Yes
23. **Lobbying Activities:** Describe any lobbying activity during the past ten years in which you and/or your spouse have engaged for the purpose of influencing the passage, defeat or modification of any legislative or administrative action. Lobbying activity includes any activity performed as an individual or agent of another individual, or of any organization that involves direct communication with an official in the executive branch of state government or any official of the legislative branch. If none, please so state.
☒None
24. **Regulated Activities:** Describe any interest that you, your spouse or other close family member may have (whether as an officer, owner, director, trustee, or partner) in any corporation, firm, partnership or other business enterprise and any non-profit organization or other institution that is regulated by or receives direct financial benefits from any department or agency of the State of Kansas. If none, please so state.
☐None Bank - regulate by state

25. **Other:** Please describe any other matter in which you are involved that is or may be incompatible or in conflict with the discharge of the duties of the position to which you have been appointed or which may impair or tend to impair your independence of judgment or action in the performance of the duties of that position. If none, please so state.
☒None
26. **Conflict of Interest:** How would you resolve any potential conflicts of interest that, while maybe unforeseen at this point in time, could arise? resign position if ongoing conflict
excuse from vote & discussion if specific issue
27. **Citations:** Have you ever been cited for a breach of ethics for unprofessional conduct, or been named in a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please provide details.
☒No ☐Yes
28. **Convictions:** Have you ever been convicted of or entered a plea of guilty or nolo contendere or forfeited collateral for any criminal violation other than a traffic infraction? (Please include any offenses of driving under the influence, operating while impaired, reckless driving, or the equivalent offenses in other states.) If so, please explain.
☒No ☐Yes
29. **U.S. Military Convictions:** Have you ever been convicted by any military court? If so, please provide details.
☒No ☐Yes
30. **Imprisonment:** Have you ever been imprisoned, been on probation or been on parole? If so, please provide details.
☒No ☐Yes
31. **Agency Proceedings/Civil Litigation:** Are you presently, or have you ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
☒No ☐Yes
32. **Agency Proceedings and Civil Litigation of Affiliates and Family:** a.) Is your spouse or other close family member currently, or ever been, a party in interest in any administrative agency proceeding or civil litigation that is related in any way to the position to which you are seeking appointment? If so, please provide details.
☒No ☐Yes
- b.) Has any business in which you, your spouse, close family member or business associate are or were an officer, director or partner been a party to any administrative agency proceeding or civil litigation relevant to the position to which you are seeking appointment? If so, please provide details. (With respect to this question, you need only consider proceedings and litigation that occurred while you, your spouse, close family member, or business associate were an officer of that business.)
☒No ☐Yes

33. **Other Litigation:** a.) Other than the litigation described in question 32, have you or any business in which you are or were an officer, director, or partner been a plaintiff or a defendant in a civil lawsuit? If so, please describe.
☐No ☒Yes bank foreclosures
b.) Are you aware of any pending or anticipated litigation against you or any business in which you are an officer, director, or partner? If so, please describe.
☒No ☐Yes
34. **Drivers License:** Has your driver's license ever been suspended or revoked? If so, please describe.
☒No ☐Yes
35. **Parking Tickets:** Do you have outstanding parking tickets from any jurisdiction that have remained unpaid for more than 60 days? If so, please explain.
☒No ☐Yes
36. **Security Clearance Denial:** Have you ever been denied a military or other governmental clearance? If so, please explain.
☒No ☐Yes
37. **Firings:** a.) During the past ten years, have you been fired from a job for any reason? If so, please explain.
☒No ☐Yes
b.) During the past ten years, have you quit a job after being told that you would be fired? If so, please explain.
☒No ☐Yes
c.) During the past ten years, did you leave a job by mutual agreement because of specific problems? If so, please explain.
☒No ☐Yes
38. **Alimony and Child Support:** Are you now, or have you ever been, delinquent in the payment of alimony or child support? If so, please explain
☒No ☐Yes
39. **Consumption of Alcohol:** Have you ever or are you currently abusing alcohol? If so, please explain.
☒No ☐Yes
40. **Controlled Substances:** Have you ever or are you currently engaged in the illegal use of a controlled substance or abusing the use of a prescribed controlled substance? If so, please explain.
☒No ☐Yes
41. **Physical Examination:** If you receive a conditional offer of appointment or employment, would you be willing to take a physical examination, which may include a drug test?
☐No ☒Yes

42. **Governmental Delinquencies:** Are you delinquent in the payment of any obligation owed to the federal or state government or any political or taxing subdivision or any instrumentality thereof? (Include delinquencies in the payment of: Income, property, or other taxes; exactions, fees or special assessments; loans, including any defaults, on or under loans which are or were made by, guaranteed, insured or subsidized by any unit of government or instrumentality thereof; overpayment of benefits; required payments into or under governmental programs; payments under a diversion arrangement or other repayment schedule.) If applicable, please state whether such delinquency is under formal appeal.
☒ No ☐ Yes

43. **Other:** Please provide any additional information, favorable or unfavorable, which you feel should be considered in connection with your appointment. If none, please so state.
☐ None see resume

Please include resume and completed Statement of Substantial Interest not more than twelve months old.

REFERENCES

Name: Harold Stones Knows you how?: Banking

Address: 59 SW Peppertree Lane Topeka KS 66611
(City, State, Zip)

Home Phone: 785-295-2745 Business Phone: same

Name: James Maag Knows you how?: Kansas Bankers Association

Address: 1924 SW Indian Woods Lane Topeka KS 66611
(City, State, Zip)

Home Phone: 785-357-4189 Business Phone: same

Name: Kevin Pyle Knows you how?: community - mayor

Address: 103 E. 4th Halstead KS 67056
(City, State, Zip)

Home Phone: 316-830-6161 Business Phone: same

Name: Joyce McDowell Knows you how?: The Halstead Bank

Address: 1009 Chestnut Halstead KS 67056
(City, State, Zip)

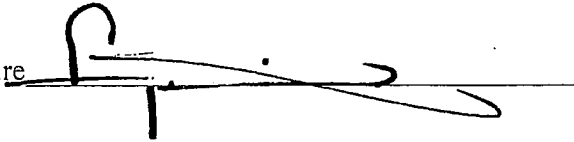
Home Phone: 316-835-3363 Business Phone: same

AUTHORIZATION AND CERTIFICATION:

The facts set forth in my application are true and complete. False statements, answers, or omissions on this application shall be sufficient cause for nonconsideration or for dismissal after appointment or employment. I also recognize that my selection is based on receipt of satisfactory information from former employers and references, and upon my ability to perform the essential elements, with or without reasonable accommodations, for the position for which I am applying. I herein authorize investigation, without liability, of the information supplied by me in this application for employment or appointment including academic, occupational, health, law enforcement, and government records. I also authorize listed employers and references, without liability, to make full response to any inquiries in connection with this application for appointment or employment. I understand and agree that the terms, conditions, compensation, benefits, hours, schedule, and duration of my appointment or employment may be determined, changed, or modified from time to time at the will of the appointing authority or designee without limitation or condition. I FURTHER CERTIFY THAT I HAVE READ THE FOREGOING PARAGRAPH AND KNOWINGLY MAKE THIS AUTHORIZATION BY SETTING FORTH MY SIGNATURE.

I understand that if I am required to be registered, licensed, or certified by federal or state law or regulation for the position I seek, I will notify the appointing authority immediately if any investigation, limitation, or cancellation of my registration, licensure, or certification occurs. If any investigation, probation, limitation, or cancellation occurs, I understand that my failure to notify my appointing authority as described above will result in the termination of my appointment or employment.

Signature

A handwritten signature in black ink, appearing to be "F. J. [unclear]", written over a horizontal line.

Date

Dec 9, 2010



Acknowledgment of Release of Tax and Criminal Records Information Form

I, Larry K. Williams acknowledge that as part of the
(print name)
Senate Confirmation process I will:

- be subject to a criminal records background investigation by the Kansas Bureau of Investigation; and
- have my tax records released by the Kansas Department of Revenue.

Such information will not be released to the general public, but will be made available for review at the appropriate time by:

- myself; and
- my appointing authority.

By signing the "Authorization and Certification" section (on page 8) of the Boards and Commissions questionnaire, the Kansas Department of Revenue will be authorized to release my tax information and the Kansas Bureau of Investigation will be authorized to conduct a criminal background investigation on me and provide that information to the appropriate individuals.

Signature

Date

12-9-10



STATE OF KANSAS



Sec. of St. bar code

KANSAS GOVERNMENTAL ETHICS COMMISSION

STATEMENT OF SUBSTANTIAL INTERESTS FORM

INSTRUCTIONS. This statement (pages 1 through 4) must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

A. IDENTIFICATION: PLEASE TYPE OR PRINT

Williams Larry K
Last Name First Name MI

Carolyn Williams
Spouse's Name

326 Spruce
Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number

Halstead, KS 67056
City, State, Zip Code

316-830-2844 316-835-2226
Home Phone Number (include area code) Business Phone Number (include area code)

B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:*(check one or more of the following)*

- ☐ 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education, or District Attorney);
- ☒ 2. Appointed Member of a State Board, Council, Commission or Authority;
- ☐ 3. Appointed State Position is Subject to Senate Confirmation;
- ☐ 4. Employee of a State Agency or University;
- ☐ 5. General Counsel for State Office;
- ☐ 6. Candidate for State Office;
- ☐ 7. Other (Contractor / Member of Compact).

List Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

State Banking BoardChairman

Agency

Division if applicable (May use acronyms)

Position

* *The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional.*



Rev. 3/2006

- C. **OWNERSHIP INTERESTS:** List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here ☐.

	BUSINESS NAME AND ADDRESS	TYPE OF BUSINESS	DESCRIPTION OF INTERESTS HELD	PERCENT OF OWNERSHIP INTERESTS	HELD BY WHOM
1.	Williams Holding Co.	Banking	Common Stock	49%	LKW
2.	Williams Holding Co.	Banking	Common Stock	2%	CAW
3.	Williams Land, Inc.	Landowner	Common Stock	62%	LKW
4.	Williams Land, Inc.	Landowner	Common Stock	28%	CAW
5.	Carolyn Williams, Inc.	Landowner	Common Stock	100%	CAW
6.					
7.					
8.					
9.					
10.					

- D. **GIFTS OR HONORARIA:** List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here ☒.

	NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED	ADDRESS	RECEIVED BY:
1.			
2.			
3.			

- E. **RECEIPT OF COMPENSATION:** List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE ☐.
If you have nothing to report in Section "E"1, check here ☐.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.	The Halstead Bank	314 Main, Halstead, KS	Banking
2.			

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.
If you have nothing to report in Section "E"2, check here ☒.

	NAME OF BUSINESS	ADDRESS	TYPE OF BUSINESS
1.			
2.			

- F. **OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS:** List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.
If you have nothing to report in Section "F", check here ☐.

	BUSINESS NAME AND ADDRESS	POSITION HELD	HELD BY WHOM
1.	Harvey County Economic Development Newton, KS	Chairman	Larry K. Williams
2.	State Bank Board Topeka, KS	Chairman	Larry K. Williams
3.	Williams Holding Co. Halstead, KS	President	Larry K. Williams
4.	Williams Land, Inc. Halstead, KS	President	Larry K. Williams
5.	Carolyn Williams, Inc. Halstead, KS	President	Carolyn Williams
6.	Halstead Historical Society Halstead, KS	Secretary	Carolyn Williams
7.			
8.			

- G. **RECEIPT OF FEES AND COMMISSIONS:** List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.


If you have nothing to report in Section "G", check here ☒.

	NAME OF CLIENT / CUSTOMER	ADDRESS	RECEIVED BY
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			

H. **DECLARATION:**

I, Larry K. Williams, declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

March 14, 2011
Date


Signature of Person Making Statement

NUMBER OF ADDITIONAL PAGES

Return your completed statement to the Secretary of State, Elections Division, Memorial Hall, First Floor, 120 SW 10th, Topeka, Kansas 66612-1594.

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